## Adjoint vector fields on the tangent space of semisimple symmetric spaces

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Abstract. Let  $\mathfrak{g}$  be a semisimple complex Lie algebra and  $\vartheta \in \operatorname{Aut} \mathfrak{g}$  be an involution. If  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$  is the decomposition associated to  $\vartheta$ , define a Lie subalgebra of  $\operatorname{End} \mathfrak{p}$  by  $\tilde{\mathfrak{k}} = \{ X : \forall f \in S(\mathfrak{p}^*)^{\mathfrak{k}}, X.f = 0 \}$ . We prove that  $\operatorname{ad}_{\mathfrak{p}}(\mathfrak{k}) = \tilde{\mathfrak{k}}$  if, and only if, each irreducible factor of rank one of the symmetric pair  $(\mathfrak{g}, \mathfrak{k})$  is isomorphic to  $(\mathfrak{so}(q+1), \mathfrak{so}(q))$ .

#### 0. Introduction

Let  $\mathfrak{g}$  be a semisimple complex Lie algebra with adjoint group G. Let  $\vartheta \in \operatorname{Aut}(\mathfrak{g})$ be an involution and set  $\mathfrak{k} = \operatorname{Ker}(\vartheta - I)$ ,  $\mathfrak{p} = \operatorname{Ker}(\vartheta + I)$ , hence  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ . The pair  $(\mathfrak{g}, \vartheta)$ , or  $(\mathfrak{g}, \mathfrak{k})$ , will be called a (semisimple) symmetric pair. Let  $\Theta(\mathfrak{p})$  be the Lie algebra of (algebraic) vector fields on  $\mathfrak{p}$ . Thus  $\Theta(\mathfrak{p})$  identifies with  $\operatorname{Der}_{\mathbb{C}} \mathcal{O}(\mathfrak{p})$ , where  $\mathcal{O}(\mathfrak{p}) = S(\mathfrak{p}^*)$ . There exists a Lie algebra homomorphism  $\tau : \mathfrak{gl}(\mathfrak{p}) \to \Theta(\mathfrak{p})$ defined by  $(\tau(X).f)(v) = \frac{d}{dt}_{|t=0}f(e^{-tX}.v)$  for  $v \in \mathfrak{p}$ ,  $f \in \mathcal{O}(\mathfrak{p})$  and  $X \in \mathfrak{gl}(\mathfrak{p})$ . This applies in particular to ad(X),  $X \in \mathfrak{k}$ , and we still set  $\tau(X) = \tau(\operatorname{ad}(X))$ .

Let K be the connected algebraic subgroup of G such that  $\text{Lie}(K) = \mathfrak{k}$ . Recall, cf. [7], that

$$\mathcal{O}(\mathfrak{p})^K = \{ f \in \mathcal{O}(\mathfrak{p}) : \tau(\mathfrak{k}) \cdot f = 0 \} = \mathbb{C}[u_1, \dots, u_p]$$

is a polynomial ring. Here, p is the rank of  $(\mathfrak{g}, \vartheta)$ , i.e. the dimension of a Cartan subspace  $\mathfrak{a} \subset \mathfrak{p}$  for  $(\mathfrak{g}, \vartheta)$ . One defines a Lie subalgebra of  $\mathfrak{gl}(\mathfrak{p})$ , containing  $\mathrm{ad}(\mathfrak{k})$ , by setting

$$\tilde{\mathfrak{k}} = \big\{ \mathfrak{X} \in \mathfrak{gl}(\mathfrak{p}) : \tau(\mathfrak{X}).f = 0 \text{ for all } f \in \mathcal{O}(\mathfrak{p})^K \big\}.$$

The Lie algebra  $\tilde{\mathfrak{k}}$  has been considered by various authors (see, e.g., [8, 10]), in relation with the description of spherical hyperfunctions, or eigendistributions, on  $\mathfrak{p}$ . Observe that if  $\mathfrak{s} \subset \mathfrak{k}$  is an ideal of  $\mathfrak{g}$ , we have  $\operatorname{ad}(\mathfrak{s}) = 0$  and  $\operatorname{ad}(\mathfrak{k}) = \operatorname{ad}(\mathfrak{k}/\mathfrak{s})$ . We will therefore assume that  $\mathfrak{k}$  does not contain a nonzero ideal of  $\mathfrak{g}$ . Then  $(\mathfrak{g}, \mathfrak{k})$  decomposes as a direct product  $\prod_{i=1}^{t} (\mathfrak{g}^{i}, \mathfrak{k}^{i})$  where each factor  $(\mathfrak{g}^{i}, \mathfrak{k}^{i})$  is irreducible, see [4, VIII.5].

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When p = 1, the invariant  $u_1$  is (up to a non-zero scalar) the nondegenerate quadratic form on  $\mathfrak{p}$  induced by the Killing form B of  $\mathfrak{g}$ . Then,  $\tilde{\mathfrak{t}} = \mathfrak{so}(\mathfrak{p}, u_1)$  and  $\tilde{\mathfrak{t}} \supseteq \mathrm{ad}(\mathfrak{k})$ , unless  $(\mathfrak{g}, \mathfrak{k}) \cong (\mathfrak{so}(q+1, \mathbb{C}), \mathfrak{so}(q, \mathbb{C}))$ . The main result of this note is the following theorem, which does not seem to have been noticed before.

# **Theorem.** (Main Theorem) Let $(\mathfrak{g}, \vartheta)$ be as above. Then $\operatorname{ad}(\mathfrak{k}) = \widetilde{\mathfrak{k}}$ if, and only if, each irreducible factor of rank one of $(\mathfrak{g}, \mathfrak{k})$ is isomorphic to $(\mathfrak{so}(q+1, \mathbb{C}), \mathfrak{so}(q, \mathbb{C}))$ .

The proof of the theorem goes as follows. Let  $\widetilde{K}$  be the connected algebraic subgroup of  $\operatorname{GL}(\mathfrak{p})$  such that  $\operatorname{Lie}(\widetilde{K}) = \widetilde{\mathfrak{k}}$ , we first prove that the representation  $(\widetilde{K}:\mathfrak{p})$  is polar (see [1, 2]). Now, using the results of [1] one can suppose that there exists a semisimple symmetric pair  $(\widetilde{\mathfrak{g}}, \widetilde{\vartheta})$  with associated decomposition  $\widetilde{\mathfrak{g}} = \widetilde{\mathfrak{k}} \oplus \mathfrak{p}$ and Cartan subspace  $\mathfrak{a}$ . Then, a case by case examination of the restricted root systems  $\Sigma(\mathfrak{g},\mathfrak{a})$  and  $\Sigma(\widetilde{\mathfrak{g}},\mathfrak{a})$  enables us to conclude the proof.

