Slices in the Unit Ball of the Symmetric Tensor Product of a Banach Space

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We prove that every infinite-dimensional C^* -algebra X satisfies that every slice of the unit ball of $\widehat{\bigotimes}_{N,s,\pi} X$ (N-fold projective symmetric tensor product of X) has diameter two. We deduce that every infinite-dimensional Banach space X whose dual is an L_1 -space satisfies the same result. As a consequence, if X is either a C^* -algebra or either a predual of an L_1 -space, then the space of all N-homogeneous polynomials on X, $\mathcal{P}^N(X)$, is extremely rough, whenever X is infinite-dimensional. If Y is a predual of a von Neumann algebra, then Y is infinite-dimensional if, and only if, every w^* -slice of the unit ball of $\mathcal{P}_I^N(Y)$ (the space of integral N-homogeneous polynomials on Y) has diameter two. As a consequence, under the previous assumptions, the N-fold symmetric injective tensor product of Y is extremely rough. Indeed, this isometric condition characterizes infinite-dimensional spaces in the class of preduals of von Neumann algebras.

Keywords: Banach spaces, slice, homogeneous polynomial, integral polynomial, symmetric projective tensor product, symmetric injective tensor product, C*-algebra

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1. Introduction

Since many of the classical Banach spaces do not satisfy the Radon-Nikodým property, the characterization of such property in terms of slices gives us that some bounded, closed and convex set in such a Banach space do not have arbitrarily small slices. Schachermayer, Sersouri and Werner [31] introduced a modulus of non-dentability. For a (non empty) bounded, closed and convex subset C of a Banach space, its modulus of non-dentability, denoted by $\delta_1(C)$, is given by $\delta_1(C) := \inf\{\operatorname{diam} S : S \text{ is a slice of } C\}$. A well-known characterization states that a Banach space X sat-

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isfies the Radon-Nikodým property if, and only if, every closed, convex and bounded subset C of X satisfies that $\delta_1(C) = 0$. Schachermayer, Sersouri and Werner proved that for every Banach space X not satisfying the Radon-Nikodým property and for each $\varepsilon > 0$, there is a subset C of X with diam C = 1 and $\delta_1(C) > 1 - \varepsilon$.

For some classical Banach spaces X (without the Radon-Nikodým property), it holds that the modulus of non-dentability of the unit ball is two. The above phenomena happens in the following cases:

- X is an infinite-dimensional uniform algebra [25].
- X is a space satisfying the Daugavet property [32].
- C(K, X), where K is an infinite Hausdorff and compact topological space and X is any nontrivial Banach space [7, 26].
- $L_1(\mu, X)$, when μ is an atomless measure and X is a nontrivial Banach space [7].
- X is any infinite-dimensional C*-algebra [9, 8].
- Some *L*-embedded and *M*-embedded Banach spaces under some additional assumptions [24].
- $\bigotimes_{N,s,\pi} C(K)$, the N-fold symmetric projective tensor product of C(K), in the case that K is an infinite compact and Hausdorff topological space) [1].
- $\bigotimes_{N,s,\pi} L_1(\mu)$, the *N*-fold symmetric projective tensor product of $L_1(\mu)$, if μ is a σ -finite and atomless measure [1].
- The interpolation spaces $L_1(\mathbb{R}^+) + L_\infty(\mathbb{R}^+)$ (endowed with two natural norms) and $L_1(\mathbb{R}^+) \cap L_\infty(\mathbb{R}^+)$ (endowed with the maximum norm) [2].

In this paper we obtain new results along the same line for the N-fold symmetric projective and injective tensor product of certain Banach spaces with a good algebraic structure. Let us point out that there are just a few results on isometric properties of the symmetric tensor product of Banach spaces (see [29], [10], [4], [19] and [1]). Let us also notice that for a finite-dimensional normed space, the modulus of non-dentability of the unit ball is zero.

Now we will list the results that we obtained in this paper. In the first section we give a quantitative version of Smulyan test of Fréchet differentiability for $\mathcal{P}^N(X)$, by recalling the modulus of roughness of an element of a Banach space. As a consequence, we give a criteria in terms of X for a polynomial $P \in \mathcal{P}^N(X)$ to have a certain modulus of roughness. In Section 3 we will apply this criteria to deduce that for $X = \mathcal{C}(K)$ (K infinite) the modulus of roughness of every polynomial is 2 and so, $\mathcal{P}^N(X)$ has no points of Fréchet differentiability. Indeed, the same result is true for every infinite predual of an L_1 -space. Let us recall that Boyd and Ryan proved that the space $\mathcal{P}^N(X)$ is never smooth, for every Banach space X with dimension greater or equal to 2 and $N \geq 2$ [10, Proposition 17] (see also [29, Corollary 7]).

By localizing the result for $\mathcal{C}(K)$, we will prove in Section 4 that for a JB^* -triple X, if $\mathcal{P}^N(X)$ is not extremely rough, then X is isomorphic (as a Banach space) to a Hilbert space (Theorem 4.2). As a consequence, for every infinite dimensional C^* -algebra X, the modulus of roughness of every N-homogeneous polynomial on X is 2. That is, every slice of the unit ball of $\widehat{\bigotimes}_{N,s,\pi} X$ have diameter two.

The last section of the paper contains results of the same kind for the dual of the symmetric injective tensor product of a Banach space X, $\widehat{\bigotimes}_{N,s,\varepsilon}X$. It follows from known results that for every normalized element x in X, if the norm of X is Fréchet differentiable at x, then the symmetric injective tensor norm of $\widehat{\bigotimes}_{N,s,\varepsilon}X$ is Fréchet differentiable at $x \otimes .^N$. $\otimes x$ (see [10, Theorem 11] and [13, Corollary I.1.5]). Hence, for every space X isomorphic to a Hilbert space, the unit sphere of $\widehat{\bigotimes}_{N,s,\varepsilon}X$ has points of Fréchet differentiability of the norm.

