

# Surfaces of revolution satisfying $\Delta^{III}\mathbf{x} = A\mathbf{x}$

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**Abstract.** We consider surfaces of revolution in the three-dimensional Euclidean space which are of coordinate finite type with respect to the third fundamental form  $III$ , i.e., their position vector  $\mathbf{x}$  satisfies the relation  $\Delta^{III}\mathbf{x} = A\mathbf{x}$ , where  $A$  is a square matrix of order 3. We show that a surface of revolution satisfying the preceding relation is a catenoid or part of a sphere.

*Key Words:* Surfaces in the Euclidean space, surfaces of coordinate finite type, Beltrami operator

*MSC 2010:* 53A05, 47A75

## 1. Introduction

Let  $\mathbf{x} = \mathbf{x}(u^1, u^2)$  be a regular parametric representation of a surface  $S$  in the Euclidean space  $\mathbb{R}^3$  which does not contain parabolic points. For two sufficient differentiable functions  $f(u^1, u^2)$  and  $g(u^1, u^2)$  the first Beltrami operator with respect to the third fundamental form  $III = e_{ij}du^i du^j$  of  $S$  is defined by

$$\nabla^{III}(f, g) = e^{ij} f_{|i} g_{|j},$$

where  $f_{|i} := \frac{\partial f}{\partial u^i}$  and  $e^{ij}$  denote the components of the inverse tensor of  $e_{ij}$ . The second Beltrami differential operator with respect to  $III$  is defined by<sup>1</sup>

$$\Delta^{III}f = \frac{-1}{\sqrt{e}} (\sqrt{e} e^{ij} f_{|i})_{|j} \quad (1)$$

( $e := \det(e_{ij})$ ). In [5] we showed the relation

$$\Delta^{III}\mathbf{x} = \nabla^{III} \left( \frac{2H}{K} \mathbf{n} \right) - \frac{2H}{K} \mathbf{n}, \quad (2)$$

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<sup>1</sup>with sign convention such that  $\Delta = -\frac{\partial^2}{\partial x^2} - \frac{\partial^2}{\partial y^2}$  for the metric  $ds^2 = dx^2 + dy^2$

where  $\mathbf{n}$  is the unit normal vectorfield,  $H$  the mean curvature and  $K$  the Gaussian curvature of  $S$ . Moreover we proved that a surface satisfying the condition

$$\Delta^{III}\mathbf{x} = \lambda\mathbf{x}, \quad \lambda \in \mathbb{R},$$

i.e., a surface  $S: \mathbf{x} = \mathbf{x}(u^1, u^2)$  for which all coordinate functions are eigenfunctions of  $\Delta^{III}$  with the same eigenvalue  $\lambda$ , is part of a sphere ( $\lambda = 2$ ) or a minimal surface ( $\lambda = 0$ ). Using terms of B.-Y. CHEN's theory of finite type surfaces [1] the above result can be expressed as follows: A surface  $S$  in  $\mathbb{R}^3$  is of *III-type 1* (or of *null III-type 1*) if and only if  $S$  is part of a sphere (or a minimal surface).

In general a surface  $S$  is said to be of *finite type* with respect to the fundamental form *III* or, briefly, of *finite III-type*, if the position vector  $\mathbf{x}$  of  $S$  can be written as a finite sum of nonconstant eigenvectors of the operator  $\Delta^{III}$ , that is if

$$\mathbf{x} = \mathbf{c} + \mathbf{x}_1 + \mathbf{x}_2 + \dots + \mathbf{x}_m, \quad \Delta^{III}\mathbf{x}_i = \lambda_i\mathbf{x}_i, \quad i = 1, \dots, m, \quad (3)$$

where  $\mathbf{c}$  is a constant vector and  $\lambda_1, \dots, \lambda_m$  are eigenvalues of  $\Delta^{III}$ . When there are exactly  $k$  nonconstant eigenvectors  $\mathbf{x}_1, \dots, \mathbf{x}_k$  appearing in (3) which all belong to different eigenvalues  $\lambda_1, \dots, \lambda_k$ , then  $S$  is said to be of *III-type  $k$* ; when  $\lambda_i = 0$  for some  $i = 1, \dots, k$ , then  $S$  is said to be of *null III-type  $k$* .