Our interest in this theorem originates in the more general problem of describing the  $\mathcal{O}(\mathfrak{p})$ -module of vector fields on  $\mathfrak{p}$  which annihilate  $\mathcal{O}(\mathfrak{p})^K$ . Set

$$\mathcal{E} = \left\{ d \in \Theta(\mathbf{p}) : d.f = 0 \text{ for all } f \in \mathcal{O}(\mathbf{p})^K \right\}.$$

Then,  $E = \mathcal{O}(\mathfrak{p})\tau(\mathfrak{k}) \subset \widetilde{E} = \mathcal{O}(\mathfrak{p})\tau(\widetilde{\mathfrak{k}}) \subset \mathcal{E}$  and we conjecture that  $\mathcal{E} = \mathcal{O}(\mathfrak{p})\tau(\widetilde{\mathfrak{k}})$ . The equality  $\mathcal{E} = \mathcal{O}(\mathfrak{p})\tau(\mathfrak{k})$  was established by J. Dixmier [3] in the diagonal case, that is to say when  $\mathfrak{g} = \mathfrak{g}_1 \times \mathfrak{g}_1$ ,  $\mathfrak{g}_1$  semisimple,  $\vartheta(x, y) = (y, x)$ . It is not difficult to prove that the same conclusion holds when  $(\mathfrak{g}, \mathfrak{k})$  has maximal rank, i.e.  $p = \operatorname{rk} \mathfrak{g}$  (this is also a very particular case of the results in [13]). Furthermore, the modules  $E, \widetilde{E}$  and  $\mathcal{E}$  are graded  $\mathcal{O}(\mathfrak{p})$ -submodules of  $\Theta(\mathfrak{p})$  whose degree zero parts are given by  $E_0 = \tau(\mathfrak{k}), \ \widetilde{E}_0 = \mathcal{E}_0 = \tau(\widetilde{\mathfrak{k}})$ . Therefore, the Main Theorem indicates in which case one has  $E \subsetneq \widetilde{E} = \mathcal{O}(\mathfrak{p})\mathcal{E}_0$ .

## 1. Generalities

We retain the notation of the introduction. Furthermore, we set  $n = \dim \mathfrak{p}$ ,  $\operatorname{ad}(x).y = [x, y]$  and  $g.x = \operatorname{Ad}(g).x$  for  $x, y \in \mathfrak{g}, g \in G$ . If  $V \subset \mathfrak{g}$ , we denote by  $V^x$  the subset of elements of V which commute with x.

By [7],  $\dim \mathfrak{p} - \dim \mathfrak{k} = \dim \mathfrak{p}^v - \dim \mathfrak{k}^v$  for all  $v \in \mathfrak{p}$ . Define the set of regular elements in  $\mathfrak{p}$  by

$$\mathfrak{p}^{\mathrm{reg}} = \{ v \in \mathfrak{p} : \dim K \cdot v = n - p \} = \{ v \in \mathfrak{p} : \dim \mathfrak{p}^v = p \}.$$

Then, cf. [7], one has  $p = \min_{v \in \mathfrak{p}} \dim \mathfrak{p}^v = \dim \mathfrak{a}$  and  $\max_{v \in \mathfrak{p}} \dim K.v = \dim \mathfrak{p} - p$ . One can write  $\mathfrak{a} = \mathfrak{p}^x$  for a generic element x, i.e.  $x \in \mathfrak{p}^{\text{reg}}$  and x semisimple in  $\mathfrak{g}$ .

Recall (see [4, Proposition X.1.4] and [5, Lemma III.4.1]) that the symmetric pair  $(\mathfrak{g}, \vartheta)$  is the complexification of a real symmetric pair  $(\mathfrak{g}_0, \vartheta_0)$  where  $\mathfrak{g}_0 = \mathfrak{k}_0 \oplus \mathfrak{p}_0$  is a Cartan decomposition of the real form  $\mathfrak{g}_0$  of  $\mathfrak{g}$ . Thus  $\mathfrak{k}_0$  is a compactly embedded subalgebra of  $\mathfrak{g}_0$  and the restriction of B to  $\mathfrak{p}_0$  is a  $\mathfrak{k}_0$ -invariant scalar product. We then have  $\mathfrak{k} = \mathfrak{k}_0 \otimes_{\mathbb{R}} \mathbb{C}$ ,  $\mathfrak{p} = \mathfrak{p}_0 \otimes_{\mathbb{R}} \mathbb{C}$ ,  $\vartheta = \vartheta_0 \otimes_{\mathbb{R}} 1$  and

$$S(\mathfrak{p}_0^*)^{\mathfrak{k}_0} \otimes_{\mathbb{R}} \mathbb{C} = S(\mathfrak{p}^*)^{\mathfrak{k}} = \mathcal{O}(\mathfrak{p})^K = \mathbb{C}[u_1, \dots, u_p].$$

It follows that  $S(\mathfrak{p}_0^*)^{\mathfrak{e}_0}$  is a polynomial ring in p variables and that we may choose the generators  $u_1, \ldots, u_p$  in  $S(\mathfrak{p}_0^*)$ , the first invariant  $u_1$  being the nondegenerate quadratic form on  $\mathfrak{p}_0$  induced by the restriction of B. We have  $\mathfrak{gl}(\mathfrak{p}) = \mathfrak{gl}(\mathfrak{p}_0) \otimes_{\mathbb{R}} \mathbb{C} = \mathfrak{gl}(\mathfrak{p}_0) \oplus i\mathfrak{gl}(\mathfrak{p}_0)$  and, if  $\mathbf{X} \in \mathfrak{gl}(\mathfrak{p}_0)$ , the vector field  $\tau(\mathbf{X})$  is a derivation of the polynomial ring  $S(\mathfrak{p}_0^*)$ . Notice that  $\mathfrak{s}_0 = \{\mathbf{X} \in \mathfrak{gl}(\mathfrak{p}_0) : \tau(\mathbf{X}) : u_1 = 0\}$  is the orthogonal Lie algebra  $\mathfrak{so}(\mathfrak{p}_0, u_1) \cong \mathfrak{so}(n, \mathbb{R})$ .

Define [8, §4] a closed subgroup of  $GL(\mathfrak{p}_0)$  by

$$K'_0 = \{g \in \operatorname{GL}(\mathfrak{p}_0) : g.u_j = u_j \text{ for all } j = 1, \dots, p\}.$$

Since  $K'_0 \subset SO(\mathfrak{p}_0, u_1)$ ,  $K'_0$  is a compact Lie group. Denote by  $\widetilde{K}_0$  its identity component and set  $\mathfrak{t}_0 = \operatorname{Lie}(K'_0) = \operatorname{Lie}(\widetilde{K}_0)$ . We have

$$\widetilde{\mathfrak{k}}_0 = \left\{ \mathtt{X} \in \mathfrak{gl}(\mathfrak{p}_0) : \tau(\mathtt{X}). f = 0 \text{ for all } f \in S(\mathfrak{p}_0^*)^{\mathfrak{k}_0} \right\}$$

and  $\operatorname{ad}(\mathfrak{k}_0) \subset \widetilde{\mathfrak{k}}_0 \subset \mathfrak{s}_0$ . Let  $\widetilde{K} = (\widetilde{K}_0)_{\mathbb{C}} \subset \operatorname{GL}(\mathfrak{p})$  be the complexification of  $\widetilde{K}_0$ (see [11, Chap. 5, Theorem 12]). Then,  $\widetilde{K}$  is a reductive algebraic group and is the unique connected reductive subgroup of  $\operatorname{GL}(\mathfrak{p})$  such that  $\operatorname{Lie}(\widetilde{K}) = \widetilde{\mathfrak{k}}_0 \otimes_{\mathbb{R}} \mathbb{C}$ . One verifies easily that  $\widetilde{\mathfrak{k}} = \widetilde{\mathfrak{k}}_0 \otimes_{\mathbb{R}} \mathbb{C}$ . It will be convenient to denote the  $\widetilde{K}_0$ -module  $\mathfrak{p}_0$ by  $\widetilde{\mathfrak{p}}_0$ .