In this section we provide a class of Banach spaces for which the converse holds true (Corollary 5.2). As a consequence, if X is a Banach space whose dual is an infinitedimensional von Neumann algebra, and $N \in \mathbb{N}$, the space $\bigotimes_{N,s,\varepsilon} X$ is extremely rough. That is, every w^* -slice of the space of the integral N-homogeneous polynomials on X, endowed with the usual norm has diameter two. Indeed, this isometric condition characterizes the infinite-dimensional spaces belonging to this class.

We notice that for Banach spaces such that every slice of the unit ball has diameter two, there are not weak-norm points of continuity (of the identity mapping) on the unit ball.

2. Notation and general results

Throughout the paper, X will be a Banach space over the scalar field \mathbb{K} (\mathbb{R} or \mathbb{C}) and X^* will be its topological dual. We will denote by S_X and B_X the unit sphere and the closed unit ball of X, respectively.

For a Banach space X and $N \in \mathbb{N}$, we will consider the symmetric projective Ntensor product $\widehat{\bigotimes}_{N,s,\pi} X := X \widehat{\otimes}_{\pi,s} .^N . \widehat{\otimes}_{\pi,s} X$. This space is the completion of the linear space generated by $\{x \otimes .^N . \otimes x : x \in X\}$ under the norm given by

$$||z|| = \inf\left\{\sum_{i=1}^{m} |\lambda_i| : z = \sum_{i=1}^{m} \lambda_i x_i \otimes \dots \otimes x_i, m \in \mathbb{N}, \lambda_i \in \mathbb{K}, x_i \in S_X, \forall 1 \le i \le m\right\}.$$

Its topological dual can be identified with the space of all N-homogeneous (and bounded) polynomials on X, denoted by $\mathcal{P}^N(X)$. Every polynomial $P \in \mathcal{P}^N(X)$ acts as a linear functional \hat{P} on the N-fold symmetric tensor product and the identification is given by $P(x) = \hat{P}(x \otimes .^N \otimes x)$ for every element $x \in X$.

The dual norm of the symmetric projective tensor product on $\mathcal{P}^{N}(X)$ is the usual *polynomial norm*, given by

$$||P|| = \sup\{|P(x)| : x \in X, ||x|| \le 1\} \ (P \in \mathcal{P}^N(X)).$$

For a Banach space X, given an element $u \in S_X$, we recall that the *modulus of* roughness at u is given by

$$\eta(X, u) := \inf_{\delta > 0} \left\{ \sup \left\{ \frac{\|u + h\| + \|u - h\| - 2}{\|h\|} : h \in X, \|h\| \le \delta \right\} \right\}.$$

Let us notice that $0 \leq \eta(X, u) \leq 2$. The norm of X is Fréchet differentiable at u if, and only if, $\eta(X, u) = 0$ (see [13, Lemma I.1.3]). We say that X is *extremely rough* whenever $\eta(X, u) = 2$ for every $u \in S_X$ ([13, Section I.1]).

Also, for an element $x^* \in X^*$, a slice of a (nonempty) bounded subset $A \subset X$ is given by

$$S(A, x^*, \alpha) := \{ x \in A : \operatorname{Re} x^*(x) > \sup_{a \in A} \operatorname{Re} x^*(a) - \alpha \},\$$

where $0 < \alpha < \sup \operatorname{Re} x^*(A)$. If $X = Y^*$ for some Banach space $Y, A \subset Y^*$ and $y \in Y$, then we will say that the slice

$$S(A, y, \alpha) := \{ z^* \in A : \text{Re } z^*(y) > \sup_{y^* \in A} \text{Re } y^*(y) - \alpha \},\$$

is a w^* -slice of A.

The next result is a local quantitative version of the result due to Smulyan stating that the norm of the dual space is Fréchet differentiable at a point x^* if, and only if, x^* strongly exposes the unit ball of X. We recall that an element $x^* \in S_{X^*}$ strongly exposes B_X if any sequence (x_n) in B_X satisfying $(x^*(x_n)) \to 1$ converges in the norm topology.

Lemma 2.1 ([13, Proposition I.1.11]). Let $x \in S_X$ and $x^* \in S_{X^*}$, then it is satisfied

$$\eta(X^*, x^*) = \inf\{ \operatorname{diam} S(B_X, x^*, \alpha) : 0 < \alpha < 1 \},\$$

and

$$\eta(X, x) = \inf\{\operatorname{diam} S(B_{X^*}, x, \alpha) : 0 < \alpha < 1\}.$$

We will check that the modulus of roughness can be computed by using slices of a set whose convex hull is dense in the unit ball.

Proposition 2.2. Assume that $B_X = co(A)$, for some set $A \subset X$ and let $x^* \in S_{X^*}$. Then

$$\inf_{\alpha>0} \operatorname{diam} S(B_X, x^*, \alpha) = \inf_{\alpha>0} \operatorname{diam} S(A, x^*, \alpha)$$

Proof. We can clearly assume that X is a real Banach space. Of course, it holds

diam
$$S(B_X, x^*, \alpha) \ge \operatorname{diam} S(A, x^*, \alpha)$$

and so it is satisfied

$$\inf_{\alpha>0} \operatorname{diam} S(B_X, x^*, \alpha) \ge \inf_{\alpha>0} \operatorname{diam} S(A, x^*, \alpha).$$

For the reversed inequality, let us notice that for every $0 < \varepsilon < \frac{1}{2}$ and each $x \in S(B_X, x^*, \varepsilon^2)$, since the closed convex hull of A is dense in the unit ball, we know that there is a convex combination $\sum_{i=1}^{n} t_i a_i$ of elements $\{a_i : 1 \le i \le n\}$ in A satisfying

$$\left\| x - \sum_{i=1}^n t_i a_i \right\| < \varepsilon^2,$$

and so

$$1 - \varepsilon^2 \le x^*(x) \le \varepsilon^2 + x^*\left(\sum_{i=1}^n t_i a_i\right)$$

