

On the Directions of Segments and r -Dimensional Balls on a Convex Surface

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Received: April 7, 2005

Revised manuscript received: September 8, 2005

We prove that the set of directions of $(n - 2)$ -dimensional balls which are contained in the boundary ∂K of a convex body $K \subset \mathbb{R}^n$ but in no $(n - 1)$ -dimensional convex subset of ∂K is σ -1-rectifiable. We also show that there exists a close connection between smallness of the set of directions of line segments on ∂K and smallness of the set of tangent hyperplanes to the graph of a d.c. (delta-convex) function on \mathbb{R}^{n-2} . Using this connection, we construct $K \subset \mathbb{R}^3$ such that the set of directions of segments on ∂K cannot be covered by countably many simple Jordan arcs having half-tangents at all points. Also new results on directions of r -dimensional balls in ∂K parallel to a fixed linear subspace are proved.

Keywords: Convex body, boundary structure, Hausdorff measure, tangent hyperplane, d.c. function

2000 Mathematics Subject Classification: Primary 52A20; Secondary 26B25

1. Introduction

Put $S^{n-1} := \{x \in \mathbb{R}^n : \|x\| = 1\}$ and denote by $G(n, r)$ the Grassmann manifold of all r -dimensional linear subspaces of \mathbb{R}^n . (We recall that $G(n, r)$ is a compact differentiable manifold of dimension $r(n - r)$.) If $K \subset \mathbb{R}^n$ is a convex closed set with non-empty interior, we denote by $D(K)$ the set of all $u \in S^{n-1}$ that are parallel to a segment in ∂K . For $1 \leq r \leq n - 2$, we denote by $F_r(K)$ ($F_r^*(K)$) the set of all linear spaces $V \in G(n, r)$ which are parallel to an r -dimensional ball in ∂K (to an r -dimensional ball in ∂K which is contained in no $(r + 1)$ -dimensional convex subset of ∂K , respectively).

In the following, if K is not specified, we suppose that it is a convex body in \mathbb{R}^n . Klee ([7]) in 1957 (see also [8]) asked whether (for $n \geq 3$) the set $D(K)$ is always of $(n - 1)$ -dimensional measure zero. Mc Minn [12] (see [2] for a more transparent proof) showed that for $n = 3$ the set $D(K)$ is even σ -1-rectifiable. Ewald, Larman and Rogers [5] (see also [17]) proved the following deep results:

- (ELR 1) *The set $D(K)$ has always σ -finite $(n - 2)$ -dimensional Hausdorff measure.*
- (ELR 2) *The set $F_r(K)$ has always σ -finite $[r(n - r - 1)]$ -dimensional Hausdorff measure.*
- (ELR 3) *If $F_{n-1}(K) = \emptyset$ and $r \geq 2$, then $F_r(K)$ has zero $[r(n - r - 1)]$ -dimensional Hausdorff measure.*

The proofs of these results from [5] use rather sophisticated geometrical methods and it seems that no simple proof of $D(K) \neq S^{n-1}$ for $n > 3$ is known (cf. [18, p. 277]). Also the following natural question seems to be open.

Question 1.1. Is it true that (for $n \geq 4$) the set $D(K)$ is always

- a) σ -($n - 2$)-rectifiable?
- b) σ - H^{n-2} -rectifiable?

We recall that a subset M of a metric space X is called σ - n -rectifiable (σ - H^n -rectifiable) if there exist Lipschitz mappings φ_k ($k = 1, 2, \dots$) from $[0, 1]^n$ to X whose images cover M (whose images cover M except a set of zero n -dimensional Hausdorff measure, respectively).

McMinn's result shows that Question 1.1 has positive answer for $n = 3$. In this case the following natural question is open.

Question 1.2. Does there exist a simple characterization of the smallest σ -ideal \mathcal{I} containing all sets of the form $D(K)$, where $K \subset \mathbb{R}^3$ is a convex body? In particular, is \mathcal{I} equal to the system of all σ -1-rectifiable sets?

(Recall that a system \mathcal{I} of sets is called a σ -ideal if it is stable with respect to countable unions and \mathcal{I} contains all subsets of any set $A \in \mathcal{I}$.)

In Section 3 we prove Theorem 3.2 which says that the set $F_{n-2}^*(K)$ is σ -1-rectifiable. It improves the theorem (ELR 3) in the case $r = n - 2$ and can be considered as a generalization of McMinn's [12] result (see Remark 3.4). Our proof is a modification of Besicovitch's proof [2] of McMinn's result.

Theorem 3.2 suggests that (ELR 3) can perhaps be improved also for some $1 < r < n - 2$. Also the following question naturally arises.

Question 1.3. Is it true that F_r^* has σ -finite $(n - r - 1)$ -dimensional Hausdorff measure for each convex body $K \subset \mathbb{R}^n$ and $1 < r < n - 2$?

In Section 4 we show how Besicovitch's method [2] and the Fenchel duality imply a dual formulation (Proposition 4.2) of results and problems concerning smallness of the set $D(K)$. As an immediate consequence, we show that (ELR 1) implies that the set $T(f)$ of all tangent hyperplanes to the graph of any d.c. function (i.e. a function which is the difference of two convex functions) $f : \mathbb{R}^k \rightarrow \mathbb{R}$ has σ -finite k -dimensional Hausdorff measure. Note that there exists a C^1 -function $f : \mathbb{R}^k \rightarrow \mathbb{R}$ such that $T(f)$ has positive $(k + 1)$ -dimensional Hausdorff measure (see [3] for a stronger example).

Further, we show that the Morse-Sard theorem for d.c. functions $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ of Landis [9] easily implies that $T(f)$ has zero 3-dimensional Hausdorff measure for such f (see Remark 4.6) and that this result on $T(f)$ implies (using Besicovitch's method) that $D(K)$ has zero 3-dimensional Hausdorff measure for $K \subset \mathbb{R}^4$. Thus the answer to Klee's question in the case $n = 4$ was easily available after Besicovitch's note [2]. Underline, however, that the proof in [9] is not easy and it is sketched only. A complete proof, using the main idea of [9] and results of [1], is given in [15].

Using the duality result of Proposition 4.2 and a theorem of Larman and Rogers [10], we

also obtain that the set $T(f)$ of all tangent hyperplanes to the graph of a d.c. function $f : \mathbb{R}^k \rightarrow \mathbb{R}$ which contain a fixed point (or are parallel to a fixed line) has zero k -dimensional Hausdorff measure.

In Section 5 we show, using the duality result of Proposition 4.2, that McMinn’s result is in a sense close to the best one. In particular there exists a convex body $K \subset \mathbb{R}^3$ such that $D(K)$ cannot be covered by countably many simple Jordan arcs having half-tangents at all points. (For more information see Remark 5.5.) Remember that the set $N(K)$ of all non-smooth points of the boundary of such K admits a covering of this type ([19, Theorem 3]).

We believe that Proposition 4.2 can be also used (in a way similar to that used in Section 5) to give a negative answer to Question 1.1 a).

To describe the results of Section 6 we introduce the following notation. For $S \in G(n, s)$ denote by $F_r^S(K)$ the set of all $V \in G(n, r)$ such that $V \subset S$ and V is parallel to an r -dimensional ball $B \subset \partial K$ for which $(B + S) \cap \text{int } K \neq \emptyset$. Using (ELR 1), we can reformulate a result of [10] (see Theorem 4.7 and Remark 4.8 below) as follows.

(LR) *The set $F_1^S(K)$ has zero $(n - 2)$ -dimensional Hausdorff measure whenever $n \geq 3$ and $S \in G(n, n - 1)$.*

In Section 6 we prove two analogous results. Theorem 6.2 asserts that the set $F_{n-2}^S(K)$ has zero $1/2$ -dimensional Hausdorff measure whenever $n \geq 3$ and $S \in G(n, n - 1)$. Thus, in the case $n = 3$, we obtain an improvement of (LR).

Theorem 6.5 asserts that the set $F_{n-3}^S(K)$ has zero 1-dimensional Hausdorff measure whenever $n \geq 4$ and $S \in G(n, n - 2)$.

The proofs are based on Besicovitch’s method and Morse-Sard theorems for d.c. mappings of [15] (see Theorem 2.6 and Theorem 2.7 below).

2. Preliminaries

If $f : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ is a convex function, we denote by $\text{epi } f$ its closed epigraph $\{(x, y) \in \mathbb{R}^n : f(x) \leq y\}$. The following lemma is an easy consequence of the Hahn-Banach theorem.

Lemma 2.1. *Let f be a convex function on \mathbb{R}^{n-1} and let C be a convex subset of $\text{graph } f$. Then there exists an affine function φ on \mathbb{R}^{n-1} such that $C \subset \text{graph } \varphi$ and $\varphi(x) \leq f(x)$ for each $x \in \mathbb{R}^{n-1}$.*

The relative interior of a convex set $C \subset \mathbb{R}^n$, which we denote following Rockafellar [16] by $\text{ri } C$, is defined as the interior of C relative to the affine hull of C .

We shall need also the following easy well known fact.