The only known surfaces of finite *III-type* are parts of spheres, the minimal surfaces and the parallel of the minimal surfaces (which are actually of *null III-type 2*, see [5]).

In this paper we want to determine the connected surfaces of revolution  $S$  in  $\mathbb{R}^3$  which are of *coordinate finite III-type*, i.e., their position vectorfield  $\mathbf{x}(u^1, u^2)$  satisfies the condition

$$\Delta^{III}\mathbf{x} = A\mathbf{x}, \quad A \in M(3, 3), \quad (4)$$

where  $M(m, n)$  denotes the set of all matrices of the type  $(m, n)$ .

Coordinate finite type surfaces with respect to the first fundamental form *I* were studied in [2] and [3]. In the last paper O. GARAY showed that the only complete surfaces of revolution in  $\mathbb{R}^3$ , whose component functions are eigenfunctions of their Laplacian are the catenoids, the spheres and the circular cylinders, while F. DILLEN, J. PAS and L. VERSTRAELEN proved in [2] that the only surfaces in  $\mathbb{R}^3$  satisfying

$$\Delta^I\mathbf{x} = A\mathbf{x} + B, \quad A \in M(3, 3), \quad B \in M(3, 1),$$

are the minimal surfaces, the spheres and the circular cylinders.

Our main result is the following

**Proposition 1.** A surface of revolution  $S$  satisfies (4) if and only if  $S$  is a catenoid or part of a sphere.

We first show that the mentioned surfaces indeed satisfy the condition (4).

A. On a catenoid the mean curvature vanishes, so, by virtue of (2),  $\Delta^{III}\mathbf{x} = 0$ . Therefore a catenoid satisfies (4), where  $A$  is the null matrix in  $M(3, 3)$ .

B. Let  $S$  be part of a sphere of radius  $r$  centered at the origin. Then

$$H = \frac{1}{r}, \quad K = \frac{1}{r^2}, \quad \mathbf{n} = -\frac{1}{r}\mathbf{x}.$$

So, by (2), it is  $\Delta^{III}\mathbf{x} = 2\mathbf{x}$ . Therefore  $S$  satisfies (4) with  $A = 2I_3$ , where  $I_3$  is the identity matrix in  $M(3, 3)$ .

## 2. Proof of the main theorem

Let  $C$  be the profile curve of a surface of revolution  $S$  of the differentiation class  $C^3$ . We suppose that

- (a)  $C$  lies on the  $(x_1, x_3)$ -plane,
- (b) the axis of revolution of  $S$  is the  $x_3$ -axis and
- (c)  $C$  is parametrized by its arclength  $s$ .

Then  $C$  admits the parametric representation

$$\mathbf{r}(s) = (f(s), 0, g(s)), \quad s \in J$$

( $J \subset \mathbb{R}$  open interval), where  $f(s), g(s) \in C^3(J)$ . The position vector of  $S$  is given by

$$\mathbf{x}(s, \theta) = (f(s) \cos \theta, f(s) \sin \theta, g(s)), \quad s \in J, \quad \theta \in [0, 2\pi).$$

Putting  $f(s)' := \frac{df(s)}{ds}$  we have because of (c)

$$f'^2 + g'^2 = 1 \quad \forall s \in J. \quad (5)$$

Furthermore it is  $f' \cdot g' \neq 0$ , because otherwise  $f = \text{const.}$  or  $g = \text{const.}$  and  $S$  would be a circular cylinder or part of a plane, respectively. Hence  $S$  would consist only of parabolic points, which has been excluded. In view of (5) we can put

$$f' = \cos \varphi, \quad g' = \sin \varphi, \quad (6)$$

where  $\varphi$  is a function of  $s$ . Then the unit normal vector of  $S$  is given by

$$\mathbf{n} = (-\sin \varphi \cos \theta, -\sin \varphi \sin \theta, \cos \varphi).$$