Let denote by $P := \{i : x^*(a_i) \leq 1 - \varepsilon\}$. Hence we obtain that

$$1 - \varepsilon^{2} \leq \varepsilon^{2} + \sum_{i \in P} t_{i}(1 - \varepsilon) + \sum_{i \notin P} t_{i}$$
$$\leq 1 + \varepsilon^{2} - \varepsilon \sum_{i \in P} t_{i}.$$

From the last inequality we obtain that

$$\sum_{i\in P} t_i \le 2\varepsilon$$

We deduce that

$$\left\|x - \sum_{i \notin P} t_i a_i\right\| \le \left\|x - \sum_{i=1}^n t_i a_i\right\| + \left\|\sum_{i \in P} t_i a_i\right\| \le \varepsilon^2 + 2\varepsilon \le 3\varepsilon.$$

Since $\sum_{i \notin P} t_i \ge 1 - 2\varepsilon > 0$, we have

$$\frac{1}{\sum_{i \notin P} t_i} \left\| x - \sum_{i \notin P} t_i a_i \right\| \le \frac{3\varepsilon}{1 - 2\varepsilon}.$$

It follows that

$$\begin{aligned} \left\| x - \frac{1}{\sum_{i \notin P} t_i} \sum_{i \notin P} t_i a_i \right\| &\leq \left\| x - \frac{1}{\sum_{i \notin P} t_i} x \right\| + \frac{1}{\sum_{i \notin P} t_i} \left\| x - \sum_{i \notin P} t_i a_i \right\| \\ &\leq \frac{2\varepsilon}{1 - 2\varepsilon} + \frac{3\varepsilon}{1 - 2\varepsilon} \\ &= \frac{5\varepsilon}{1 - 2\varepsilon}. \end{aligned}$$

We showed that, given $x \in S(B_X, x^*, \varepsilon^2)$, there is an element $y \in co(S(A, x^*, \varepsilon))$ with $||y - x|| \leq \frac{5\varepsilon}{1-2\varepsilon}$.

Hence

diam
$$S(A, x^*, \varepsilon) = \operatorname{diam} \operatorname{co}(S(A, x^*, \varepsilon))$$

 $\geq \operatorname{diam} S(B_X, x^*, \varepsilon^2) - \frac{10\varepsilon}{1 - 2\varepsilon}$
 $\geq \inf_{\alpha > 0} \operatorname{diam} S(B_X, x^*, \alpha) - \frac{10\varepsilon}{1 - 2\varepsilon}$

Since the function $\varepsilon \mapsto \operatorname{diam} S(A, x^*, \varepsilon)$ is increasing, by taking limit when $\varepsilon \to 0$, we deduce the inequality

$$\inf_{\alpha>0} \operatorname{diam} S(A, x^*, \alpha) \ge \inf_{\alpha>0} \operatorname{diam} S(B_X, x^*, \alpha).$$

By the definition of the norm on the symmetric projective N-tensor product of a Banach space X, the subset A given by

$$A = \{ tx \otimes \mathbb{N} : 0 \otimes x : t \in \{+1, -1\}, x \in S_X \},\$$

satisfies that its closed convex hull is dense in the unit ball of $\bigotimes_{N,s,\pi} X$. By using Lemma 2.1 and Proposition 2.2, we obtain the following quantitative version of [17, Theorem 2.4].

Proposition 2.3. For $P \in \mathcal{P}^N(X)$ satisfying ||P|| = 1 and $\varepsilon > 0$, the following conditions are equivalent:

- $i) \qquad \eta(\mathcal{P}^N(X), P) < \varepsilon$
- ii) For every sequences $\{x_n\}, \{y_n\}$ in the unit ball of X with $\lim_n \{P(x_n)\} = s ||P||$, $\lim_n \{P(y_n)\} = t ||P||$, where $s, t \in \{+1, -1\}$, we get that

 $\limsup\{|sQ(x_n) - tQ(y_n)|\} < \varepsilon$

uniformly for Q in the unit ball of $\mathcal{P}^{N}(X)$.

Let us observe that

$$\sup\{|sQ(x) - tQ(y)| : Q \in \mathcal{P}^N(X), \|Q\| = 1\} = \|s(x \otimes \cdots \otimes x) - t(y \otimes \cdots \otimes y)\|,$$

and so the second condition says that the diameter of the slices determined by the functional associated to P on $\widehat{\bigotimes}_{N,s,\pi} X$ is less than ε .

In case that we can apply the previous result for every positive number, taking into account that $\eta(X, x) = 0$ iff x is a point of Fréchet differentiability of the norm, we obtain the result proved by Ferrera (see [17, Theorem 2.4 and Proposition 2.3] and [10, Theorem 14]).

Corollary 2.4. For $P \in \mathcal{P}^N(X)$, it is satisfied that P is a point of Fréchet differentiability of the norm iff P determines slices of arbitrarily small diameter iff

$$\begin{aligned} \forall \{x_n\}, \{y_n\} \subset S_X : \lim\{P(x_n)\} &= s \|P\|, \lim_n \{P(y_n)\} = t \|P\|, \ \text{where } s, t \in \{+1, -1\} \\ \Rightarrow \ \lim\{|sQ(x_n) - tQ(y_n)|\} = 0 \ \text{uniformly on } Q \in B_{\mathcal{P}^N(X)}. \end{aligned}$$

In the following result we obtain the largest possible modulus of roughness under certain assumptions that are satisfied by some classical spaces, as we will see later.

Proposition 2.5. Let X be a Banach space and $P \in S_{\mathcal{P}^N(X)}$ with $1 = \sup_{x \in B_X} \operatorname{Re} P(x)$. Assume that for every $\alpha > 0$ and for every functional $x^* \in S_{X^*}$ such that

$$S := (\{x \in B_X : \operatorname{Re} P(x) > 1 - \alpha\} \cap S(B_X, x^*, \alpha\}) \neq \emptyset,$$

then diam S = 2. It holds $\eta(\mathcal{P}^N(X), P) = 2$.