Lemma 2.2. *Let $f : \mathbb{R}^k \rightarrow \mathbb{R}$ be a convex function and let $I, J \subset \text{graph } f$ be convex sets such that $I \cap \text{ri } J \neq \emptyset$. Then $\text{conv}(I \cup J) \subset \text{graph } f$.*

Proof. By Lemma 2.1 there exists an affine function φ on \mathbb{R}^n such that $I \subset \text{graph } \varphi$ and $\varphi \leq f$. From $\text{graph } \varphi \cap \text{ri } J \neq \emptyset$ and $J \subset \text{epi } \varphi$ we easily get that $J \subset \text{graph } \varphi$. Therefore $\text{conv}(I \cup J) \subset \text{graph } f \cap \text{graph } \varphi$, since $\text{graph } f \cap \text{graph } \varphi$ is a convex set. \square

Whenever $f : \mathbb{R}^n \rightarrow \mathbb{R}$ is a convex function, we define the dual function

$$f^*(x^*) = \sup_{x \in \mathbb{R}^n} (\langle x, x^* \rangle - f(x)), \quad x^* \in (\mathbb{R}^n)^*.$$

As usual, we identify the dual space $(\mathbb{R}^n)^*$ with \mathbb{R}^n and $\langle \cdot, \cdot \rangle$ denotes both the duality and the scalar product. We shall use frequently (without a reference) the following basic facts on Fenchel duality (see [16, Theorem 12.2 and Theorem 23.5]).

Lemma 2.3. *Let $f : \mathbb{R}^n \rightarrow \mathbb{R}$ be a convex function such that f^* is finite everywhere. Then*

- (1) $(f^*)^* = f$,
- (2) $x^* \in \partial f(x) \Leftrightarrow x \in \partial f^*(x^*)$ and
- (3) if $x^* \in \partial f(x)$ then $f^*(x^*) = \langle x, x^* \rangle - f(x)$.

We will need also the following easy fact.

Lemma 2.4. *Let s be a finite convex function on \mathbb{R}^k ($k > 1$) and $m \in \mathbb{N}$. Then there exists a finite convex function \tilde{s} on \mathbb{R}^k such that $s(x) = \tilde{s}(x)$ for $x \in B(0, m)$ and the dual convex functions $(\tilde{s})^*$ and $(\tilde{s}(0, \cdot))^*$, $(\tilde{s}(1, \cdot))^*$ are finite on \mathbb{R}^k and \mathbb{R}^{k-1} , respectively.*

Proof. Obviously, we can put $\tilde{s}(x) := \max\{s(x), \|x\|^2 - C\}$, where $C \in \mathbb{R}$ is sufficiently large. \square

Remark 2.5. All above results and questions on $D(K)$ ($F_r(K)$, $F_r^*(K)$) concern the problem whether this set belongs to a diffeomorphism invariant σ -ideal. So we can write equivalently “ $K \subset \mathbb{R}^n$ is a convex set with a non-empty interior” or “ K is the closed epigraph of a convex function $f : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ ” instead of “ $K \subset \mathbb{R}^n$ is a convex body” in all these results and questions. We omit the obvious proof, whose only non-trivial ingredients are the following well-known facts:

1. The boundary of a convex body $K \subset \mathbb{R}^n$ is locally described by an equation $y_n = g(y_1, \dots, y_{n-1})$ where (y_1, \dots, y_n) is a suitable system of cartesian coordinates and g is a convex function on an open convex subset of \mathbb{R}^{n-1} .
2. If f is a convex function on an open convex set $C \subset \mathbb{R}^{n-1}$ and $x \in C$ then there exists a convex function \tilde{f} on \mathbb{R}^{n-1} such that f and \tilde{f} coincide on a neighbourhood of x .

A function on \mathbb{R}^n is said to be d.c. (delta-convex) if it is the difference of two convex functions. A mapping $f : \mathbb{R}^n \rightarrow \mathbb{R}^k$ is said to be a d.c. mapping if its components are d.c. functions.

We will use the following (relatively deep) Morse-Sard theorem for d.c. mappings of [15] which is contained in [9] (with a sketched proof) in the case $k = 1$.

Theorem 2.6. *Let $f : \mathbb{R}^2 \rightarrow \mathbb{R}^k$ be a d.c. mapping. Let $C := \{u \in \mathbb{R}^2 : f'(u) = 0\}$. Then $\mathcal{H}^1(f(C)) = 0$.*

We also use (relatively easy) Morse-Sard theorem, whose proof can be found in [13].

Theorem 2.7. *Let $f : \mathbb{R} \rightarrow \mathbb{R}^k$ be a d.c. mapping. Let $C := \{u \in \mathbb{R} : f'(u) = 0\}$. Then $\mathcal{H}^{1/2}(f(C)) = 0$.*

The basic properties of the Grassmann manifold $G(n, k)$ are described in [5, p. 2] (where it is denoted by I_k^n). We will use only the following easy fact.

Lemma 2.8 (see [5, p. 15]). *Let $1 < k < n$. Then the set \mathcal{N} of all linearly independent k -tuples $(v_1, \dots, v_k) \in (\mathbb{R}^n)^k$ is open and the mapping $G : \mathcal{N} \rightarrow G(n, k)$; $G(v_1, \dots, v_k) = \text{Lin}\{v_1, \dots, v_k\}$ is locally Lipschitz.*

Finally, we introduce the following notation.

Definition 2.9. Let $K \subset \mathbb{R}^n$ be a convex closed set and $I \subset \partial K$ be a convex set. Then we define $m(I, K) \in \mathbb{N}$ as the maximum number such that I is contained in an $m(I, K)$ -dimensional convex subset of ∂K .

Further, for $j = 1, \dots, n - 1$, denote by $U_j(K)$ the set of all segments $I \subset \partial K$ such that $m(I, K) = j$ and denote by $D_j(K)$ the set of all $u \in S^{n-1}$ that are parallel to some $I \in U_j(K)$.

3. Directions of maximal $(n - 2)$ -dimensional convex subsets of ∂K

Lemma 3.1. *Let $f : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ be a convex function and let $u_i \in \mathbb{R}^{n-2}$, $i = 1, \dots, n - 1$, be affinely independent vectors. Denote by \mathcal{F}^* the set of all $(n - 2)$ -dimensional convex subsets of ∂K , where $K := \text{epi } f$, which are contained in no $(n - 1)$ -dimensional convex subset of ∂K and intersect each hyperplane $\{(u_i, v, y) : v, y \in \mathbb{R}\}$. Then the set \mathcal{V}^* of all $V \in G(n, n - 2)$, which are parallel to some $F \in \mathcal{F}^*$, is σ -1-rectifiable.*

Proof. Let $i \in \{1, \dots, n - 1\}$. Then put $f_i(v) = f(u_i, v)$ and for each $t \in \mathbb{R}$ choose a number $s_i(t)$ for which $t \in \partial f_i(s_i(t))$, whenever such a number exists. Obviously, each s_i is an increasing function defined on an interval I_i .

Now consider $F \in \mathcal{F}^*$ and an affine function φ on \mathbb{R}^{n-1} such that $F \subset \text{graph } \varphi$ and $\varphi(x) \leq f(x)$ for each $x \in \mathbb{R}^{n-1}$ (see Lemma 2.1). By the definition of \mathcal{F}^* , we can choose numbers v_1, \dots, v_{n-1} such that $(u_i, v_i, f_i(v_i)) \in F$, $i = 1, \dots, n - 1$. There exists $t \in \mathbb{R}$ ($t = \varphi'_{n-1}(x)$ for each $x \in \mathbb{R}^{n-1}$) such that $t \in \partial f_i(v_i)$, $i = 1, \dots, n - 1$.

We have $v_i = s_i(t)$ for each i . Indeed, otherwise there exists $1 \leq j \leq n - 1$ and $v_j^* \neq v_j$ such that $t \in \partial f_j(v_j^*)$. Consequently $(u_j, v_j^*, f_j(v_j^*)) \in \text{graph } \varphi$. Therefore n affinely independent points

$$(u_1, v_1, f_1(v_1)), \dots, (u_{n-1}, v_{n-1}, f_{n-1}(v_{n-1})), (u_j, v_j^*, f_j(v_j^*))$$

are contained in the convex set $C := \text{epi } f \cap \{(x, y) : y \leq \varphi(x)\}$. This contradicts the definition of \mathcal{F}^* , since clearly $F \subset C \subset \text{graph } f = \partial K$.

Consequently \mathcal{V}^* is contained in the image of the mapping

$$\Phi(t) := G(w_1(t), \dots, w_{j-1}(t), w_{j+1}(t), \dots, w_{n-1}(t)), \quad t \in I := \bigcap_{i=1}^{n-1} I_i,$$

(see the definition of G in Section 2), where

$$w_i(t) = (u_i - u_j, s_i(t) - s_j(t), f_i(s_i(t)) - f_j(s_j(t))), \quad 1 \leq i \leq n - 1, \quad i \neq j.$$

To prove that the image of Φ is σ -1-rectifiable, we can clearly suppose that I is a compact non-degenerate interval. Since the functions f_i are locally Lipschitz, the functions $f_i \circ s_i$ have bounded variation on I and therefore, by Lemma 2.8, Φ has bounded variation. Using [6, 2.5.16], we obtain that $\Phi(I)$, and therefore also \mathcal{V}^* , is σ -1-rectifiable. \square

Theorem 3.2. *For each n -dimensional convex closed set $K \subset \mathbb{R}^n$, the set $F_{n-2}^*(K)$ is σ -1-rectifiable.*

Proof. By Remark 2.5, it suffices to prove the theorem for $K = \text{epi } f$, where $f : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ is a convex function.