Recall that the pair  $(\mathfrak{g}, \mathfrak{k})$  is said to be irreducible if  $(\mathfrak{g}_0, \mathfrak{k}_0)$  is irreducible in the following sense [5, VIII.5]:  $\mathfrak{k}_0$  does not contain a nonzero ideal of  $\mathfrak{g}_0$  and the  $K_0$ -module  $\mathfrak{p}_0$  is simple. Decompose  $(\mathfrak{g}_0, \mathfrak{k}_0)$  as a finite direct sum of irreducible symmetric pairs  $(\mathfrak{g}_0^i, \mathfrak{k}_0^i)$ ,  $1 \leq i \leq t$ . We can then define, in a similar way,  $\widetilde{\mathfrak{k}}_0^i \subset \mathfrak{gl}(\mathfrak{p}_0^i)$ ,  $\widetilde{K}^i \subset \operatorname{GL}(\mathfrak{p}^i)$  etc., for each  $i = 1, \ldots, t$ .

**Lemma 1.1.** We have  $\tilde{\mathfrak{k}}_0 = \tilde{\mathfrak{k}}_0^1 \times \cdots \times \tilde{\mathfrak{k}}_0^t$  and  $\tilde{K}_0 = \tilde{K}_0^1 \times \cdots \times \tilde{K}_0^t$ .

**Proof.** We write the proof for t = 2, the general case being similar. Let  $\{e_i, x_i = e_i^*\}_i$  and  $\{f_i, y_i = f_i^*\}_i$  be orthonormal coordinate systems (w.r.t. the Killing forms) on  $\mathfrak{p}_0^1$  and  $\mathfrak{p}_0^2$ . Thus,  $S(\mathfrak{p}_0^*)^{\mathfrak{k}_0} = S((\mathfrak{p}_0^1)^*)^{\mathfrak{k}_0^1} \otimes_{\mathbb{R}} S((\mathfrak{p}_0^2)^*)^{\mathfrak{k}_0^2}$ . Let  $X \in \operatorname{End} \mathfrak{p}_0$  and write  $X = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  with  $A = [a_{ij}] \in \operatorname{End} \mathfrak{p}_0^1$ ,  $B = [b_{ij}] \in \operatorname{L}(\mathfrak{p}_0^2, \mathfrak{p}_0^1)$ ,  $C = [c_{ij}] \in \operatorname{L}(\mathfrak{p}_0^1, \mathfrak{p}_0^2)$ ,  $D = [d_{ij}] \in \operatorname{End} \mathfrak{p}_0^2$ . Then,

$$\tau(\mathbf{X}) = \sum_{s} (\mathbf{A}_{s}(x) + \mathbf{B}_{s}(y)) \frac{\partial}{\partial x_{s}} + \sum_{q} (\mathbf{C}_{q}(x) + \mathbf{D}_{q}(y)) \frac{\partial}{\partial y_{q}}$$

where  $\mathbf{A}_s(x) = -\sum_u a_{su}x_u$ ,  $\mathbf{B}_s(y) = -\sum_u b_{su}y_u$ ,  $\mathbf{C}_q(x) = -\sum_u c_{qu}x_u$ ,  $\mathbf{D}_q(y) = -\sum_u d_{qu}y_u$ . Suppose that  $\mathbf{X} \in \tilde{\mathbf{t}}_0$  and let  $f(x) \in S((\mathbf{p}_0^1)^*)^{\mathbf{t}_0^1}$ . Then, from  $\tau(\mathbf{X}).f = 0$  we deduce that

$$\sum_{s} \mathsf{A}_{s}(x) \frac{\partial f(x)}{\partial x_{s}} = -\sum_{s} \mathsf{B}_{s}(y) \frac{\partial f(x)}{\partial x_{s}},$$

which forces  $\sum_{s} \mathbf{A}_{s}(x) \frac{\partial f(x)}{\partial x_{s}} = \sum_{s} \mathbf{B}_{s}(y) \frac{\partial f(x)}{\partial x_{s}} = 0$ . Similarly,

$$\sum_{s} C_{s}(x) \frac{\partial g(y)}{\partial y_{s}} = \sum_{s} D_{s}(y) \frac{\partial g(y)}{\partial y_{s}} = 0$$

for all  $g(y) \in S((\mathfrak{p}_0^2)^*)^{\mathfrak{k}_0^2}$ . Now, taking  $f(x) = \sum_s x_s^2$  we obtain  $\sum_s B_s(y)x_s = 0$ and therefore  $B_s(y) = 0$ . Hence B = 0 and, similarly, C = 0 (use  $g(y) = \sum_q y_q^2$ ). This proves that  $X = A \times D$  with  $A \in \tilde{\mathfrak{k}}_0^1$ ,  $D \in \tilde{\mathfrak{k}}_0^2$ . The second assertion follows easily. **Remark 1.2.** The previous lemma shows that  $\operatorname{ad}(\mathfrak{k}_0) = \widetilde{\mathfrak{k}}_0$  if and only if  $\operatorname{ad}(\mathfrak{k}_0^i) = \widetilde{\mathfrak{k}}_0^i$  for all *i*. Therefore, to prove the theorem of the introduction, we may assume that the symmetric pair  $(\mathfrak{g}, \mathfrak{k})$  is irreducible.

**Lemma 1.3.** Suppose that  $(\mathfrak{g}, \mathfrak{k})$  is irreducible and p = 1. Then,  $\operatorname{ad}(\mathfrak{k}_0) = \mathfrak{k}_0$  if and only if  $(\mathfrak{g}, \mathfrak{k})$  is isomorphic to  $(\mathfrak{so}(n+1, \mathbb{C}), \mathfrak{so}(n, \mathbb{C}))$ .

**Proof.** Note that  $\mathfrak{k}_0 \cong \mathrm{ad}(\mathfrak{k}_0) \subset \mathfrak{k}_0 = \mathfrak{s}_0$  with  $\mathfrak{s}_0 \otimes_{\mathbb{R}} \mathbb{C} \cong \mathfrak{so}(n, \mathbb{C})$ . Assume that  $(\mathfrak{g}, \mathfrak{k}) \cong (\mathfrak{so}(n+1, \mathbb{C}), \mathfrak{so}(n, \mathbb{C}))$ ; then,  $\dim \mathfrak{k}_0 = \dim_{\mathbb{C}} \mathfrak{k} = \dim \mathfrak{s}_0$  and therefore  $\mathrm{ad}(\mathfrak{k}_0) = \mathfrak{s}_0$ . Conversely, if  $\mathrm{ad}(\mathfrak{k}_0) = \mathfrak{s}_0$ , we obtain that  $\mathfrak{k} \cong \mathfrak{so}(n, \mathbb{C})$  acting naturally on  $\mathfrak{p} \cong \mathbb{C}^n$ . It follows that  $(\mathfrak{g}, \mathfrak{k}) \cong (\mathfrak{so}(n+1, \mathbb{C}), \mathfrak{so}(n, \mathbb{C}))$ .