Proof. For every n, we denote by

$$S_n(P) := \left\{ x \in B_X : \operatorname{Re} P(x) > 1 - \frac{1}{n} \right\}.$$

If $u_n \in S_X \cap S_n(P)$ and u_n^* is a norm one functional satisfying $u_n^*(u_n) = 1$, then it is clear that $u_n \in S_n(P) \cap S(B_X, u_n^*, \frac{1}{n})$. Because of the assumption, we know that the previous subset has diameter two. Hence, we can find sequences $\{x_n\}, \{y_n\}$ in S_X and $\{v_n^*\}$ in S_{X^*} satisfying

- i) $\lim\{\|x_n y_n\|\} = 2$.
- ii) $\lim \{ \operatorname{Re} P(x_n) \} = 1 = \lim \{ \operatorname{Re} P(y_n) \}.$
- iii) $\lim \{u_n^*(x_n)\} = 1 = \lim \{u_n^*(y_n)\}.$
- iv) $\lim \{v_n^*(x_n)\} = 1 = -\lim \{v_n^*(y_n)\}.$

If N is odd and n is a natural number, we consider the N-homogeneous polynomial given by

$$Q_n = (v_n^*)^N,$$

which is clearly an N-homogeneous polynomial on X. Because of condition iv) it is satisfied $\lim\{|Q_n||\} = 1$ and also

$$\lim \left\{ \frac{Q_n}{\|Q_n\|}(x_n) - \frac{Q_n}{\|Q_n\|}(y_n) \right\} = 2.$$

If N is even, we consider the polynomial $Q_n = (v_n^*)^{N-1} u_n^*$. By iii) and iv), $\lim \{ ||Q_n|| \} = 1$ and we also obtain that

$$\lim \left\{ \frac{Q_n}{\|Q_n\|}(x_n) - \frac{Q_n}{\|Q_n\|}(y_n) \right\} = 2.$$

In view of Proposition 2.3, we obtain that $\eta(\mathcal{P}^N(X), P) = 2$.

3. Results for $\mathcal{C}(K)$

Finite-dimensional spaces have the Radon-Nikodým property. Hence the unit ball of a finite-dimensional space has slices of arbitrarily small diameter. In this section we will show that the symmetric projective tensor product of an isometric predual of an L_1 -space is far from satisfying the above condition in the infinite-dimensional case. In order to prove such result we will show first a stronger property for C(K). To this purpose we will use the following technical result that follows from the Urysohn Lemma.

Lemma 3.1 ([1, Lemma 2.1]). Let K be a compact and Hausdorff infinite topological space. Then there are two sequences of non-empty open sets $\{V_n\}$ and $\{U_n\}$ satisfying that

 $\overline{V_n} \subset U_n, \quad U_n \cap U_m = \emptyset \quad (n \neq m),$

and two sequences of functions $\{g_n\}$ and $\{h_n\}$ in $\mathcal{C}(K)$ satisfying that

$$\{g_n\} \xrightarrow{w} 0, \qquad \{h_n\} \xrightarrow{w} 0,$$

and also

$$0 \le g_n, h_n \le 1$$
, supp $h_n \subset V_n$, $||h_n||_{\infty} = 1$, supp $g_n \subset U_n$, $g_n(V_n) = \{1\}$.

Proposition 3.2. Let K be an infinite compact Hausdorff topological space and X := C(K) (either real or complex valued) functions. Given an N-homogeneous polynomial P on X with ||P|| = 1, $\alpha > 0$ and $x^* \in S_{X^*}$ such that

$$S := (\{x \in B_X : \operatorname{Re} P(x) > 1 - \alpha\} \cap S(B_X, x^*, \alpha\}) \neq \emptyset,$$

we have that diam S = 2.

Proof. By assumption, since P is N-homogeneous, then there is an element f in $S \cap S_X$. By Lemma 3.1, there are sequences of functions $\{g_n\}$ and $\{h_n\}$ in $\mathcal{C}(K)$ satisfying that

$$\{g_n\} \xrightarrow{w} 0, \qquad \{h_n\} \xrightarrow{w} 0$$

and sequences of pairwise disjoint open sets, $\{V_n\}$ and $\{U_n\}$, satisfying

$$0 \le g_n, h_n \le 1$$
, supp $h_n \subset V_n$, $||h_n||_{\infty} = 1$, supp $g_n \subset U_n$, $g_n(V_n) = \{1\}$. (1)

Since $\{g_n\}$ and $\{h_n\}$ converges weakly to zero, then the sequences

$$\{u_n\} = \{f(1-g_n) + h_n\}, \qquad \{v_n\} = \{f(1-g_n) - h_n\}$$

converges weakly to f. Also, both sequences are in the unit ball of the space. We check this assertion. If $n \in \mathbb{N}$ and $t \in K$, by using conditions (1), then depending on the fact that $t \in V_n$ or $t \notin V_n$, one of the two following cases holds

$$|(f(1-g_n) \pm h_n)(t)| = |h_n(t)|, \qquad |(f(1-g_n) \pm h_n)(t)| = |f(1-g_n)(t)|,$$

and so, both sequences $\{u_n\}$ and $\{v_n\}$ are in the unit ball of $\mathcal{C}(K)$. Finally, by using that the space $\mathcal{C}(K)$ has the Dunford-Pettis property and, by [28, Theorem 2.1], it has the polynomial Dunford-Pettis property, that is, polynomials on $\mathcal{C}(K)$ preserve weak convergence of sequences, we obtain that

$$\{P(u_n)\} \to P(f), \qquad \{P(v_n)\} \to P(f).$$

Hence, for n large enough, then u_n, v_n satisfy that

$$u_n, v_n \in \{x \in B_X : \operatorname{Re} P(x) > 1 - \alpha\} \cap S(B_X, x^*, \alpha\}$$

Now let us note that

$$||u_n - v_n||_{\infty} = ||2h_n||_{\infty} = 2$$

and so diam S = 2.