Let F be an $(n - 2)$ -dimensional convex subset of ∂K that is contained in no $(n - 1)$ -dimensional convex subset of ∂K . Choose $n - 1$ affinely independent points $(e_1^{(r)}, \dots, e_n^{(r)})$, $r = 0, \dots, n - 2$, in F . Clearly $(e_1^{(r)}, \dots, e_{n-1}^{(r)})$, $r = 0, \dots, n - 2$, are also affinely independent. Therefore the matrix $(e_j^{(r)} - e_j^{(0)})$, $r = 1, \dots, n - 2$, $j = 1, \dots, n - 1$, has rank $n - 2$.

Thus there exists a sequence $1 \leq l_1 < l_2 < \dots < l_{n-2} \leq n - 1$ such that $(e_{l_1}^{(r)}, \dots, e_{l_{n-2}}^{(r)})$, $r = 0, \dots, n - 2$, are affinely independent. Therefore there exist $(n - 1)$ affinely independent points $(s_1^{(i)}, s_2^{(i)}, \dots, s_{n-2}^{(i)}) \in \mathbb{Q}^{n-2}$, $i = 1, \dots, n - 1$, in

$$\text{conv}\{(e_{l_1}^{(r)}, \dots, e_{l_{n-2}}^{(r)}) : r = 0, \dots, n - 2\}.$$

So F intersects each hyperplane

$$\{(x_1, \dots, x_n) \in \mathbb{R}^n : x_{l_q} = s_q^{(i)}, q = 1, \dots, n - 2\}, i = 1, \dots, n - 1.$$

Since there are finitely many sequences l_1, \dots, l_{n-2} and countably many sequences $(s_1^{(i)}, \dots, s_{n-2}^{(i)}) \in \mathbb{Q}^{n-2}$, $i = 1, \dots, n - 1$, Theorem 3.2 follows from Lemma 3.1 (using suitable permutations of coordinates). \square

Remark 3.3. It follows from the proof of Lemma 3.1 that, for an n -dimensional convex closed set $K \subset \mathbb{R}^n$, $D_{n-2}(K)$ is σ - $(n - 2)$ -rectifiable. Indeed, there exist Lipschitz functions $z_{i,k}$, $i = 1, \dots, n - 2$, $k \in \mathbb{N}$, on \mathbb{R} such that \mathcal{V}^* is contained in the union of sets

$$Z_k := \{\text{Lin}\{z_{1,k}(t), \dots, z_{n-2,k}(t)\}, t \in \mathbb{R}\}, k \in \mathbb{N}.$$

Further, for every $k \in \mathbb{N}$ and every line segment J in

$$\text{Lin}\{z_{1,k}(t), \dots, z_{n-2,k}(t)\}, t \in \mathbb{R},$$

J is parallel to

$$\psi\left(\sum_{i=1}^{j-1} t_i \cdot z_{i,k}(t) + z_{j,k}(t) + \sum_{i=j+1}^{n-2} t_{i-1} \cdot z_{i,k}(t)\right),$$

for some $(t_1, \dots, t_{n-3}) \in \mathbb{R}^{n-3}$ and $j = 1, \dots, n - 2$, where $\psi(x) = \frac{x}{\|x\|}$.

Since ψ is locally Lipschitz on $\mathbb{R}^n \setminus \{0\}$, the set of all $u \in S^{n-1}$ parallel to a segment in Z_k is contained in a union of $n - 2$ locally Lipschitz images of $\mathbb{R} \times \mathbb{R}^{n-3}$.

Remark 3.4. For $n = 3$, Theorem 3.2 is clearly equivalent to the statement that $D_1(K)$ (see Definition 2.9) is σ -1-rectifiable, and so generalizes Mc Minn's result. Indeed, $D_2(K)$ consists of countably many circles, since $F_2(K)$ is obviously countable.

4. A dual formulation of questions on $D(K)$; results on tangent hyperplanes of d.c. functions

As usual, a hyperplane $T \subset \mathbb{R}^{k+1}$ of the form $T = \{(x, y) \in \mathbb{R}^k \times \mathbb{R} : y = \langle a, x \rangle + c\}$ will be identified with $(a, c) \in \mathbb{R}^{k+1}$.

If $f : \mathbb{R}^k \rightarrow \mathbb{R}$ is a function, then the set of all tangent hyperplanes to the graph of f will be denoted by $T(f)$. So $T(f) \subset \mathbb{R}^{k+1}$ consists of all (c, d) , where $c = f'(a)$ and $d = f(a) - \langle a, f'(a) \rangle$.

We will need the following easy lemma.

Lemma 4.1. *Let g, h be convex functions on \mathbb{R}^k . Set*

$$E(g, h) := \{(x^* - y^*, g(a) - h(a) - \langle a, x^* - y^* \rangle) : a \in \mathbb{R}^{n-2}, x^* \in \partial g(a), y^* \in \partial h(a)\}.$$

Then $T(g - h) \subset E(g, h)$.

Proof. Let $c \in \mathbb{R}^k, d \in \mathbb{R}$ and $(c, d) \in T(g - h)$. Choose $a \in \mathbb{R}^k$ such that $c = f'(a), d = f(a) - \langle a, f'(a) \rangle$. Further, choose an arbitrary $y^* \in \partial h(a)$. Then it is easy to see that $x^* := y^* + f'(a) \in \partial g(a)$ and therefore $(c, d) = (f'(a), f(a) - \langle a, f'(a) \rangle)$ belongs to $E(g, h)$. □

For a short and sufficiently general formulation of our duality results, suppose that \mathcal{I}_1 is a σ -ideal of subsets S^{n-1} and \mathcal{I}_2 is a σ -ideal of subsets of \mathbb{R}^{n-1} with the following property: If $G \subset \mathbb{R}^{n-1}, H \subset S^{n-1}$ are open sets and $\varphi : G \rightarrow H$ is a diffeomorphism (where we consider H as an $(n - 1)$ -dimensional C^1 manifold), then $A \subset G$ belongs to \mathcal{I}_2 if and only if $\varphi(A)$ belongs to \mathcal{I}_1 .

Proposition 4.2. *Let $n \geq 3$ and $\mathcal{I}_1, \mathcal{I}_2$ be as above. Then the following two assertions hold.*

- (i) *The following statements are equivalent.*
 - (a) *If $K \subset \mathbb{R}^n$ is a convex body, then $D(K) \in \mathcal{I}_1$.*
 - (b) *For each pair of convex functions $g : \mathbb{R}^{n-2} \rightarrow \mathbb{R}, h : \mathbb{R}^{n-2} \rightarrow \mathbb{R}$, the set*

$$E(g, h) := \{(x^* - y^*, g(a) - h(a) - \langle a, x^* - y^* \rangle) : a \in \mathbb{R}^{n-2}, x^* \in \partial g(a), y^* \in \partial h(a)\}$$

belongs to \mathcal{I}_2 .

- (ii) *Let $T(w) \in \mathcal{I}_2$ for each d.c. function $w : \mathbb{R}^{n-2} \rightarrow \mathbb{R}$. Then $D_1(K) \in \mathcal{I}_1$ for each convex body $K \subset \mathbb{R}^n$.*

(Of course, $E(g, h) \subset \mathbb{R}^{n-1}$ after the usual identifications $(\mathbb{R}^{n-2})^* = \mathbb{R}^{n-2}$ and $\mathbb{R}^{n-2} \times \mathbb{R} = \mathbb{R}^{n-1}$.)

Proof. Denote $P := \{(x_1, \dots, x_n) \in \mathbb{R}^n : x_1 > 0\}$ and define the function $\Phi_1 : P \rightarrow \mathbb{R}^{n-1}$ by

$$\Phi_1(x_1, x_2, \dots, x_n) = \left(-\frac{x_2}{x_1}, -\frac{x_3}{x_1}, \dots, -\frac{x_{n-1}}{x_1}, \frac{x_n}{x_1} \right).$$

The restriction of Φ_1 to $P \cap S^{n-1}$ is clearly a diffeomorphism of $P \cap S^{n-1}$ onto \mathbb{R}^{n-1} .

First suppose that (a) holds. It is clearly sufficient to prove that for all $m \in \mathbb{N}$ the set

$$E_m(g, h) := \{(x^* - y^*, g(a) - h(a) - \langle a, x^* - y^* \rangle) : a \in B_{\mathbb{R}^{n-2}}(0, m), x^* \in \partial g(a), y^* \in \partial h(a)\}$$

belongs to \mathcal{I}_2 . Fix $m \in \mathbb{N}$. By Lemma 2.4 we can suppose that g^* and h^* are finite. Set

$$B_0 := \{0\} \times \text{epi } g^*, \quad B_1 := \{1\} \times \text{epi } h^*, \quad B := \overline{\text{conv}(B_0 \cup B_1)}.$$

It is clear that the closed convex set B has a non-empty interior. By (a) and Remark 2.5 we obtain $D(B) \in \mathcal{I}_1$ and so $\Phi_1(D(B) \cap P) \in \mathcal{I}_2$. Thus it is sufficient to prove that $\Phi_1(D(B) \cap P) \supset E_m(g, h)$.