Recall (for completeness) the following lemma, cf. [8, Corollary 4.4] for a proof in the analytic case.

**Lemma 1.4.** Let  $V \subset \mathfrak{p}$  be an affine open subset and  $f \in \mathcal{O}(V)$ , then

$$\{\forall \, \mathtt{X} \in \widehat{\mathfrak{k}}, \ \tau(\mathtt{X}).f = 0\} \iff \{\forall \, \mathtt{X} \in \mathfrak{k}, \ \tau(\mathtt{X}).f = 0\}.$$

In particular,  $\mathcal{O}(\mathfrak{p})^K = \mathcal{O}(\mathfrak{p})^{\widetilde{K}}$  and  $S(\mathfrak{p}_0^*)^{K_0} = S(\mathfrak{p}_0^*)^{\widetilde{K}_0}$ .

**Proof.** Let  $\mathbf{X} \in \tilde{\mathbf{t}}$  and let  $f \in \mathcal{O}(V)$  be such that  $\tau(\mathbf{t}).f = 0$ . By [9, Lemma 4.9] (or the proof of [8, Lemma 4.3]), there exists  $0 \neq \psi \in \mathcal{O}(\mathbf{p})$  such that  $\psi\tau(\mathbf{X}) \in \mathcal{O}(\mathbf{p})\tau(\mathbf{t})$ . Hence  $(\psi\tau(\mathbf{X})).f = 0$ , forcing  $\tau(\mathbf{X}).f = 0$ . The converse is obvious and the last assertions follow easily by taking  $V = \mathbf{p}$ .

**Corollary 1.5.** Let  $v \in \mathfrak{p}_0$ . Then  $K_0 \cdot v = \widetilde{K}_0 \cdot v$ .

**Proof.** By Lemma 1.4, the invariant functions  $u_j$  separate both the  $K_0$ -orbits and the  $\widetilde{K}_0$ -orbits, see e.g. [12, (0.4)]. We clearly have  $K_0.v \subset \widetilde{K}_0.v$ . Suppose that  $y \in \widetilde{K}_0.v \setminus K_0.v$ . Since  $K_0.y \neq K_0.v$ , we get that  $u_j(y) \neq u_j(v)$  for some j. But this yields  $\widetilde{K}_0.v \neq \widetilde{K}_0.y$  and a contradiction.

Let (L : E) be a finite dimensional representation of a compact group L. Fix an L-invariant scalar product B on E and set  $\mathfrak{l} = \operatorname{Lie}(L)$ . Recall [1] that  $v \in E$  is said to be L-regular if dim L.v is maximal. The representation (L : E) is called *polar* if, whenever  $v, v' \in E$  are regular, there exists  $k \in L$  such that  $\mathfrak{a}_v = k.\mathfrak{a}_{v'}$ , where  $\mathfrak{a}_v$  is the orthogonal of  $\mathfrak{l}.v$  with respect to B. A subspace of the form  $\mathfrak{a}_v, v$  regular, is called a Cartan subspace for (L : E) and we define the rank of (L : E) to be  $\operatorname{rk}(L : E) = \dim \mathfrak{a}_v$ . We then have  $\max_{v \in E} \dim L.v = \dim E - \operatorname{rk}(L : E)$ .

The representation  $(K_0 : \mathfrak{p}_0)$  is known to be polar and is called a symmetric space representation, see [1]. In this case a Cartan subspace is provided by a maximal abelian Lie subalgebra  $\mathfrak{a}_0$  contained in  $\mathfrak{p}_0$ ; then,  $\mathfrak{a} = \mathfrak{a}_0 \otimes_{\mathbb{R}} \mathbb{C}$  is a Cartan subspace for  $(\mathfrak{g}, \vartheta)$ .

**Proposition 1.6.** The representation  $(\widetilde{K}_0 : \widetilde{\mathfrak{p}}_0)$  is polar.

**Proof.** By Corollary 1.5,  $v_0 \in \mathfrak{p}$  is  $K_0$ -regular if and only if it is  $\widetilde{K}_0$ -regular and we have  $\mathfrak{k}_0.v_0 = \widetilde{\mathfrak{k}}_0.v_0$ . Set  $\mathfrak{a}_0 = \mathfrak{a}_{v_0} = (\mathfrak{k}_0.v_0)^{\perp}$ . Let  $v \in \mathfrak{p}_0$  be regular, we then have  $\mathfrak{a}_0 = k.\mathfrak{a}_v = k.(\mathfrak{k}_0.v)^{\perp} = k.(\widetilde{\mathfrak{k}}_0.v)^{\perp}$  for some  $k \in K_0$ . This implies that  $(\widetilde{K}_0:\widetilde{\mathfrak{p}}_0)$  is polar with  $\mathfrak{a}_0$  as Cartan subspace.

We need to recall a few facts from the theory of symmetric spaces [4, VI.3]. Let  $\mathfrak{a}_0$  be a Cartan subspace for  $(K_0 : \mathfrak{p}_0)$  and let  $\lambda \in \mathfrak{a}_0^*$ . One sets:

$$\begin{aligned} \mathbf{\mathfrak{g}}_{0}^{\lambda} &= \{ x \in \mathbf{\mathfrak{g}}_{0} : [a, x] = \lambda(a)x \text{ for all } a \in \mathbf{\mathfrak{a}}_{0} \} \\ \Sigma &= \{ \alpha \in \mathbf{\mathfrak{a}}_{0}^{*} : \alpha \neq 0 \text{ and } \mathbf{\mathfrak{g}}_{0}^{\alpha} \neq 0 \} \\ \mathbf{\mathfrak{m}}_{0} &= \mathbf{\mathfrak{g}}_{0}^{0} \cap \mathbf{\mathfrak{k}}_{0} = \operatorname{cent}_{\mathbf{\mathfrak{k}}_{0}}(\mathbf{\mathfrak{a}}_{0}) \end{aligned}$$

Then  $\Sigma$  is a root system, possibly non reduced; we fix a choice  $\Sigma^+$  of positive roots. Define the reduced associated root system by

$$\Sigma_{\rm red} = \{\lambda \in \Sigma : \lambda \notin 2\Sigma\}.$$

(If  $\Sigma$  is reduced we have  $\Sigma_{red} = \Sigma$ ; otherwise, in the irreducible case,  $\Sigma$  is of type  $(\mathsf{BC})_p$  and  $\Sigma_{red} \cong \mathsf{B}_p$ .)