In view of Proposition 2.5, we deduce that $\eta(\mathcal{P}^N(\mathcal{C}(K)), P) = 2$ for every N-homogeneous polynomial P on $\mathcal{C}(K)$, whenever K is infinite ([1, Corollary 2.3]).

Proposition 3.3. Let X be an infinite-dimensional L_1 predual. Given an N-homogeneous polynomial P on X satisfying ||P|| = 1, if we assume that $\alpha > 0$, $x^* \in X^*$ and

$$S := (\{x \in B_X : \operatorname{Re} P(x) > 1 - \alpha\} \cap S(B_X, x^*, \alpha\}) \neq \emptyset,$$

then diam S = 2.

Proof. We can clearly assume that X is a real Banach space. Since S is non-empty and P an N-homogeneous polynomial, we can choose $x \in S \cap S_X$. By [3], every homogeneous polynomial P on X can be extended to a polynomial on its bidual. We denote by \tilde{P} this canonical extension that also satisfies $\|\tilde{P}\| = 1$. We consider the set S^{**} given by

$$S^{**} := \{x^{**} \in B_{X^{**}} : \widetilde{P}(x^{**}) > 1 - \alpha\} \cap S(B_{X^{**}}, x^*, \alpha\}.$$

Since $x \in S$, then $x \in S^{**}$ and so S^{**} is non empty. Under the assumptions, X^{**} is isometrically isomorphic to $\mathcal{C}(K)$ for suitable infinite compact topological space K. By using Proposition 3.2, given $\varepsilon > 0$, there exist $x^{**}, y^{**} \in S^{**}$ such that $||x^{**} - y^{**}|| > 2 - \varepsilon$. By [12, Theorem 2], there are nets $\{x_{\alpha}\}$ and $\{y_{\alpha}\}$ in B_X satisfying that

$$\{Q(x_{\alpha})\} \to \widetilde{Q}(x^{**}), \qquad \{Q(y_{\alpha})\} \to \widetilde{Q}(y^{**}),$$
(2)

for every k-homogeneous polynomial Q on X with $k \leq N$. In view of the w^* -lower semicontinuity of the norm of X^{**} we have

$$\liminf \|x_{\alpha} - y_{\alpha}\| \ge \|x^{**} - y^{**}\|.$$

By condition (2), for α large enough, x_{α}, y_{α} belongs to S^{**} and hence in S. We conclude that

diam
$$S \ge \liminf \|x_{\alpha} - y_{\alpha}\| > 2 - \varepsilon$$
,

for every $\varepsilon > 0$ and so diam S = 2.

In view of Proposition 2.5 we deduce the following:

Corollary 3.4. Let X be an infinite-dimensional L_1 predual and P be an N-homogeneous polynomial on X. Then $\eta(\mathcal{P}^N(X), P) = 2$.

Given a locally compact Hausdorff topological space Ω , we denote by $\mathcal{C}_0(\Omega)$ the Banach space of all scalar (either real or complex) valued continuous functions on Ω vanishing at infinity.

Corollary 3.5. Let Ω be an infinite locally compact Hausdorff topological space and P be any N-homogeneous polynomial on $\mathcal{C}_0(\Omega)$. Then $\eta(\mathcal{P}^N(\mathcal{C}_0(\Omega)), P) = 2$.

4. Results for JB*-triples

We recall that a JB^* -triple [23, 21] is a complex Banach space J with a continuous triple product $\{ \} : J \times J \times J \to J$ which is linear and symmetric in the outer variables, and conjugate-linear in the middle variable, and satisfies:

- 1. For all x in J, the mapping $y \to \{xxy\}$ from J to J is a hermitian operator on J and has nonnegative spectrum.
- 2. The main identity

$$\{ab\{xyz\}\} = \{\{abx\}yz\} - \{x\{bay\}z\} + \{xy\{abz\}\}\$$

holds for all a, b, x, y, z in J.

3. $||\{xxx\}|| = ||x||^3$ for every x in J.

 JB^* -triples are of capital importance in the study of bounded symmetric domains in complex Banach spaces. Indeed, open balls in JB^* -triples are bounded symmetric domains, and every symmetric domain in a complex Banach space is biholomorphically equivalent to the open unit ball of a suitable JB^* -triple (see [22]).

Several classical spaces are JB^* -triples. For instance, every C^* -algebra and the space of operators between two complex Hilbert spaces are JB^* -triples, endowed with their usual norm and the triple product given by

$$\{xyz\} := \frac{1}{2}(xy^*z + zy^*x).$$

As a consequence, a complex Hilbert space (usual norm) belongs to this class. There are more JB^{*}-triples isomorphic to Hilbert spaces, the so-called spin factors. These are constructed from an arbitrary complex Hilbert space $(H, (\cdot|\cdot))$ of dimension ≥ 3 , by taking a conjugate-linear involutive isometry σ on H, and then by defining the triple product and the norm by the formulas

$$\{xyz\} := (x|y)z + (z|y)x - (x|\sigma(z))\sigma(y) \ (x, y, z \in H)$$

and

$$||x||^2 := (x|x) + \sqrt{(x|x)^2 - |(x|\sigma(x))|^2} \quad (x \in H).$$

A subtriple of a JB^* -triple J is a vector subspace of J invariant under the triple product. An element $x \in J$ is called *algebraic* if the subtriple of J generated by x is finite-dimensional. In the case that every element in J is algebraic, then the triple J is called algebraic.

The following result shows that two properties of very different nature, one algebraic and one isometric, are related.

Proposition 4.1. Let X be a complex JB^* -triple and assume that X is not algebraic. If for some normalized N-homogeneous polynomial P on X, $\alpha > 0$ and $x^* \in S_{X^*}$ the set

$$S := (\{x \in B_X : \text{Re } P(x) > 1 - \alpha\} \cap S(B_X, x^*, \alpha\})$$

is non-empty, then diam S = 2.