Let $a \in B_{\mathbb{R}^{n-2}}(0, m)$, $x^* \in \partial g(a)$, $y^* \in \partial h(a)$. Then $a \in \partial g^*(x^*) \cap \partial h^*(y^*)$. Let us define the affine function q on $\mathbb{R}^{n-1} = \mathbb{R} \times \mathbb{R}^{n-2}$ by

$$q(t, v) := g^*(x^*) + \langle a, v \rangle - \langle a, x^* \rangle + t \cdot (h^*(y^*) - g^*(x^*) + \langle a, x^* - y^* \rangle), \quad t \in \mathbb{R}, \quad v \in \mathbb{R}^{n-2}.$$

Obviously,

$$\begin{aligned} q(0, v) &= g^*(x^*) + \langle a, v - x^* \rangle \leq g^*(v), \\ q(1, v) &= h^*(y^*) + \langle a, v - y^* \rangle \leq h^*(v). \end{aligned}$$

Thus, for $(e, f) \in B_0 \cup B_1$ with $e \in \mathbb{R}^{n-1}$, $f \in \mathbb{R}$, we have $q(e) \leq f$. Hence $q(e) \leq f$ also for each $(e, f) \in B$ with $e \in \mathbb{R}^{n-1}$, $f \in \mathbb{R}$. Clearly $q(0, x^*) = g^*(x^*)$ and $q(1, y^*) = h^*(y^*)$. Therefore the line segment with endpoints $(0, x^*, g^*(x^*))$, $(1, y^*, h^*(y^*))$ lies in ∂B . Letting $w := (1, y^*, h^*(y^*)) - (0, x^*, g^*(x^*))$, we obtain $w/\|w\| \in D(B) \cap P$. Since

$$\begin{aligned} \Phi_1(w/\|w\|) &= \Phi_1(w) = (x^* - y^*, h^*(y^*) - g^*(x^*)) \\ &= (x^* - y^*, -h(a) + \langle a, y^* \rangle + g(a) - \langle a, x^* \rangle) \\ &= ((x^* - y^*), g(a) - h(a) - \langle a, x^* - y^* \rangle), \end{aligned}$$

we have proved $\Phi_1(D(B) \cap P) \supset E_m(g, h)$.

Now we will prove simultaneously the opposite implication of (i) and the statement (ii). Consider an arbitrary convex function $f : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$. By Remark 2.5 and a similar observation concerning $D_1(K)$, it is sufficient to prove that

- (α) $D(\text{epi } f) \in \mathcal{I}_1$, if (b) holds,
- (β) $D_1(\text{epi } f) \in \mathcal{I}_1$, if $T(w) \in \mathcal{I}_2$ for each d.c. function $w : \mathbb{R}^{n-2} \rightarrow \mathbb{R}$.

Denote $\pi_{r,i} := \{(x_1, \dots, x_n) \in \mathbb{R}^n : x_i = r\}$ for $r \in \mathbb{Q}$, $i = 1, \dots, n - 1$. For every pair of parallel hyperplanes $\pi_{r_0,i}, \pi_{r_1,i}$ with $r_0, r_1 \in \mathbb{Q}$, $r_0 \neq r_1$, $i = 1, \dots, n - 1$, and for every $m \in \mathbb{N}$, let us denote by $F(f, r_0, r_1, i, m)$ the set of all $u \in S^{n-1}$ parallel to a segment J on the graph of f such that the endpoints of J belong to $B(0, m)$ and $\text{ri } J$ intersects both $\pi_{r_0,i}$ and $\pi_{r_1,i}$. It is easy to see that

$$D(\text{epi } f) = \bigcup F(f, r_0, r_1, i, m), \quad D_1(\text{epi } f) = \bigcup (F(f, r_0, r_1, i, m) \cap D_1), \quad (1)$$

where the union is taken over all r_0, r_1, i, m considered above. Now consider $m \in \mathbb{N}$ and an arbitrary $s \in F_m := P \cap F(f, 0, 1, 1, m)$. Choose a segment J parallel to s on the graph of f such that the endpoints of J belong to $B(0, m)$ and $\text{ri } J$ contains points $(a_0, f(a_0)), (a_1, f(a_1))$, where $a_0 = (0, a'_0)$ and $a_1 = (1, a'_1)$. Obviously,

$$\Phi_1(s) = \Phi_1((a_1, f(a_1)) - (a_0, f(a_0))).$$

Denote $f_0 := f(0, \cdot)$, $f_1 := f(1, \cdot)$, and $g := (f_0)^*$, $h := (f_1)^*$. Lemma 2.4 easily implies that we can suppose that the dual functions g, h are finite.

By Lemma 2.1 there exists an affine function $\varphi : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ such that

$$\begin{aligned} \varphi(z) &\leq f(z) \quad \text{for } z \in \mathbb{R}^{n-1}, \\ \varphi(\lambda a_0 + (1 - \lambda)a_1) &= f(\lambda a_0 + (1 - \lambda)a_1) \quad \text{for } \lambda \in [0, 1]. \end{aligned}$$

Let $u_1, v \in \mathbb{R}$, $u_2 \in \mathbb{R}^{n-2}$ be such that

$$\varphi(z) = v + \langle (u_1, u_2), z \rangle, \quad z \in \mathbb{R}^{n-1}.$$

Since, for each $x \in \mathbb{R}^{n-2}$,

$$f_0(x) \geq \varphi(0, x) = v + \langle u_2, a'_0 \rangle + \langle u_2, x - a'_0 \rangle = f_0(a'_0) + \langle u_2, x - a'_0 \rangle,$$

we get $u_2 \in \partial f_0(a'_0)$. Similarly we get $f_1(x) \geq f_1(a'_1) + \langle u_2, x - a'_1 \rangle$ and $u_2 \in \partial f_1(a'_1)$. Hence $a'_0 \in \partial g(u_2)$, $a'_1 \in \partial h(u_2)$.

Further,

$$\begin{aligned} \Phi_1(s) &= \Phi_1((a_1, f(a_1)) - (a_0, f(a_0))) = (a'_0 - a'_1, f_1(a'_1) - f_0(a'_0)) \\ &= (a'_0 - a'_1, h^*(a'_1) - g^*(a'_0)) \\ &= (a'_0 - a'_1, -h(u_2) + \langle u_2, a'_1 \rangle + g(u_2) - \langle u_2, a'_0 \rangle) \\ &= (a'_0 - a'_1, g(u_2) - h(u_2) - \langle u_2, a'_0 - a'_1 \rangle) \in E(g, h). \end{aligned}$$

We have proved $\Phi_1(F_m) \subset E(g, h)$. Therefore $\Phi_1(F_m) \in \mathcal{I}_2$, and so $F_m \in \mathcal{I}_1$. Obviously, also $F(f, 0, 1, 1, m) \in \mathcal{I}_1$. By a similar argument we can prove that each $F(f, r_0, r_1, i, m)$ belongs to \mathcal{I}_1 . So (1) implies (α) .

To prove (β) , it is clearly sufficient to prove that $\Phi_1(s) \in T(g - f)$, if J is as above and moreover $J \in U_1(\text{epi } f)$ (see Definition 2.9).

Proceeding as above, we then obtain that g is differentiable at u_2 . Otherwise there exists $a''_0 \neq a'_0$, $a''_0 \in \partial g(u_2)$. Then $u_2 \in \partial f_0(a'_0) \cap \partial f_0(a''_0)$. Hence the function f is affine on $\text{conv}\{(0, a'_0), (0, a''_0)\}$ and therefore

$$I' := \text{conv}\{(0, a'_0, f(a_0)), (0, a''_0, f(0, a''_0))\} \subset \text{graph } f.$$

By Lemma 2.2, $\text{conv}(J \cup I') \subset \text{graph } f$ and $\dim \text{conv}(J \cup I') = 2$. But this is impossible because $J \in U_1(\text{epi } f)$.

Similarly we see that h is differentiable at u_2 . Thus, $a'_0 - a'_1 = (g - h)'(u_2)$. So (2) implies

$$\Phi_1(s) = ((g - h)'(u_2), (g - h)(u_2) - \langle u_2, (g - h)'(u_2) \rangle) \in T(g - h).$$

□

Combining Lemma 4.1, Proposition 4.2 and (ELR 1), we obtain the following result on tangent hyperplanes of d.c. functions.

Theorem 4.3. *Let $f : \mathbb{R}^k \rightarrow \mathbb{R}$ be a d.c. function (i.e. a difference of two convex functions). Let $T(f)$ denote the set of all tangent hyperplanes to the graph G_f of f . Then $T(f)$ has σ -finite k -dimensional Hausdorff measure.*

We note that there exists a C^1 -function $f : \mathbb{R}^k \rightarrow \mathbb{R}$ such that $T(f)$ has positive $(k + 1)$ -dimensional Hausdorff measure (see [3]).