If V is a real vector space we denote by  $V_{\mathbb{C}}$  its complexification and if  $\mathfrak{l}_0$  is a subspace of  $\mathfrak{g}_0$ , we set  $\mathfrak{l} = (\mathfrak{l}_0)_{\mathbb{C}}$ . With this notation the decomposition  $\mathfrak{g}_0 = \bigoplus_{\lambda \in \Sigma \cup \{0\}} \mathfrak{g}_0^{\lambda}$  yields

$$\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p} = \bigoplus_{\lambda \in \Sigma \cup \{0\}} \mathfrak{g}^{\lambda}$$
  
 $\mathfrak{m} = \operatorname{cent}_{\mathfrak{k}}(\mathfrak{a})$ 

Recall that the multiplicity of  $\lambda \in \Sigma$  is  $m_{\lambda} = \dim_{\mathbb{C}} \mathfrak{g}^{\lambda} = \dim \mathfrak{g}_{0}^{\lambda}$ . Let  $\lambda \in \Sigma^{+}$  and set

$$\begin{aligned} &\mathfrak{k}_0^{\lambda} = \{ \mathtt{X} \in \mathfrak{k}_0 : \mathrm{ad}(a)^2 . \mathtt{X} = \lambda(a)^2 \mathtt{X} \text{ for all } a \in \mathfrak{a}_0 \} \\ &\mathfrak{p}_0^{\lambda} = \{ v \in \mathfrak{p}_0 : \mathrm{ad}(a)^2 . v = \lambda(a)^2 v \text{ for all } a \in \mathfrak{a}_0 \}. \end{aligned}$$

Then,  $\mathfrak{k}_0 = \mathfrak{m}_0 \oplus \left( \bigoplus_{\lambda \in \Sigma^+} \mathfrak{k}_0^{\lambda} \right)$ ,  $\mathfrak{p}_0 = \mathfrak{a}_0 \oplus \left( \bigoplus_{\lambda \in \Sigma^+} \mathfrak{p}_0^{\lambda} \right)$ . Furthermore, see [5, III.4],  $\mathfrak{g}^{\lambda} \oplus \mathfrak{g}^{-\lambda} = \mathfrak{k}^{\lambda} \oplus \mathfrak{p}^{\lambda}$ . Let  $v \in \mathfrak{a}$  be generic, i.e.  $\lambda(v) \neq 0$  for all  $\lambda \in \Sigma_{\mathrm{red}}$ , then  $\mathrm{ad}(v)$  induces an isomorphism  $\mathfrak{p}^{\lambda} \cong \mathfrak{k}^{\lambda}$ . It follows in particular that  $m_{\lambda} = \dim \mathfrak{g}^{\lambda} = \dim \mathfrak{k}^{\lambda} = \dim \mathfrak{p}^{\lambda}$ .

Denote the set of generic elements in  $\mathfrak{a}$  by

$$\mathfrak{a}' = \{ v \in \mathfrak{a} : \alpha(v) \neq 0 \text{ for all } \alpha \in \Sigma \}$$

and let  $\mathfrak{a}^{sing} = \mathfrak{a} \setminus \mathfrak{a}'$  be the set of singular elements. We recall, for completeness, the following lemma.

**Lemma 1.7.** Let  $x \in \mathfrak{a}$ . Then

(i) 
$$\mathfrak{k}^x = \mathfrak{m} \oplus \left( \bigoplus_{\{\lambda \in \Sigma^+ : \lambda(x) = 0\}} \mathfrak{k}^\lambda \right)$$

(ii)  $x \text{ generic} \iff \mathfrak{k}^x = \mathfrak{m} \iff \dim \mathfrak{k}^x \text{ is minimal} \iff \dim \mathfrak{k}^x = \dim \mathfrak{p} - p$ .

**Proof.** (i) follows from  $\mathfrak{k} = \mathfrak{m} \oplus (\bigoplus_{\lambda \in \Sigma^+} \mathfrak{k}^{\lambda})$  and  $\operatorname{Ker} \operatorname{ad}(a)^2 = \operatorname{Ker} \operatorname{ad}(a)$  for  $a \in \mathfrak{a}$  (since a is semisimple).

(ii) is consequence of (i) and the definitions.

For 
$$\alpha \in \Sigma_{\text{red}}^+$$
 we set  $\mathfrak{a}_{\alpha} = \text{Ker } \alpha = \{a \in \mathfrak{a} : \alpha(a) = 0\}$ . Therefore,

$$\mathfrak{a}^{\text{sing}} = \bigcup_{\alpha \in \Sigma_{\text{red}}^+} \mathfrak{a}_{\alpha} \tag{1}$$

and the  $\mathfrak{a}_{\alpha}$  are pairwise distinct hyperplanes. Set

$$\mathfrak{a}_0' = \mathfrak{a}' \cap \mathfrak{a}_0, \quad \mathfrak{a}_0^{\mathrm{sing}} = \mathfrak{a}_0 \cap \mathfrak{a}^{\mathrm{sing}}, \quad \mathfrak{a}_{0,\alpha} = \mathfrak{a}_0 \cap \mathfrak{a}_{\alpha}.$$

Since dim  $K_0 \cdot x = \dim_{\mathbb{C}} K \cdot x$  for all  $x \in \mathfrak{a}_0$ , it follows from Lemma 1.7 that  $\mathfrak{a}'_0$  is the set of regular elements in  $\mathfrak{a}_0$ .

# 2. Proof of $\operatorname{ad}(\mathfrak{k}) = \widetilde{\mathfrak{k}}$

We continue with the notation of the previous sections. Recall that the proof of the Main Theorem reduces to the case when  $(\mathfrak{g}_0, \mathfrak{k}_0)$  is irreducible, see Remark 1.2. From now on, we assume that this hypothesis holds. Since  $\mathrm{ad} : \mathfrak{k}_0 \to \mathfrak{gl}(\mathfrak{p}_0)$  is injective, we will identify  $\mathfrak{k}_0$  with the Lie subalgebra  $\mathrm{ad}(\mathfrak{k}_0)$  of  $\mathfrak{k}_0$ , therefore  $\mathfrak{k}$  is identified with  $\mathrm{ad}(\mathfrak{k})$ . Note that the representations  $(K_0 : \mathfrak{p}_0)$  and  $(\widetilde{K}_0 : \widetilde{\mathfrak{p}}_0)$  are irreducible and faithful.

From the classification of irreducible polar representations one can deduce the following result, see [1, Theorem 9, Theorem 10 and Proposition 6].

**Proposition 2.1.** Let  $(L_0 : V_0)$  be an irreducible faithful polar representation of a compact Lie group  $L_0$ . Then, there exists a semisimple symmetric pair  $(\overline{\mathfrak{g}}_0, \overline{\mathfrak{k}}_0)$ such that (with obvious notation):

- (i)  $\overline{\mathfrak{g}}_0 = \overline{\mathfrak{k}}_0 \oplus V_0$  is the associated Cartan decomposition;
- (ii)  $L_0 \subset \overline{K}_0$  and  $(L_0 : V_0)$  is the restriction of  $(\overline{K}_0 : V_0)$ ;
- (iii)  $S(V_0^*)^{\overline{K}_0} = S(V_0^*)^{L_0}$ .