Proof. Since S has non-empty norm interior in X and we are assuming that X is not algebraic, S contains an element x that is not algebraic [9, Lemma 2.3]. Denote by J_x the closed subtriple of X generated by x. It is known that there is a unique locally compact subset S_x of $]0, \infty[$ and a surjective triple isomorphism $\phi_x : J_x \to C_0^{\mathbb{C}}(S_x)$ such that $S_x \cup \{0\}$ is compact and $\phi_x(x)$ is the inclusion mapping $S_x \to \mathbb{C}$ (see [18], [21, 4.8] and [22, 1.15]).

Since surjective triple isomorphisms between JB^* -triples are isometries (see again [22]), we can identify J_x and $C_0^{\mathbb{C}}(S_x)$ as Banach spaces. By using that $x \in S$, it is clear that there exists $\beta > 0$ which the following property

$$\{y \in B_{J_x} : \text{Re } P(y) > ||P|J_x|| - \beta\} \cap S(B_{J_x}, x^*|_{J_x}, \beta\} \subseteq S.$$

On the other hand, since x is not an algebraic element, J_x is infinite dimensional and by Proposition 3.3, we have

diam
$$(\{y \in B_{J_x} : \text{Re } P(y) > ||P|J_x|| - \beta\} \cap S(B_{J_x}, x^*, \beta)) = 2$$

We conclude that diam S = 2.

Assume that X is a Banach space with the Radon-Nikodým property. Then for every $\varepsilon > 0$ there is a functional $x^* \in S_{X^*}$ such that some slice $S(B_X, x^*, \alpha)$ does have diameter less than ε . If N is odd, then $P := (x^*)^N$ is an N-homogeneous polynomial on X such that the diameter of $\{x \in B_X : \text{Re } P(x) > 1 - \alpha\}$ is less than ε . Hence, by using polarization formula we deduce that

diam
$$S\left(B_{\widehat{\otimes}_{N,s,\pi}X}, P, \frac{\varepsilon}{2\alpha}\right) \le \left(1 + \frac{N^{N+1}}{N!}\right)\varepsilon$$

and so $\mathcal{P}^{N}(X)$ is not extremely rough. As we mentioned before, there are JB^* -triples with the Radon-Nikodým property (indeed isomorphic to Hilbert spaces as Banach spaces) and so $\mathcal{P}^{N}(X)$ is not extremely rough in these cases. We will show that this is the only exception in this class.

Theorem 4.2. Let X be a complex JB^* -triple and $N \in \mathbb{N}$. The following assertions are equivalent:

- *i)* X is isomorphic (as a Banach space) to a Hilbert space.
- ii) The norm of $\mathcal{P}^{N}(X)$ has some points of Fréchet differentiability.

iii) $\mathcal{P}^N(X)$ is not extremely rough.

iv) There exists a slice of the unit ball of $\widehat{\bigotimes}_{N,s,\pi} X$ with diameter less than 2.

Proof. i) \Rightarrow ii) A Hilbert space has the Radon-Nikodým property. Hence, by the result of J. Ferrera [17, Theorems 2.4 and 2.6], the points of Fréchet differentiability of the norm in $S_{\mathcal{P}^N(X)}$ is dense.

 $ii) \Rightarrow iii$) Trivial (for any Banach space).

 $iii) \Rightarrow iv$) It suffices to use Lemma 2.1.

 $iv) \Rightarrow i)$ By the assumption and Lemma 2.1, there is a normalized N-homogeneous polynomial P on X with $\eta(\mathcal{P}^N(X), P) < 2$. Then by Proposition 2.5, there is $\alpha, x^* \in S_{X^*}$ with

$$0 < \text{diam}(\{x \in B_X : \text{Re } P(x) > ||P|| - \alpha\} \cap S(B_X, x^*, \alpha)) < 2$$

By applying the previous result and [9, Lemma 2.3], then X is algebraic. Now, since S_x is finite for every x in X, the result follows easily from the proof of [11, Proposition 4.5].

Since C^* -algebras are finite-dimensional spaces whenever they are reflexive [30, Proposition 2], and C^* -algebras are always JB^* -triples, we deduce:

$$\square$$

Corollary 4.3. Let X be a C^{*}-algebra and $N \in \mathbb{N}$. The following assertions are equivalent:

- *i*) X is finite-dimensional.
- ii) The norm of $\mathcal{P}^N(X)$ is Fréchet differentiable at some point.
- iii) There exists a slice of the unit ball of $\widehat{\bigotimes}_{N_s,\pi} X$ with diameter less than 2.

By applying the same argument in the proof of Proposition 3.3, we deduce:

Corollary 4.4. Let X be a infinite-dimensional complex Banach space such that X^{**} is a C^* -algebra and $N \in \mathbb{N}$. Then $\mathcal{P}^N(X)$ is extremely rough.

The analogous result of Corollary 4.3 for preduals of C^* -algebras cannot be expected. Indeed there are infinite-dimensional spaces X with the Radon-Nikodým property whose dual are C^* -algebras (for instance ℓ_1). For these spaces $\mathcal{P}^N(X)$ does have points of Fréchet differentiability in view of [17, Theorems 2.4 and 2.6].

5. Big slices in the unit ball of spaces of integral polynomials

An N-homogeneous polynomial P is said to be *integral* [16] if there is a regular Borel measure μ on $(B_{X^*}, \sigma(X^*, X))$ such that

$$P(x) = \int_{B_{X^*}} (x^*(x))^N d\mu(x^*), \quad \forall x \in X.$$
(3)

We will denote by $\mathcal{P}_{I}^{N}(X)$ the space of all *N*-homogeneous integral polynomials on X. Let us recall that the integral norm of an integral polynomial P, $||P||_{I}$, is the infimum of $||\mu||$ taken over all regular Borel measures satisfying (3). With the integral norm $\mathcal{P}_{I}^{N}(X)$ becomes a Banach space. It is satisfied that $||P|| \leq ||P||_{I}$ for every integral polynomial P and so $\mathcal{P}_{I}^{N}(X) \subseteq \mathcal{P}^{N}(X)$. If $x^{*} \in X^{*}$, it is immediate that $(x^{*})^{N}$ is an integral *N*-homogeneous polynomial (represented by the measure $\delta_{x^{*}}$) and $||(x^{*})^{N}||_{I} = ||(x^{*})^{N}||$.