Remark 4.4. Theorem 4.3 can be slightly generalized. Indeed, the proof of Lemma 4.1 shows that the set $T(f)$ can be replaced, in Lemma 4.1 and hence also in Theorem 4.3, by the larger set $T^s(f)$ of all “almost supporting hyperplanes”. We say that a hyperplane $H \subset \mathbb{R}^{k+1}$ belongs to $T^s(f)$, if it is the graph of an affine function g such that there exists $a \in \mathbb{R}^k$ for which $f(a) = g(a)$ and $\limsup_{x \rightarrow a} (g(x) - f(x)) / \|x - a\| \leq 0$.

Consequently, if f is convex, then the set $T^s(f)$ (which is equal to the set of all supporting hyperplanes to $\text{epi } f$ in this case) has σ -finite k -dimensional Hausdorff measure. However, it is an obvious fact, since $T^s(f) = \{(x, -f^*(x)) : f^*(x) < \infty\}$ is even σ - k -rectifiable.

Using Proposition 4.2 and Remark 3.3, we easily show (without using any deeper result from literature) that the duality between $D(K)$ and $T(f)$ is very sharp for $n = 3$ and $n = 4$.

Proposition 4.5. *Let \mathcal{I}_1 and \mathcal{I}_2 be σ -ideals having the property formulated before Proposition 4.2. Suppose that $n = 4$ and \mathcal{I}_1 contains all σ -2-rectifiable sets or $n = 3$ and \mathcal{I}_1 contains all intersections $S^2 \cap V$, where $V \in G(3, 2)$.*

Then the following assertions are equivalent.

- (i) *For each convex body $K \subset \mathbb{R}^n$, the set $D(K)$ belongs to \mathcal{I}_1 .*
- (ii) *For each d.c. function $f : \mathbb{R}^{n-2} \rightarrow \mathbb{R}$, the set $T(f)$ belongs to \mathcal{I}_2 .*

Proof. Combining Lemma 4.1 and Proposition 4.2, we get the implication (i) \Rightarrow (ii) in both cases.

Now suppose (ii) holds. Then Proposition 4.2 implies $D_1(K) \in \mathcal{I}_1$ in both cases. Further, in both cases, F_{n-1} is countable and consequently D_{n-1} is covered by countably many sets of the form $S^{n-1} \cap V$, where $V \in G(n, n - 1)$. So, in the case $n = 3$, $D_2(K) \in \mathcal{I}_1$ and therefore (i) holds.

Now, let $n = 4$. Since, for each $V \in G(4, 3)$, $S^3 \cap V$ is σ -2-rectifiable, we obtain $D_3(K) \in \mathcal{I}_1$. Since $D_2(K)$ is σ -2-rectifiable by Remark 3.3, we obtain (i). \square

Applying Proposition 4.5 in the case when $n = 4$ and \mathcal{I}_1 and \mathcal{I}_2 are systems of all σ -2-rectifiable sets (or σ - H^2 -rectifiable sets), we obtain a reformulation of Question 1.1 (for $n = 4$) in the language of tangent hyperplanes of d.c. functions $f : \mathbb{R}^2 \rightarrow \mathbb{R}$.

Using Proposition 4.5 in the case $n = 3$, we obtain a reformulation of Question 1.2 in the language of tangent hyperplanes of d.c. functions $f : \mathbb{R} \rightarrow \mathbb{R}$.

Another application of Proposition 4.5 is contained in the following remark.

Remark 4.6. Proposition 4.5 and the Morse-Sard theorem of Landis [9] (Theorem 2.6

above, case $k = 1$) easily imply that the set $D(K)$ has zero 3-dimensional Hausdorff measure for each convex body $K \subset \mathbb{R}^4$.

Indeed, for each d.c. function f on \mathbb{R}^2 and for each $a \in \mathbb{R}^2$, Theorem 2.6 (applied to the function $\tilde{f} = f - \langle a, \cdot \rangle$) implies that the set

$$\{f(x) - \langle a, x \rangle : x \in \mathbb{R}^2, f'(x) - a = 0\}$$

is Lebesgue null.

In other words, the set $\{c \in \mathbb{R} : (a, c) \in T(f)\}$ is Lebesgue null for each $a \in \mathbb{R}^2$. Consequently Fubini's theorem implies that $T(f)$ is Lebesgue null. Applying Proposition 4.5 in the case when $n = 4$ and \mathcal{I}_1 and \mathcal{I}_2 are the systems of all sets of zero 3-dimensional Hausdorff measure, we obtain the desired result.

Thus, for $n = 4$, we get the answer to the question of Klee ([7]) using a completely different proof than that in [5].

Larman and Rogers proved the following theorem [10, Theorem 2].

Theorem 4.7. *Let $B \subset \mathbb{R}^n$ be an n -dimensional convex closed set, $n \geq 3$, and S be a hyperplane in \mathbb{R}^n . Let $D_S(B)$ be the set of all $u \in S^{n-1}$ parallel to S and parallel to some line segment in ∂B which do not meet the intersection of B with supporting hyperplanes, that are parallel to S , at points in the interior of these intersections relative to the supporting hyperplanes. Then $D_S(B)$ has zero $(n-2)$ -dimensional Hausdorff measure.*

- Remark 4.8.** 1. In fact, this theorem is proved in [10] in the case when B is a convex body. But this special case obviously implies the general case (cf. Remark 2.5).
 2. Using (ELR 1), one easily sees that (LR) in Section 1 is a reformulation of Theorem 4.7. Indeed, the vectors in $D_S(B) \setminus F_1^S(B)$ are parallel to a segment in the relative boundary of the intersection of B with a supporting hyperplane parallel to S (relative to this supporting hyperplane). Therefore (ELR 1) easily implies that $D_S(B) \setminus F_1^S(B)$ has σ -finite $(n-3)$ -dimensional Hausdorff measure.

From Theorem 4.7 we now deduce two theorems on special tangent hyperplanes to the graph of a d.c. function. In fact, we repeat the proof of Proposition 4.2, the implication (a) \Rightarrow (b), with some additional arguments.

Proposition 4.9. *Let $n \geq 3$, $z \in \mathbb{R}^{n-1}$ and $f : \mathbb{R}^{n-2} \rightarrow \mathbb{R}$ be a d.c. function. Denote by $T_z(f)$ the set of all tangent hyperplanes to the graph of f containing z . Then $T_z(f)$ has zero $(n-2)$ -dimensional Hausdorff measure.*

Proof. We can assume that $z = 0$. Indeed, $(a, c) \in \mathbb{R}^{n-2} \times \mathbb{R}$ belongs to $T_z(f)$, if and only if (a, c) belongs to $T_0(g)$, where $g(u) = f(u+z_1) - z_2$, $u \in \mathbb{R}^{n-2}$ and $z = (z_1, z_2) \in \mathbb{R}^{n-2} \times \mathbb{R}$.

We can also suppose that $f = g - h$, where g, h are convex and f^*, g^* are finite (otherwise we take $g + \|\cdot\|^2, h + \|\cdot\|^2$ instead of g, h).

Let P, Φ_1 and B have the same meaning as in the proof of Proposition 4.2, implication (a) \Rightarrow (b). Set

$$S := \{(u_1, \dots, u_n) \in \mathbb{R}^n : u_n = 0\}.$$

Then the set $D_S(B)$ in Theorem 4.7 has zero $(n - 2)$ -dimensional Hausdorff measure. We shall prove that

$$\begin{aligned} \tilde{T}_0(f) &:= \{(f'(a), 0) \in \mathbb{R}^{n-1} : 0 \neq a \in \mathbb{R}^{n-2}, \\ &\quad f'(a) \text{ exists, } f(a) = \langle a, f'(a) \rangle\} \subset \Phi_1(D_S(B) \cap P). \end{aligned} \tag{2}$$

Let $0 \neq a \in \mathbb{R}^{n-2}$, $f(a) = \langle a, f'(a) \rangle$. Then there exist $x^* \in \partial g(a)$, $y^* \in \partial h(a)$, such that $x^* - y^* = f'(a)$ (see Lemma 4.1). Therefore $a \in \partial g^*(x^*) \cap \partial h^*(y^*)$. We define the affine function q as in the proof of Proposition 4.2 and obtain that the line segment J with endpoints $w_0 = (0, x^*, g^*(x^*))$ and $w_1 = (1, y^*, h^*(y^*))$ lies in ∂B . Since

$$h^*(y^*) - g^*(x^*) = g(a) - h(a) - \langle a, x^* - y^* \rangle = f(a) - \langle a, f'(a) \rangle = 0,$$

the segment J is parallel to S . We have also

$$\Phi_1\left(\frac{w_1 - w_0}{\|w_1 - w_0\|}\right) = \Phi_1(w_1 - w_0) = (x^* - y^*, g(a) - h(a) - \langle a, x^* - y^* \rangle) = (f'(a), 0).$$

Now suppose that H is a supporting hyperplane to B , that is parallel to S , and J meets $B \cap H$ at a point z in the interior of $B \cap H$ relative to H . Then H is the unique supporting hyperplane to B at z . Since $\text{epi } q \supset B$ and $\text{graph } q \supset \text{conv}\{w_0, w_1\}$, we obtain $H = \text{graph } q$. Therefore $a = 0$, which is a contradiction.