**Corollary 2.2.** The representation  $(\widetilde{K}_0 : \widetilde{\mathfrak{p}}_0)$  is an irreducible symmetric space representation.

**Proof.** By Proposition 1.6 and Proposition 2.1, there exists a semisimple symmetric pair  $(\overline{\mathfrak{g}}_0, \overline{\mathfrak{k}}_0)$  such that  $\mathfrak{p}_0 = \overline{\mathfrak{p}}_0 = \overline{\mathfrak{p}}_0$  (as vector spaces),  $\mathfrak{k}_0 \subset \widetilde{\mathfrak{k}}_0 \subset \overline{\mathfrak{k}}_0$  and  $S(\mathfrak{p}_0^*)^{K_0} = S(\mathfrak{p}_0^*)^{\overline{K}_0}$ . It follows then from the definition of  $\widetilde{\mathfrak{k}}_0$  that  $\widetilde{\mathfrak{k}}_0 = \overline{\mathfrak{k}}_0$ .

*Remark.* B. Kostant has informed us that Corollary 2.2 can also be deduced from the results contained in [6].

From the previous corollary we may suppose now that  $(\widetilde{K}_0 : \widetilde{\mathfrak{p}}_0)$  is coming from a semisimple symmetric pair  $(\widetilde{\mathfrak{g}}_0, \widetilde{\mathfrak{k}}_0)$ . Without lost of generality we can assume that  $\widetilde{\mathfrak{g}}_0$  has Cartan decomposition  $\widetilde{\mathfrak{g}}_0 = \widetilde{\mathfrak{k}}_0 \oplus \widetilde{\mathfrak{p}}_0$  and that, if  $[,]^{\sim}$  is the bracket on  $\widetilde{\mathfrak{g}}_0$ ,  $[\mathbf{X}, v] = [\mathbf{X}, v]^{\sim}$ ,  $[\mathbf{X}, \mathbf{Y}] = [\mathbf{X}, \mathbf{Y}]^{\sim}$  for all  $\mathbf{X}, \mathbf{Y} \in \mathfrak{k}_0$ ,  $v \in \mathfrak{p}_0 = \widetilde{\mathfrak{p}}_0$ . Notice

that if  $\mathfrak{l}_0 \subset \widetilde{\mathfrak{k}}_0 \subset \operatorname{End} \widetilde{\mathfrak{p}}_0$  is an ideal of  $\widetilde{\mathfrak{g}}_0$ , then  $\mathfrak{l}_0 \cdot \widetilde{\mathfrak{p}}_0 = [\mathfrak{l}_0, \widetilde{\mathfrak{p}}_0]^\sim \subset \widetilde{\mathfrak{k}}_0 \cap \widetilde{\mathfrak{p}}_0 = 0$  and therefore  $\mathfrak{l}_0 = 0$ . Thus the symmetric pair  $(\widetilde{\mathfrak{g}}_0, \widetilde{\mathfrak{k}}_0)$  is also irreducible. Recall that we have fixed the Cartan subspace  $\mathfrak{a}_0$  and that we can take  $\widetilde{\mathfrak{a}}_0 = \mathfrak{a}_0$  as Cartan subspace for  $(\widetilde{\mathfrak{g}}_0, \widetilde{\mathfrak{k}}_0)$ , see Proposition 1.6. The associated Weyl groups will be denoted by W and  $\widetilde{W}$ .

The notation given in §1 for  $\mathfrak{k}_0, \mathfrak{p}_0, \mathfrak{a}_0, \mathfrak{g}_0$ , etc. can be introduced for  $\tilde{\mathfrak{k}}_0, \tilde{\mathfrak{p}}_0, \tilde{\mathfrak{a}}_0, \tilde{\mathfrak{g}}_0$ , etc. If an object x is defined relatively to  $(\mathfrak{g}_0, \mathfrak{k}_0)$  we denote by  $\tilde{x}$  the corresponding one, relatively to  $(\tilde{\mathfrak{g}}_0, \tilde{\mathfrak{k}}_0)$ . Since there is only one degree two invariant in  $S(\mathfrak{p}_0^*)^{K_0} = S(\tilde{\mathfrak{p}}_0^*)^{\tilde{K}_0}$ , the scalar product B on  $\mathfrak{p}_0$  is a positive scalar multiple of the scalar product  $\tilde{B}$  on  $\tilde{\mathfrak{p}}_0$  and we will suppose in the sequel that they are actually equal.

**Proposition 2.3.** (1) There exists a bijection  $t : \Sigma_{red}^+ \to \widetilde{\Sigma}_{red}^+$ ,  $\alpha \mapsto \widetilde{\alpha}$ , such that  $\mathfrak{a}_{0,\alpha} = \widetilde{\mathfrak{a}}_{0,\widetilde{\alpha}}$ .

(2)  $W = \widetilde{W}$ .

(3) Let  $\alpha \in \Sigma_{\text{red}}^+$  and  $w \in W$  be such that  $w.\alpha \in \Sigma_{\text{red}}^+$ . Then  $t(w.\alpha) = \pm w.t(\alpha)$ .

(4) There exist  $c_1, c_2 \in \mathbb{R}^*$  such that

$$\widetilde{\alpha} = \begin{cases} \pm c_1 \alpha & \text{if } \alpha \text{ short,} \\ \pm c_2 \alpha & \text{if } \alpha \text{ long.} \end{cases}$$

**Proof.** (1) By Corollary 1.5 we have  $\mathfrak{a}_0^{\text{sing}} = \tilde{\mathfrak{a}}_0^{\text{sing}}$ , hence we get from (1):

$$\bigcup_{\alpha \in \Sigma_{\mathrm{red}}^+} \mathfrak{a}_{0,\alpha} = \bigcup_{\beta \in \widetilde{\Sigma}_{\mathrm{red}}^+} \widetilde{\mathfrak{a}}_{0,\beta}$$

Since the hyperplanes occuring in each side of the previous equality are pairwise distinct, we obtain that

$$\forall \, \alpha \in \Sigma_{\mathrm{red}}^+, \,\, \exists! \, \mathbf{t}(\alpha) \in \widetilde{\Sigma}_{\mathrm{red}}^+, \,\, \mathbf{\mathfrak{a}}_{0,\alpha} = \widetilde{\mathbf{\mathfrak{a}}}_{0,\mathbf{t}(\alpha)}.$$

It is then clear that  $\alpha \mapsto \mathbf{t}(\alpha) = \widetilde{\alpha}$  gives the required bijection. Notice that  $\operatorname{Ker} \alpha = \operatorname{Ker} \widetilde{\alpha}$  (in  $\mathfrak{a}_0$ ) implies that  $\widetilde{\alpha} = c_{\alpha} \alpha$  for some  $c_{\alpha} \in \mathbb{R}^*$ .

(2) Recall that W is generated by the reflections  $r_{\alpha}$ ,  $\alpha \in \Sigma_{\text{red}}^+$ , and that the reflecting hyperplane of  $r_{\alpha}$  is  $\mathfrak{a}_{0,\alpha}$ . Thus  $r_{\alpha} = r_{\widetilde{\alpha}}$  and it follows that  $W = \widetilde{W}$ .