The components  $h_{ij}$  and  $e_{ij}$  of the the second and the third fundamental tensors in (local) coordinates are the following

$$\begin{aligned} h_{11} &= \varphi', & h_{12} &= 0, & h_{22} &= f \sin \varphi, \\ e_{11} &= \varphi'^2, & e_{12} &= 0, & e_{22} &= \sin^2 \varphi, \end{aligned} \quad (7)$$

hence [4]

$$\frac{2H}{K} = h_{ij}e^{ij} = \frac{1}{\varphi'} + \frac{f}{\sin \varphi}. \quad (8)$$

From (1) and (7) we find for a sufficiently differentiable function  $u = u(s, \theta)$  defined on  $J \times [2\pi, 0)$

$$\Delta^{III} = -\frac{u''}{\varphi'^2} + \left( \frac{\varphi''}{\varphi'^2} - \frac{\cos \varphi}{\sin \varphi} \right) \frac{u'}{\varphi'} - \frac{u_{|\theta\theta}}{\sin^2 \varphi}. \quad (9)$$

Considering the following functions of  $s$

$$P_1 = R \sin \varphi - \frac{\cos \varphi}{\varphi'} R', \quad P_2 = -R \cos \varphi - \frac{\sin \varphi}{\varphi'} R', \quad (10)$$

where we have put for simplicity  $R := \frac{2H}{K}$ , and applying (9) on the coordinate functions  $x_i$ ,  $i = 1, 2, 3$ , of the position vector  $\mathbf{x}$  we find

$$\Delta^{III}x_1 = P_1 \cos \theta, \quad \Delta^{III}x_2 = P_1 \sin \theta, \quad \Delta^{III}x_3 = P_2. \quad (11)$$

So we have:

- (a) The coordinate functions  $x_1, x_2$  are both eigenfunctions of  $\Delta^{\text{III}}$  belonging to the same eigenvalue if and only if for some real constant  $\lambda$  holds

$$\lambda f = R \sin \varphi - \frac{\cos \varphi}{\varphi'} R'.$$

- (b) The coordinate function  $x_3$  is an eigenfunction of  $\Delta^{\text{III}}$  if and only if for some real constant  $\mu$  holds

$$\mu g = -R \cos \varphi - \frac{\sin \varphi}{\varphi'} R'.$$

We denote by  $a_{ij}$ ,  $i, j = 1, 2, 3$ , the entries of the matrix  $A$ . By using (11) condition (4) is found to be equivalent to the following system

$$\begin{cases} P_1 \cos \theta = a_{11}f \cos \theta + a_{12}f \sin \theta + a_{13}g \\ P_1 \sin \theta = a_{21}f \cos \theta + a_{22}f \sin \theta + a_{23}g \\ P_2 = a_{31}f \cos \theta + a_{32}f \sin \theta + a_{33}g \end{cases} \quad (12)$$

Since  $\sin \theta$ ,  $\cos \theta$  and 1 are linearly independent functions of  $\theta$ , we obtain from (12<sub>3</sub>)  $a_{31} = a_{32} = 0$ . On differentiating (12<sub>1</sub>) and (12<sub>2</sub>) twice with respect to  $\theta$  we have

$$\begin{cases} P_1 \cos \theta = a_{11}f \cos \theta + a_{12}f \sin \theta \\ P_1 \sin \theta = a_{21}f \cos \theta + a_{22}f \sin \theta \end{cases}$$

Thus  $a_{13}g = a_{23}g = 0$ , so that  $a_{13}$  and  $a_{23}$  vanish. The system (12) is equivalent to the following

$$\begin{cases} (P_1 - a_{11}f) \cos \theta - a_{12}f \sin \theta = 0 \\ (P_1 - a_{22}f) \sin \theta - a_{21}f \cos \theta = 0 \\ P_2 - a_{33}g = 0 \end{cases}$$

But  $\sin \theta$  and  $\cos \theta$  are linearly independent functions of  $\theta$ , so we finally obtain  $a_{12} = a_{21} = 0$ ,  $a_{11} = a_{22}$  and  $P_1 = a_{11}f$ . Putting  $a_{11} = a_{22} = \lambda$  and  $a_{33} = \mu$  we see that the system (12) reduces now to the following equations

$$P_1 = \lambda f, \quad P_2 = \mu g. \quad (13)$$

On account of (10) and (13) we are left with the system

$$\begin{cases} R = \lambda f \sin \varphi - \mu g \cos \varphi \\ R' = -\varphi'(\lambda f \cos \varphi + \mu g \sin \varphi) \end{cases} \quad (14)$$

On differentiating (14<sub>1</sub>) with respect to  $s$  we find, by virtue of (6),

$$R' = \frac{\lambda - \mu}{2} \sin \varphi \cos \varphi. \quad (15)$$

We distinguish the following cases:

**Case I.** Let  $\lambda = \mu$ .

Then (15) reduces to  $R' = 0$ .