Given an element $\sum_{i=1}^{k} \lambda_i x_i \otimes x_i \otimes \ldots \otimes x_i$ in $\bigotimes_{N,s} X$, the symmetric injective tensor norm is given by

$$\sup_{x^* \in B_{X^*}} \left| \sum_{i=1}^k \lambda_i (x^*(x_i))^N \right|.$$

This norm in the symmetric tensor product of X is inherited from $\mathcal{P}^{N}(X^{*})$. The completion of $\bigotimes_{N,s} X$ with respect to the above norm will be denoted by $\widehat{\bigotimes}_{N,s,\varepsilon} X$. Its dual is isometrically isomorphic to $(\mathcal{P}_{I}^{N}(X), \|.\|_{I})$ ([14]).

A complex JBW^* -triple is a complex JB^* -triple having a (complete) predual. We note that the predual of a JBW^* -triple is unique [6]. The bidual X^{**} of every JB^* triple X is a JBW^* -triple under suitable triple product which extends the one of X [15].

It is known that for every reflexive space X, S_X contains points of Fréchet-differentiability of the norm [5]. We will exhibit a class of spaces for which this phenomenon can happen only for reflexive spaces (on the injective symmetric tensor product). **Theorem 5.1.** Let X be the predual of a non reflexive JBW^* -triple and $N \in \mathbb{N}$. Then $\widehat{\bigotimes}_{N,s,\varepsilon} X$ is extremely rough.

Proof. By Lemma 2.1, $\widehat{\bigotimes}_{N,s,\varepsilon} X$ is extremely rough if the diameter of $S(B_{\mathcal{P}_{I}^{N}(X)}, z, \alpha)$ is two for every $z \in S_{\widehat{\bigotimes}_{N,s,\varepsilon} X}$ and every $0 < \alpha < 1$.

Let us fix an element z in $\widehat{\bigotimes}_{N,s,\varepsilon} X$ and $0 < \alpha < 1$. Since $\mathcal{P}_{I}^{N}(X)$ is a dual Banach space it follows from the Krein-Milman Theorem that $S(B_{\mathcal{P}_{I}^{N}(X)}, z, \alpha)$ contains an extreme point of $B_{\mathcal{P}_{I}^{N}(X)}$. In view of [10, Proposition 1], there exists $y^{*} \in S_{X^{*}}$ such that $(y^{*})^{N} \in S(B_{\mathcal{P}_{I}^{N}(X)}, z, \alpha)$. Since $S(B_{\mathcal{P}_{I}^{N}(X)}, z, \alpha)$ has nonempty norm interior in $B_{\mathcal{P}_{I}^{N}(X)}$, the above slice contains a ball and so, there exist $\rho > 0$ such that for every x^{*} in $S_{X^{*}}$ with $||x^{*} - y^{*}|| \leq \rho$ we have that $(x^{*})^{N} \in S(B_{\mathcal{P}_{I}^{N}(X)}, z, \alpha)$. Since we are assuming that X^{*} is a non reflexive JB^{*} -triple, then X^{*} is not algebraic (see [8, Theorems 2.3 and 3.8]).

It follows from [9, Lemma 2.3], that there exists a non algebraic element x^* in $S_{X^*} \cap (y^* + \rho B_{X^*})$. Hence we can assume without lost of generality that y^* is not algebraic and $(y^*)^N \in S(B_{\mathcal{P}_I^N(X)}, z, \alpha)$. Denote by J_{y^*} the w^* -closed subtriple of X^* generated by y^* . It is known that there is a compact Hausdorff topological space K such that J_{y^*} is linearly isometric to $C^{\mathbb{C}}(K)$ (see [20]). Since y^* is not algebraic, so J_{y^*} is infinite dimensional.

By Lemma 3.1, there are sequences of continuous functions $\{g_n\}$ and $\{h_n\}$ on K satisfying that

$$\{g_n\} \xrightarrow{w} 0, \qquad \{h_n\} \xrightarrow{w} 0$$

and sequences of disjoint open sets, $\{V_n\}$ and $\{U_n\}$, satisfying

$$0 \le g_n, h_n \le 1$$
, supp $h_n \subset V_n$, $||h_n||_{\infty} = 1$, supp $g_n \subset U_n$, $g_n(V_n) = \{1\}$. (4)

In view of (4) then for every $f \in B_{C^{\mathbb{C}}(K)}$, $f(1-g_n) \pm h_n \in B_{C^{\mathbb{C}}(K)}$ and the sequences $\{f(1-g_n) \pm h_n\}$ converges weakly to f.

Let $T: C^{\mathbb{C}}(K) \longrightarrow J_{y^*}$ be a linear isometry from $C^{\mathbb{C}}(K)$ onto J_{y^*} . Then the sequences

$$\{u_n^*\} = \left\{T\left(T^{-1}(y^*)(1-g_n) + h_n\right)\right\}, \qquad \{v_n^*\} = \left\{T\left(T^{-1}(y^*)(1-g_n) - h_n\right)\right\}$$

are in the unit ball of $J_{y^*} \subset X^*$ and converges weakly to y^* . Therefore, $\{u_n^*\}$ and $\{v_n^*\}$ also converges weakly to y^* in X^* . As a consequence, $\{(u_n^*)^N\}$ and $\{(v_n^*)^N\}$ converge to $(y^*)^N$ in the weak-* topology of $\mathcal{P}_I^N(X)$. Since $(y^*)^N \in S(B_{\mathcal{P}_I^N(X)}, z, \alpha)$ and $S(B_{\mathcal{P}_I^N(X)}, z, \alpha)$ is a weak-* open set in $B_{\mathcal{P}_I^N(X)}$, then for *n* large enough, we have that $(u_n^*)^N$ and $(v_n^*)^N$ belong to $S(B_{\mathcal{P}_I^N(X)}, z, \alpha)$.