So we have proved $\frac{w_1 - w_0}{\|w_1 - w_0\|} \in D_S(B) \cap P$, which implies (2). Since Φ_1 is locally Lipschitz, (2) implies that $\tilde{T}_0(f)$ has zero $(n - 2)$ -dimensional Hausdorff measure. Observing that there is at most one hyperplane in $T_0(f) \setminus \tilde{T}_0(f)$, we obtain the assertion of the theorem. \square

Proposition 4.10. *Let $n \geq 3$, $f : \mathbb{R}^{n-2} \rightarrow \mathbb{R}$ be a d.c. function and let L be a line in \mathbb{R}^{n-1} . Denote by $T_L(f)$ the set of all tangent hyperplanes to the graph of f that are parallel to L . Then $T_L(f)$ has zero $(n - 2)$ -dimensional Hausdorff measure.*

Proof. Using a suitable change of the coordinates, we can assume that L is parallel to $((1, 0, \dots, 0), \alpha)$ for some $\alpha \in \mathbb{R}$. We can also assume $\alpha = 0$. Indeed, denoting by L^* a line parallel to $((1, 0, \dots, 0), 0)$, we easily see that $(a, c) \in \mathbb{R}^{n-2} \times \mathbb{R}$ belongs to $T_L(f)$, if and only if $(a - (\alpha, 0, \dots, 0), c)$ belongs to $T_{L^*}(g)$, where $g(u_1, \dots, u_{n-2}) = f(u_1, \dots, u_{n-2}) - \alpha \cdot u_1$. So

$$T_L(f) = \{(f'(a), f(a) - \langle a, f'(a) \rangle) : a \in \mathbb{R}^{n-2}, f'(a) \text{ exists, } f'_1(a) = 0\}$$

(where f'_1 denotes the first partial derivative).

As in the proof of Proposition 4.9, we can suppose that $f = g - h$, where g, h are convex functions and g^*, h^* are finite. Let P, Φ_1 and B have again the same meaning as in the proof of Proposition 4.2, implication (a) \Rightarrow (b). Set

$$S := \{(u_1, \dots, u_n) \in \mathbb{R}^n : u_2 = 0\}.$$

We shall prove that $\Phi_1(D_S(B)) \supset T_L(f)$.

Let $a \in \mathbb{R}^{n-2}$ with $f'_1(a) = 0$. There exist $x^* = (x_1^*, \dots, x_{n-2}^*) \in \partial g(a)$ and $y^* = (y_1^*, \dots, y_{n-2}^*) \in \partial h(a)$ such that $x^* - y^* = f'(a)$. Obviously, $x_1^* = y_1^*$. The line segment

with endpoints $w_0 = (0, x^*, g^*(x^*))$ and $w_1 = (1, y^*, h^*(y^*))$ lies in ∂B , is parallel to S and $\Phi_1(w_1 - w_0) = (f'(a), f(a) - \langle a, f'(a) \rangle)$. Obviously, there is no supporting hyperplane to B parallel to L . So we easily see that $T_L(f) \subset \Phi_1(D_S(B) \cap P)$. Since Φ_1 is locally Lipschitz, Theorem 4.7 implies that $T_P(f)$ has zero $(n - 2)$ -dimensional Hausdorff measure. \square

Remark 4.11. For $n = 3$ we can assert in Proposition 4.9 and Proposition 4.10 that the corresponding sets of tangent hyperplanes are even of zero 1/2-dimensional Hausdorff measure. This fact easily follows from Theorem 2.7. We could also use, in the above proofs, Theorem 6.2 (cf. Remark 6.3) instead of Theorem 4.7.

For $n = 4$, we could deduce the above-mentioned propositions from Theorem 2.6 as in Remark 4.6.

5. McMinn’s result is close to the best possible one

Let us consider the space $BVC([0, 1])$ of all continuous functions on $[0, 1]$ of bounded variation equipped with the norm defined by

$$\|g\| := \sup_{[0,1]} g + V_0^1 g,$$

where $V_0^1 g$ means the variation of g on $[0, 1]$. It is well known that $BVC([0, 1])$ is a Banach space. (It follows easily from the fact that the space $BV([0, 1])$ equipped with the norm

$$\|g\|_1 := |g(0_+)| + V_0^1 g$$

is a Banach space; see [4, Chap. IV.12]. Indeed, these two norms are equivalent on $BVC([0, 1])$ and $BVC([0, 1])$ is closed in $BV([0, 1])$.)

Lemma 5.1. *There exist a dense subset A of $(0, 1)$ and a function $g \in BVC([0, 1])$ such that, for each $a \in A$ and each $\varepsilon > 0$, there exist $e, e' \in (a, a + \varepsilon) \cap (0, 1)$ such that $e < e'$ and*

- (i) $g(a) = g(e)$,
- (ii) $\int_a^e (g(x) - g(a)) \, dx < 0$,
- (iii) $g(x) > g(a)$ for each $x \in (e, e']$,
- (iv) $g(e') - g(a) \geq |g(a) - g(x)|$ for each $x \in (a, e')$.

Proof. For each $a \in (0, 1)$ and $n \in \mathbb{N}$, denote by $Q(a, n)$ the set of all functions $g \in BVC([0, 1])$ such that there exist $e, e' \in (a, a + \frac{1}{n}) \cap (0, 1)$, $e < e'$, for which (i), (ii), (iii) and (iv) hold.

Now we shall prove that $\text{int } Q(a, n)$ is dense in $BVC([0, 1])$.

Let $h \in BVC([0, 1])$ and $\delta > 0$ be given. Choose $0 < \delta_2 < \frac{1}{6} \min\{\frac{1}{n}, 1 - a\}$ so small that

the function f defined by

$$\begin{aligned} f(x) &= h(x) \text{ for } x \geq a + 6\delta_2 \text{ or } x \leq a, \\ f(a + \delta_2) &= f(a) - \delta_2, \\ f(a + 4\delta_2) &= f(a) + 2\delta_2, \\ f(x) &\text{ is affine on } [a, a + \delta_2], [a + \delta_2, a + 4\delta_2], [a + 4\delta_2, a + 6\delta_2], \end{aligned}$$

fulfils $\|h - f\| \leq \delta$. Set $\delta_1 := \frac{\delta_2}{24}$ and suppose that $g \in BVC([0, 1])$ with $\|g - f\| < \delta_1$ be given. We shall prove $g \in Q(a, n)$.

Obviously, $g(a + \delta_2) < g(a)$ and $g(x) > g(a)$ for all $x \in [a + 3\delta_2, a + 4\delta_2]$. Set

$$e := \sup\{x \in [a + \delta_2, a + 4\delta_2] : g(x) = g(a)\}$$

and choose $e' \in [a, a + 4\delta_2]$ such that $g(e') = \sup\{g(x) : x \in [a, a + 4\delta_2]\}$. We easily get $e \in [a + \delta_2, a + 3\delta_2)$, $e' \in [a + 3\delta_2, a + 4\delta_2]$ and (i), (iii) and (iv) clearly hold. Since

$$\begin{aligned} \int_a^e (g(x) - g(a)) dx &\leq \int_a^e (f(x) - f(a)) dx + 2\delta_1(e - a) \\ &\leq \int_a^{a+\delta_2} (f(x) - f(a)) dx + 2\delta_1 \cdot 3\delta_2 = -\frac{1}{2}\delta_2^2 + \frac{1}{4}\delta_2^2 < 0, \end{aligned}$$

the condition (ii) holds too.

So the set $Q := \bigcap Q(a, n)$, where the intersection is taken over all $a \in \mathbb{Q} \cap (0, 1)$ and $n \in \mathbb{N}$, is residual. Now, to prove the lemma, it is sufficient to choose $g \in Q$ and to put $A = \mathbb{Q} \cap (0, 1)$. □

If $M \subset \mathbb{R}^n$ and $a \in \mathbb{R}^n$, we denote by $\text{Tan}(M, a)$ the tangent (contingent) cone of M at a (see [6, 3.1.21]). Recall that $0 \in \text{Tan}(M, a)$ if and only if $a \in \overline{M}$ and $b \in \mathbb{R}^n \setminus \{0\}$ belongs to $\text{Tan}(M, a)$ if and only if there exists a sequence (a_n) in $M \setminus \{a\}$ with

$$a_n \rightarrow a \text{ and } \frac{a_n - a}{\|a_n - a\|} \rightarrow \frac{b}{\|b\|}.$$

For a d.c. function $G : \mathbb{R} \rightarrow \mathbb{R}$ of class C^1 we define the mapping $\tilde{G}(x) = (G'(x), G(x) - xG'(x))$, $x \in \mathbb{R}$.