(3) We have Ker  $w.\alpha = w(\text{Ker }\alpha)$ , thus  $w(\mathfrak{a}_{0,\alpha}) = w(\tilde{\mathfrak{a}}_{0,\tilde{\alpha}})$  is equivalent to Ker  $w.\alpha = \text{Ker } w.\tilde{\alpha}$ . Let  $\epsilon = \pm 1$  such that  $\epsilon w.\tilde{\alpha} \in \tilde{\Sigma}^+_{\text{red}}$ . Then Ker  $w.\tilde{\alpha} = \tilde{\mathfrak{a}}_{0,\epsilon w.\tilde{\alpha}} = \mathfrak{a}_{0,\omega\alpha}$  and, by definition of  $\mathfrak{t}(w.\alpha)$ , we obtain that  $\mathfrak{t}(w.\alpha) = \epsilon w.\tilde{\alpha}$ .

(4) Let  $\alpha, \beta \in \Sigma_{\text{red}}^+$  having the same length and  $w \in W$  be such that  $\beta = w.\alpha$ . By (3),  $\tilde{\beta} = \pm w.\tilde{\alpha}$  and, therefore,  $\tilde{\beta} = c_{\beta}\beta = \pm c_{\alpha}w.\alpha = \pm c_{\alpha}\beta$ . Hence  $c_{\beta} = \pm c_{\alpha}$ . The assertion then follows easily (with the convention that all the roots are short when there is only one root length in  $\Sigma$ ).

**Corollary 2.4.** (1) If  $\Sigma_{\text{red}} \notin \{\mathsf{B}_p, \mathsf{C}_p\}$ , then  $\Sigma_{\text{red}}$  and  $\widetilde{\Sigma}_{\text{red}}$  are of the same type.

(2) If 
$$\Sigma_{\text{red}} \in \{\mathsf{B}_p, \mathsf{C}_p\}$$
, then  $\Sigma_{\text{red}} \in \{\mathsf{B}_p, \mathsf{C}_p\}$ .

**Proof.** Recall that the Weyl group distinguishes irreducible root systems which are not of type  $B_p$  or  $C_p$  and that the Weyl groups of  $B_p$  and  $C_p$  are the same. The claims are therefore consequences of Proposition 2.3(2).

Observe that it could happen that  $\Sigma_{\text{red}} \cong \mathsf{B}_p$  and  $\widetilde{\Sigma}_{\text{red}} \cong \mathsf{C}_p$ , the bijection t being given by  $t(\alpha) = 2\alpha$ ,  $\alpha$  short,  $t(\alpha) = \alpha$ ,  $\alpha$  long. (Similarly,  $\Sigma_{\text{red}} \cong \mathsf{C}_p$ and  $\widetilde{\Sigma}_{\text{red}} \cong \mathsf{B}_p$  could occur.) In case  $\Sigma = \Sigma_{\text{red}} \cong \mathsf{F}_4$  (resp.  $\mathsf{G}_2$ ) we must have  $\widetilde{\Sigma} \cong \mathsf{F}_4$  (resp.  $\mathsf{G}_2$ ) but it possible that t interchanges the short and long roots. In summary, we have the following possibilities for the pair ( $\Sigma_{\text{red}}, \widetilde{\Sigma}_{\text{red}}$ ):

- $(A_p, A_p), (D_p, D_p), (E_p, E_p);$
- $(F_4, F_4), (G_2, G_2);$
- $(\mathsf{B}_p,\mathsf{B}_p), (\mathsf{C}_p,\mathsf{C}_p), (\mathsf{B}_p,\mathsf{C}_p), (\mathsf{C}_p,\mathsf{B}_p).$

For all  $\lambda \in \Sigma_{\text{red}}^+$  we set  $\mathfrak{m}_{\lambda} = \text{cent}_{\mathfrak{k}}(\mathfrak{a}_{\lambda}) = \{x \in \mathfrak{k} : [x, \mathfrak{a}_{\lambda}] = 0\}$ . If, similarly,  $\widetilde{\mathfrak{m}}_{\widetilde{\lambda}} = \text{cent}_{\widetilde{\mathfrak{k}}}(\widetilde{\mathfrak{a}}_{\widetilde{\lambda}})$  we obtain from  $\mathfrak{a}_{\lambda} = \widetilde{\mathfrak{a}}_{\widetilde{\lambda}}$  that

$$\mathfrak{m}_{\lambda} = \widetilde{\mathfrak{m}}_{\widetilde{\lambda}} \cap \mathfrak{k}. \tag{2}$$

The Lie algebra  $\mathfrak{m}_{\lambda}$  is described by the following well known lemma.

**Lemma 2.5.** Let  $\lambda \in \Sigma_{\text{red}}^+$ . Then,  $\mathfrak{m}_{\lambda} = \mathfrak{m} \oplus \mathfrak{k}^{\lambda} \oplus \mathfrak{k}^{2\lambda}$  (with the convention that  $\mathfrak{k}^{2\lambda} = 0$  if  $2\lambda \notin \Sigma$ ).

**Proof.** Let  $X \in \mathfrak{k}$  and set  $X = X_0 + \sum_{\alpha \in \Sigma^+} X_\alpha$ ,  $X_0 \in \mathfrak{m}$ ,  $X_\alpha \in \mathfrak{k}^\alpha$ . Thus  $X \in \mathfrak{m}_\lambda$  if and only if  $\sum_{\alpha \in \Sigma^+} [a, X_\alpha] = 0$  for all  $a \in \mathfrak{a}_\lambda$ . But, since  $[a, X_\alpha] \in \mathfrak{p}^\alpha$ , this is equivalent to  $[a, X_\alpha] = 0$  for all  $\alpha \in \Sigma^+$  and  $a \in \mathfrak{a}_\lambda$ . Hence,

$$\begin{split} \mathbf{X} \in \mathbf{\mathfrak{m}}_{\lambda} \iff \forall \alpha \in \Sigma^{+}, \; \forall a \in \mathbf{\mathfrak{a}}_{\lambda}, \; \mathbf{X}_{\alpha} \in \operatorname{Ker} \operatorname{ad}(a) = \operatorname{Ker} \operatorname{ad}(a)^{2} \\ \iff \forall \alpha \in \Sigma^{+}, \; \forall a \in \mathbf{\mathfrak{a}}_{\lambda}, \; \alpha(a) = 0 \; \operatorname{or} \; \mathbf{X}_{\alpha} = 0. \end{split}$$

Therefore, if  $X_{\alpha} \neq 0$ ,  $\mathfrak{a}_{\lambda} = \operatorname{Ker} \lambda \subset \operatorname{Ker} \alpha$ ; thus  $\operatorname{Ker} \lambda = \operatorname{Ker} \alpha$  and  $\alpha = \lambda$  or  $2\lambda$ . Conversely, if  $X \in \mathfrak{k}^{\lambda}$  or  $\mathfrak{k}^{2\lambda}$  we have  $X \in \operatorname{Ker} \operatorname{ad}(a)^2 = \operatorname{Ker} \operatorname{ad}(a)$  for all  $a \in \mathfrak{a}_{\lambda}$ . Hence  $X \in \operatorname{cent}_{\mathfrak{k}}(\mathfrak{a}_{\lambda})$ .