From now on, let us fix *n* large enough such that the previous conditions hold. By using (4) $||u_n^* - v_n^*|| = 2||T(h_n)|| = 2||h_n||_{\infty} = 2$. Then there is a sequence $\{x_m\}$ in S_X such that $\{u_n^*(x_m)\}_m \to 1$ and $\{v_n^*(x_m)\}_m \to -1$. For each *m*, the element $x_m \otimes . N \otimes x_m$ belongs to $B_{\widehat{\otimes}_{N,s,\varepsilon}X}$ and we have:

- 1. If N is odd, then $||(u_n^*)^N (v_n^*)^N||_I \ge |(u_n^*(x_m))^N (v_n^*(x_m))^N|$, for every $m \in \mathbb{N}$ and so, by taking $m \to \infty$, $||(u_n^*)^N - (v_n^*)^N||_I \ge 2$.
- 2. For the argument in case that N is even we will use the following remark. Given $w := x_1 \otimes .^N \otimes x_N$ in $\bigotimes_N X$, we recall that the element $w_s := \frac{1}{n!} \sum_{\sigma \in \Pi_N} x_{\sigma(1)} \otimes .^N \otimes x_{\sigma(N)}$ belongs to $\bigotimes_{N,s,\varepsilon} X$ (Π_N is the set of all permutations on $\{1, 2, \ldots, N\}$). It is immediate that $w_s \in B_{\bigotimes_{N,s,\varepsilon}} X$ if the elements $x_i \in B_X$ for every $1 \le i \le N$. We know that $y^* \in S_{X^*}$, so for each $\varepsilon > 0$, let $y \in S_X$ be such that $y^*(y) =$

We know that $y' \in S_{X^*}$, so for each $\varepsilon > 0$, let $y \in S_X$ be such that y'(y) =Re $y^*(y) > 1 - \varepsilon$. Since $\{u_n^*\}$ and $\{v_n^*\}$ converges weakly to y^* , then for n large enough it holds

Re
$$u_n^*(y) > 1 - \varepsilon$$
, Re $v_n^*(y) > 1 - \varepsilon$.

By using the fact that N is even and the above remark we deduce that

$$\begin{aligned} \|(u_n^*)^N - (v_n^*)^N\|_I &\geq |((u_n^*)^N - (v_n^*)^N)(x_m \otimes \overset{N-1}{\dots} \otimes x_m \otimes y)_s| \\ &\geq |(u_n^*(x_m))^{N-1}u_n^*(y) - (v_n^*(x_m))^{N-1}v_n^*(y)| \end{aligned}$$

for every $m \in \mathbb{N}$. By taking limit $(m \to \infty)$ we deduce that

$$||(u_n^*)^N - (v_n^*)^N||_I \ge |u_n^*(y) + v_n^*(y)| \ge \operatorname{Re} u_n^*(y) + v_n^*(y) > 2 - \varepsilon.$$

In any case, by the choice of n, we have diam $S(B_{\mathcal{P}_{I}^{N}(X)}, z, \alpha) \geq 2$ and so diam $S(B_{\mathcal{P}_{I}^{N}(X)}, z, \alpha) = 2$, as we wanted to show. \Box

From the previous theorem and some known results, we will establish the following characterization:

Corollary 5.2. Let X be the predual of a JBW^* -triple and $N \in \mathbb{N}$. Then the following conditions are equivalent:

- *i)* X is isomorphic (as a Banach space) to a Hilbert space.
- ii) The norm of $\widehat{\bigotimes}_{N,s,\varepsilon} X$ is Fréchet differentiable at some element.
- iii) There exists a w^* -slice of the unit ball of $\mathcal{P}^N_I(X)$ with diameter less than 2.
- iv) $\bigotimes_{N,s,\varepsilon} X$ is not extremely rough

Proof. $i) \Rightarrow ii$) Since X is a reflexive space, then the unit sphere of X contains at least one point x of Fréchet differentiability. Hence x determines slices of B_{X^*} with diameter arbitrarily small in view of Lemma 2.1. By [10, Proposition 1] and the argument used in Proposition 2.2, then $x \otimes \cdots \otimes x$ determines w^* -slices of the unit ball of its dual $\mathcal{P}_I^N(X)$ with diameter arbitrarily small. Then the norm of $\bigotimes_{N,s,\varepsilon} X$ is Fréchet differentiable at some point by using again Lemma 2.1.

 $ii) \Rightarrow iii)$ and $iii) \Rightarrow iv)$ are clear in view of Lemma 2.1.

 $iv \Rightarrow i$) By Theorem 5.1, X is reflexive. Then X^* is a reflexive JB^* -triple and by [9, Proposition 2.4], X is isomorphic (as a Banach space) to a Hilbert space. \Box

By using again that C^* -algebras are finite-dimensional spaces whenever they are reflexive [30, Proposition 2], and C^* -algebras are always JB^* -triples, we deduce the main result of this section.

Corollary 5.3. Let X be the predual of a von Neumann algebra and $N \in \mathbb{N}$. Then the following conditions are equivalent:

- 1. X is finite-dimensional.
- 2. The norm of $\widehat{\bigotimes}_{N,s,\varepsilon} X$ is Fréchet differentiable at some element.
- 3. There exists a w^* -slice of the unit ball of $\mathcal{P}^N_I(X)$ with diameter less than 2.

The analogous result of Theorem 5.1 is not true in the class of JB^* -triples. For instance, if we take $X = c_0$ and $N \in \mathbb{N}$, then $\widehat{\bigotimes}_{2,s,\varepsilon} X$ is an Asplund space by [27, Theorem 1.9].

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