Lemma 5.2. *There exists a d.c. function $G : \mathbb{R} \rightarrow \mathbb{R}$ of class C^1 such that, in every non-empty open interval $I \subset (0, 1)$, there exists $a \in I$ such that $\text{Tan}(\tilde{G}(I), \tilde{G}(a))$ contains infinitely many half-lines starting at 0.*

Proof. Take g and A as in Lemma 5.1. Choose increasing continuous functions g_1, g_2 on \mathbb{R} such that $g = g_1 - g_2$ on $[0, 1]$. Define $G(x) := \int_0^x (g_1(t) - g_2(t)) dt$, $x \in \mathbb{R}$. Obviously, G is a d.c. function of class C^1 on \mathbb{R} .

Given an open interval $I \subset (0, 1)$, choose a point $a \in I \cap A$ and put

$$H(x) = \frac{(G(x) - xG'(x)) - (G(a) - aG'(a))}{G'(x) - G'(a)},$$

whenever $x \in \mathbb{R}$ with $G'(x) \neq G'(a)$.

Observe that $(1, u) \in \text{Tan}(\tilde{G}(I), \tilde{G}(a))$, whenever there exists a sequence $a_n \rightarrow a$ such that $G'(a_n) > G'(a)$ and $H(a_n) = u$.

A simple computation gives

$$H(x) = \frac{\int_a^x (g(t) - g(a)) dt}{g(x) - g(a)} - x.$$

Let $\varepsilon > 0$. Choose $e, e' \in (a, a + \varepsilon) \cap (0, 1)$, which correspond to g, A and ε according to Lemma 5.1. Then, by (iii) and (iv),

$$H(e') + e' \geq -\frac{\int_a^{e'} |g(t) - g(a)| dt}{g(e') - g(a)} \geq a - e',$$

and, by (i), (ii) and (iii), $H(e_+) = -\infty$. Therefore $H((e, e']) \supset (-\infty, a - 2e']$. Therefore, since $\varepsilon > 0$ was arbitrary and (iii) holds, for each $u \in (-\infty, -a)$ there exists a sequence $a_n \rightarrow a$ as above. Hence, $\{1\} \times (-\infty, -a) \subset \text{Tan}(\tilde{G}(I), \tilde{G}(a))$, which completes the proof. □

Proposition 5.3. *Let \mathcal{I}_1 be the σ -ideal generated by all closed sets $C \subset S^2$ such that, for all $c \in C$, $\text{Tan}(C, c)$ consists of points of finitely many half-lines starting at 0. Then there exists a convex set K such that $D(K) \notin \mathcal{I}_1$.*

Proof. Denote by \mathcal{I}_2 the σ -ideal generated by all closed sets $D \subset \mathbb{R}^2$ such that, for all $d \in D$, $\text{Tan}(D, d)$ consists of points of finitely many half-lines starting at 0.

Take G from Lemma 5.2. By Proposition 4.2 and Lemma 4.1, it suffices to prove $T(G) = \{(G'(a), G(a) - aG'(a)) : a \in \mathbb{R}\} \notin \mathcal{I}_2$.

Suppose, on the contrary, $T(G) \in \mathcal{I}_2$. Then $\tilde{G}([0, 1]) \subset \bigcup_{i=1}^\infty D_i$, where D_i are closed sets such that, for all $d \in D_i$, $\text{Tan}(D_i, d)$ consists of points of finitely many half-lines starting at 0. The sets $M_i := (\tilde{G})^{-1}(D_i)$, $i \in \mathbb{N}$, are closed and $\bigcup_{i=1}^\infty M_i \supset [0, 1]$. By the Baire Category Theorem, for some $i \in \mathbb{N}$ there is a non-empty open interval $I \subset M_i \cap [0, 1]$. Since $\tilde{G}(I) \subset D_i$, we obtain that, for each $a \in I$, $\text{Tan}(D_i, \tilde{G}(a))$ consists of finitely many half-lines starting at 0. But this contradicts the choice of G . □

We shall say that $\varphi : [0, 1] \rightarrow \mathbb{R}^n$ has the half-tangents at $a \in (0, 1)$, if the limits

$$\lim_{t \rightarrow a^+} \frac{\varphi(t) - \varphi(a)}{\|\varphi(t) - \varphi(a)\|}, \quad \lim_{t \rightarrow a^-} \frac{\varphi(t) - \varphi(a)}{\|\varphi(t) - \varphi(a)\|}$$

exist. We shall say that φ has the half-tangent at $a \in \{0, 1\}$, if one of the limits exists.

As a consequence of Proposition 5.3, we get the following proposition.

Proposition 5.4. *There exists a convex body $K \subset \mathbb{R}^3$ such that $D(K)$ cannot be covered by countably many images of simple curves $\varphi_i : [0, 1] \rightarrow S^2$ having the half-tangents at all points of $[0, 1]$.*

Proof. If $\varphi : [0, 1] \rightarrow \mathbb{R}^n$ is a simple curve having half-tangents at all points of $[0, 1]$, then, for all $a \in [0, 1]$, $\text{Tan}(\varphi([0, 1]), \varphi(a))$ contains at most two half-lines starting at 0. Thus Proposition 5.4 follows from Proposition 5.3. \square

Let us note that the set $N(K)$ of all non-smooth points of the boundary of a convex body $K \subset \mathbb{R}^3$ can be covered by countably many simple curves having half tangents at all points ([19, Theorem 3]).

Remark 5.5. It is possible to prove (see [14] for a stronger example) that there exists even a convex body $K \subset \mathbb{R}^3$ such that $D(K)$ cannot be covered by images of continuous curves $\varphi_k : [0, 1] \rightarrow S^3$, $k \in \mathbb{N}$, having half-tangents at all points except a countable set. We have proved only the weaker result, since the proof in [14] is much more complicated and a full characterization of the σ -ideal generated by the sets $D(K)$ is not known; it is even possible that this σ -ideal is that of all σ -1-rectifiable sets.

6. The directions of special $(n-2)$ -dimensional and $(n-3)$ -dimensional convex subsets of ∂K

Remember that, for $S \in G(n, s)$, we denote by $F_r^S(K)$ the set of all $V \in G(n, r)$ such that $V \subset S$ and V is parallel to an r -dimensional ball $B \subset \partial K$ for which $(B + S) \cap \text{int } K \neq \emptyset$.

Lemma 6.1. *Let $f : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ be a convex function, $n \geq 3$, and let $u_i \in \mathbb{R}^{n-2}$, $i = 1, \dots, n-1$, be affinely independent vectors. Denote by \mathcal{F} the set of all $(n-2)$ -dimensional convex subsets F of ∂K , where $K = \text{epi } f$, that are parallel to $S := \{(y_1, \dots, y_n) \in \mathbb{R}^n : y_{n-1} = 0\}$ and for which*

$$\{(u_i, v, y) : v, y \in \mathbb{R}\} \cap \text{ri } F \neq \emptyset, \quad i = 1, \dots, n-1.$$

Then the set \mathcal{V} of all $V \in G(n, n-2)$ that are parallel to an $F \in \mathcal{F}$ has zero $1/2$ -dimensional Hausdorff measure.

Proof. For $i \in \{n-2, n-1\}$, denote by \mathcal{F}_i the set of all $F \in \mathcal{F}$ such that $m(F, K) = i$ and denote by \mathcal{V}_i the set of all $V \in G(n, n-2)$ parallel to an $F \in \mathcal{F}_i$.

Then \mathcal{V}_{n-1} is countable since there exist only countably many $V \in G(n, n-1)$ parallel to an $(n-1)$ -dimensional ball in ∂K and in each such V there exists exactly one $V' \in G(n, n-2)$ parallel to S .

We shall prove that \mathcal{V}_{n-2} has zero $1/2$ -dimensional Hausdorff measure.

Let $F \in \mathcal{F}_{n-2}$ and $V \in \mathcal{V}_{n-2}$ be parallel to F . Put $f_i(v) = f(u_i, v)$, $v \in \mathbb{R}$. We can assume (cf. the proof of Lemma 2.4) that f_i^* are finite, $i = 1, \dots, n-1$. Choose $v_1, \dots, v_{n-1} \in \mathbb{R}$ such that $(u_i, v_i, f(v_i)) \in \text{ri } F$, $i = 1, \dots, n-1$. Since F is parallel to S , $v_i = v$, $i = 1, \dots, n-1$, for some $v \in \mathbb{R}$. By Lemma 2.1, there exists an affine function φ on \mathbb{R}^{n-1} such that $F \subset \text{graph } \varphi$ and $\varphi \leq f$. Then clearly $t := \varphi'_{n-1}(x) \in \partial f_i(v)$ for $x \in \mathbb{R}^{n-1}$. Thus $v \in \partial f_i^*(t)$ for $i = 1, \dots, n-1$.

For each $1 \leq i \leq n-1$, the function f_i^* is differentiable at t . Otherwise there exists $v \neq v' \in \partial f_i^*(t)$. Then f_i is affine on $\text{conv}\{v, v'\}$ and thus f is affine on $\{u_i\} \times \text{conv}\{v, v'\}$. Therefore $I := \text{conv}\{(u_i, v, f(u_i, v)), (u_i, v', f(u_i, v'))\} \subset \text{graph } f$. Then, by Lemma 2.2, $\text{conv}(I \cup F) \subset \text{graph } f$, which contradicts $F \in \mathcal{F}_{n-2}$.