*Subcase Ia.* Let  $\lambda = \mu = 0$ . From (14<sub>1</sub>) we obtain  $R = 0$ , i.e.,  $H = 0$ . Consequently  $S$ , being a minimal surface of revolution, is a catenoid.

*Subcase Ib.* Let  $\lambda = \mu \neq 0$ .

Then from (6), (14<sub>2</sub>) and  $R' = 0$  we have  $f \cdot f' + g \cdot g' = 0$ , i.e.,  $(f^2 + g^2)' = 0$ . Therefore  $f^2 + g^2 = \text{const.}$  and  $S$  is obviously part of a sphere.

**Case II.** Let  $\lambda \neq \mu$ .

From (14<sub>2</sub>), (15) we find firstly

$$\frac{1}{\varphi'} = \frac{2(\lambda f \cos \varphi + \mu g \sin \varphi)}{(\mu - \lambda) \sin \varphi \cos \varphi}. \quad (16)$$

From this and (8) we obtain

$$R = \frac{\lambda + \mu}{(\mu - \lambda) \sin \varphi} f + \frac{2\mu}{(\mu - \lambda) \cos \varphi} g.$$

Hence, by virtue of (14<sub>1</sub>),

$$af + bg = 0, \quad (17)$$

where

$$a = \lambda \sin \varphi + \frac{\lambda + \mu}{(\lambda - \mu) \sin \varphi}, \quad b = \frac{2\mu}{(\lambda - \mu) \cos \varphi} - \mu \cos \varphi. \quad (18)$$

We note that  $\mu \neq 0$ , since for  $\mu = 0$  we have

$$a = \frac{\lambda \sin^2 \varphi + 1}{\sin \varphi}, \quad b = 0,$$

and relation (17) becomes

$$\frac{\lambda \sin^2 \varphi + 1}{\sin \varphi} f = 0,$$

whence it follows  $\lambda \sin^2 \varphi + 1 = 0$ , a contradiction.

On differentiating (17) with respect to  $s$  and taking into account (16) we obtain

$$a_1 \frac{f}{\sin \varphi} + b_1 \frac{g}{\cos \varphi} = 0, \quad (19)$$

where

$$a_1 = \lambda(\lambda - \mu)^2 \sin^4 \varphi + (\lambda - \mu)(\lambda\mu - \lambda^2 + 3\lambda + \mu) \sin^2 \varphi - (\lambda + \mu)(3\lambda - \mu), \quad (20)$$

$$b_1 = \mu [(\lambda - \mu)^2 \sin^4 \varphi + (\lambda - \mu)(\mu - \lambda + 4) \sin^2 \varphi - 2(\lambda + \mu)]. \quad (21)$$

By eliminating now the functions  $f$  and  $g$  from (17) and (19) and taking into account (18), (20) and (21) we find

$$\lambda(\lambda - \mu)^2 \sin^4 \varphi + (\lambda - \mu)(\lambda\mu - \lambda^2 + 5\lambda + \mu - 2) \sin^2 \varphi + (\lambda + \mu)(\mu - 3\lambda + 4) = 0.$$

Consequently

$$\lambda(\lambda - \mu)^2 = 0, \quad (\lambda - \mu)(\lambda\mu - \lambda^2 + 5\lambda + \mu - 2) = 0, \quad (\lambda + \mu)(\mu - 3\lambda + 4) = 0.$$

From the first equation we have  $\lambda = 0$ . Then, the other two become as follows

$$\mu - 2 = 0, \quad \mu + 4 = 0,$$

which is a contradiction.

So the proof of the theorem is completed.

## References

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