We have $V = G(w_2, \dots, w_{n-1})$, where

$$w_i := (u_i - u_1, 0, f_i(v) - f_1(v)) = (u_i - u_1, 0, f_1^*(t) - f_i^*(t)).$$

For each $i \in \{2, \dots, n-1\}$, define the mapping $z_i(s) := (u_i - u_1, 0, f_1^*(s) - f_i^*(s))$, $s \in \mathbb{R}$. Since the mapping $z = (z_2, \dots, z_{n-1})$ is a d.c. mapping from \mathbb{R} to $\mathbb{R}^{3(n-2)}$, Theorem 2.7 implies that the set

$$A := \{(z_2(s), \dots, z_{n-1}(s)) : s \in \mathbb{R}, (f_1^* - f_i^*)'(s) = 0, i = 2, \dots, n-1\}$$

has zero $1/2$ -dimensional Hausdorff measure. Obviously, $(f_1^* - f_i^*)'(t) = 0$, $i = 2, \dots, n-1$. Consequently $V \in G(A)$ and Lemma 2.8 implies the assertion of the lemma. \square

Denote by $H_S(K)$ the set of all points $x \in \partial K$ such that $(x+S) \cap \text{int } K \neq \emptyset$. Then clearly $F_r^S(K)$ is the set of all $V \in G(n, r)$ such that $V \subset S$ and V is parallel to an r -dimensional ball $B \subset H_S(K)$.

Theorem 6.2. *Let $K \subset \mathbb{R}^n$, $n \geq 3$, be an n -dimensional convex closed set and $S \in G(n, n-1)$. Then $F_{n-2}^S(K)$ has zero $1/2$ -dimensional Hausdorff measure.*

Proof. For each $x \in H_S(K)$, we can choose a system (y_1, \dots, y_n) of cartesian coordinates, an open neighbourhood U of x and a convex function f defined on an open convex subset of \mathbb{R}^{n-1} such that $U \cap \partial K = U \cap H_S(K)$ is described by the equation $y_n = f(y_1, \dots, y_{n-1})$. (For a proof choose $z \in (x+S) \cap \text{int } K$ and put $u_n := \frac{z-x}{\|z-x\|}$. Further, choose a unit vector u_{n-1} orthogonal to S and u_1, \dots, u_{n-2} such that u_1, \dots, u_n form an orthonormal basis. Take the system of cartesian coordinates (y_1, \dots, y_n) with respect to u_1, \dots, u_n and take a suitable small open neighbourhood U of x .) Therefore it is sufficient to prove the theorem for $K = \text{epi } f$, where $f : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ is convex, and $S = \{(y_1, \dots, y_n) : y_{n-1} = 0\}$.

Let F be an $(n-2)$ -dimensional convex subset of ∂K parallel to S . Consider the projection $\pi(y_1, \dots, y_n) := (y_1, \dots, y_{n-2})$. Then $\dim \pi(F) = n-2$ and thus there exist affinely independent $u_1, \dots, u_{n-1} \in \mathbb{Q}^{n-2} \cap \text{int } \pi(F)$. Obviously, $\pi^{-1}(u_i) \cap \text{ri } F \neq \emptyset$, $i = 1, \dots, n-1$. Since the set $(\mathbb{Q}^{n-2})^{n-1}$ is countable, the assertion of Theorem 6.2 follows from Lemma 6.1. \square

Remark 6.3. For $n = 3$, the above theorem improves (LR) (or, equivalently, Theorem 4.7).

Further, note that the result of Theorem 6.2 is the best possible in the sense that we can write “ s -dimensional Hausdorff measure” instead of “ $1/2$ -dimensional Hausdorff measure” in the assertion of Theorem 6.2 for no $s < 1/2$. For the proof we can use the construction from the proof of Proposition 4.2, the implication (a) \Rightarrow (b), and the fact (see [13, Remark 3]) that Theorem 2.7 does not hold with $s < 1/2$ instead of $1/2$.

Lemma 6.4. *Let $f : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ be a convex function, $n \geq 4$, and let $u_i \in \mathbb{R}^{n-3}$, $i = 1, \dots, n-2$, be affinely independent vectors. Denote by \mathcal{F} the set of all $(n-3)$ -dimensional convex subsets F of ∂K , where $K = \text{epi } f$, that are parallel to $S := \{(y_1, \dots, y_n) \in \mathbb{R}^n : y_{n-2} = y_{n-1} = 0\}$ such that*

$$\{(u_i, v_1, v_2, y) : v_1, v_2, y \in \mathbb{R}\} \cap \text{ri } F \neq \emptyset, \quad i = 1, \dots, n-2.$$

Then the set \mathcal{V} of all $V \in G(n, n-3)$ that are parallel to an $F \in \mathcal{F}$ has zero 1-dimensional Hausdorff measure.

Proof. For $i \in \{n - 3, n - 2, n - 1\}$, denote by \mathcal{F}_i the set of all $F \in \mathcal{F}$ such that $m(F, K) = i$ and by \mathcal{V}_i the set of all $V \in G(n, n - 3)$ parallel to an $F \in \mathcal{F}_i$.

First we shall prove that $\mathcal{V}_{n-2} \cup \mathcal{V}_{n-1}$ has zero 1-dimensional Hausdorff measure.

Let $F \in \mathcal{F}_{n-2} \cup \mathcal{F}_{n-1}$ and $V \in G(n, n - 3)$ be parallel to F . There exists a convex set $E \subset \text{graph } f$, $E \supset F$, $\dim E = n - 2$. Let us consider the projection $\pi_1(y_1, \dots, y_n) := (y_{n-2}, y_{n-1})$. Then $\dim \pi_1(E) \geq 1$ and so there exist $r \in \mathbb{Q}$ and $i \in \{n - 2, n - 1\}$ such that $\{u_i = r\} \cap \text{ri } E \neq \emptyset$. Choose x in this intersection. Then there exists $\tilde{F} \subset E$, $\dim \tilde{F} = n - 3$, parallel to F such that $x \in \tilde{F}$. Thus $\tilde{F} \subset \{u_i = r\}$.

Now denote $\pi_2(y_1, \dots, y_n) := (y_1, \dots, y_{i-1}, y_{i+1}, \dots, y_n)$. The set \tilde{F} is parallel to S and therefore $\pi_2(V)$ is parallel both to $\pi_2(\tilde{F})$ and to $\pi_2(S)$. Thus $\pi_2(V) \in F_{n-3}^{S_1}(K_1)$, where $S_1 := \pi_2(S)$ and $K_1 := \pi_2(K \cap \{u_i = r\})$. Therefore Theorem 6.2 implies that $\mathcal{V}_{n-2} \cup \mathcal{V}_{n-1}$ has zero 1-dimensional Hausdorff measure.

Now we shall prove that \mathcal{V}_{n-3} has zero 1-dimensional Hausdorff measure. Let $F \in \mathcal{F}_{n-3}$ and $V \in \mathcal{V}_{n-3}$ be parallel to F . Put $f_i(v_1, v_2) = f(u_i, v_1, v_2)$. We can assume that f_i^* are finite, $i = 1, \dots, n - 1$. Choose $v_1, v_2 \in \mathbb{R}$ such that $(u_i, v_1, v_2, f_i(v_1, v_2)) \in \text{ri } F$, $i = 1, \dots, n - 1$. By Lemma 2.1 there exists an affine function φ on \mathbb{R}^{n-1} such that $F \subset \text{graph } \varphi$ and $\varphi \leq f$. Then $t := (\varphi'_{n-2}(x), \varphi'_{n-1}(x)) \in \partial f_i(v_1, v_2)$ for each $x \in \mathbb{R}^{n-1}$, and so $(v_1, v_2) \in \partial f_i^*(t)$, $i = 1, \dots, n - 1$.

As in the proof of Lemma 6.1, we get, by Lemma 2.2, that each f_i^* , $i = 1, \dots, n - 2$, is differentiable at t , since $F \in \mathcal{F}_{n-3}$.

If we define $z_i(s) := (u_i - u_1, 0, 0, f_1^*(s) - f_i^*(s))$, $i = 2, \dots, n - 2$, and

$$A := \{(z_2(s), \dots, z_{n-1}(s)) : s \in \mathbb{R}^2, (f_1^* - f_i^*)'(s) = 0, i = 2, \dots, n - 1\},$$

then $V \in G(A)$. It follows from the Morse-Sard Theorem on d.c. mappings (Theorem 2.6) that the set A has zero 1-dimensional Hausdorff measure. So Lemma 2.8 implies that $G(A)$ has zero 1-dimensional Hausdorff measure. □

Theorem 6.5. *Let $K \subset \mathbb{R}^n$, $n \geq 4$, be an n -dimensional convex closed set and $S \in G(n, n - 2)$. Then $F_{(n-3)}^S$ has zero 1-dimensional Hausdorff measure.*

Proof. The assertion of the theorem follows from Lemma 6.4 in the same way as Theorem 6.2 follows from Lemma 6.1. □

Acknowledgements. The research was partially supported by the grant GAČR 201/03/0931 from the Grant Agency of Czech Republic and partially supported by the grant MSM 0021620839 from the Czech Ministry of Education.

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