Let  $\lambda \in \Sigma_{\text{red}}^+$ ; set

$$\mathfrak{s}_{\lambda} = \mathfrak{k}^{\lambda} \oplus \mathfrak{k}^{2\lambda}, \quad s_{\lambda} = \dim \mathfrak{s}_{\lambda} = m_{\lambda} + m_{2\lambda}$$

(with  $m_{2\lambda} = 0$  if  $2\lambda \notin \Sigma$ ). Notice that  $s_{\lambda} = \dim(\mathfrak{p}^{\lambda} \oplus \mathfrak{p}^{2\lambda})$ .

**Lemma 2.6.** One has  $s_{\lambda} = \widetilde{s}_{\lambda}$  for all  $\lambda \in \Sigma_{red}^+$ .

**Proof.** It follows from Lemma 2.5 and (2) that  $\mathfrak{m} \oplus \mathfrak{s}_{\lambda} \subset \widetilde{\mathfrak{m}} \oplus \widetilde{\mathfrak{s}}_{\lambda}$ . Let  $\phi : \widetilde{\mathfrak{m}}_{\lambda} \to \widetilde{\mathfrak{s}}_{\lambda}$  be the projection afforded by the decomposition  $\widetilde{\mathfrak{m}}_{\lambda} = \widetilde{\mathfrak{m}} \oplus \widetilde{\mathfrak{s}}_{\lambda}$ . By composing  $\phi$  with the inclusions  $\mathfrak{s}_{\lambda} \hookrightarrow \mathfrak{m}_{\lambda} \hookrightarrow \mathfrak{m}_{\lambda}$ , we obtain a linear map  $\varphi : \mathfrak{s}_{\lambda} \to \widetilde{\mathfrak{s}}_{\lambda}$ . Suppose that  $\varphi(x) = 0$ , then  $x \in \widetilde{\mathfrak{m}} \cap \mathfrak{s}_{\lambda} = \widetilde{\mathfrak{m}} \cap \mathfrak{s}_{\lambda} = \mathfrak{m} \cap \mathfrak{s}_{\lambda} = 0$ . Thus  $\varphi$  is injective and, consequently,  $s_{\lambda} \leq \widetilde{s}_{\lambda}$ . Now, recall that

$$\mathfrak{p} = \widetilde{\mathfrak{p}} = \mathfrak{a} \oplus \left( \bigoplus_{\lambda \in \Sigma_{\mathrm{red}}^+} \mathfrak{p}^{\lambda} \oplus \mathfrak{p}^{2\lambda} \right) = \mathfrak{a} \oplus \left( \bigoplus_{\widetilde{\lambda} \in \widetilde{\Sigma}_{\mathrm{red}}^+} \widetilde{\mathfrak{p}}^{\widetilde{\lambda}} \oplus \widetilde{\mathfrak{p}}^{2\widetilde{\lambda}} \right).$$

Therefore  $\sum_{\lambda \in \Sigma_{\text{red}}^+} s_{\lambda} = \sum_{\widetilde{\lambda} \in \widetilde{\Sigma}_{\text{red}}^+} \widetilde{s}_{\widetilde{\lambda}}$  and, since  $s_{\lambda} \leq \widetilde{s}_{\widetilde{\lambda}}$ , we obtain that  $s_{\lambda} = \widetilde{s}_{\widetilde{\lambda}}$  for all  $\lambda \in \Sigma_{\text{red}}^+$ .

*Remark.* One has  $\mathfrak{p}^{\lambda} \oplus \mathfrak{p}^{2\lambda} = \widetilde{\mathfrak{p}}^{\widetilde{\lambda}} \oplus \widetilde{\mathfrak{p}}^{2\widetilde{\lambda}}$  for all  $\lambda \in \Sigma_{\mathrm{red}}^+$ . This can be shown as follows. Let  $v \in \mathfrak{a}'$ , then  $\mathrm{ad}(v)$  induces an isomorphism  $\mathfrak{t}^{\alpha} \xrightarrow{\sim} \mathfrak{p}^{\alpha}$  for all  $\alpha \in \Sigma^+$ . Recall that if  $X \in \mathfrak{k}$ ,  $[v, X]^{\sim} = [v, X]$ . Thus  $\mathrm{ad}_{\widetilde{\mathfrak{g}}}(v)$  restricted to  $\mathfrak{m}_{\lambda}$  coincides with  $\mathrm{ad}(v)$ . It follows that

$$\mathfrak{p}^{\lambda} \oplus \mathfrak{p}^{2\lambda} = \mathrm{ad}_{\widetilde{\mathfrak{g}}}(v).\mathfrak{s}_{\lambda} \subset \mathrm{ad}_{\widetilde{\mathfrak{g}}}(v).(\widetilde{\mathfrak{m}} \oplus \widetilde{\mathfrak{s}}_{\widetilde{\lambda}}) = \widetilde{\mathfrak{p}}^{\widetilde{\lambda}} \oplus \widetilde{\mathfrak{p}}^{2\widetilde{\lambda}}.$$

Since  $s_{\lambda} = \widetilde{s}_{\widetilde{\lambda}}$ , we get that  $\mathfrak{p}^{\lambda} \oplus \mathfrak{p}^{2\lambda} = \widetilde{\mathfrak{p}}^{\widetilde{\lambda}} \oplus \widetilde{\mathfrak{p}}^{2\widetilde{\lambda}}$ .

We now set:

$$s_{1} = s_{\lambda} \text{ if } \lambda \in \Sigma_{\text{red}}^{+} \text{ is short,}$$

$$s_{2} = s_{\lambda} \text{ if } \lambda \in \Sigma_{\text{red}}^{+} \text{ is long,}$$

$$s_{2} = 0 \text{ if all } \lambda \in \Sigma^{+} \text{ are short.}$$
(3)

Hence, we can associate to the Lie algebra  $\mathfrak{g}_0$  two ordered pairs  $(s_1, s_2)$  and  $(s_2, s_1)$ . It is shown in Appendix A that these pairs almost determine  $\mathfrak{g}_0$ . A similar definition holds for the pair  $\tilde{\mathfrak{g}}_0$  and gives the pairs  $(\tilde{s}_1, \tilde{s}_2)$ ,  $(\tilde{s}_2, \tilde{s}_1)$ . We now compare the  $s_i$  and  $\tilde{s}_j$ .

**Lemma 2.7.** (1) Assume that  $\Sigma$  is simply laced. Then,  $(s_1, s_2) = (\tilde{s}_1, \tilde{s}_2)$ . (2) Assume that  $\Sigma$  has two root lengths. Then,

$$(s_1, s_2) = \begin{cases} (\widetilde{s}_1, \widetilde{s}_2) \text{ or } (\widetilde{s}_2, \widetilde{s}_1) & \text{if } (\Sigma_{\text{red}}, \widetilde{\Sigma}_{\text{red}}) = (\mathsf{F}_4, \mathsf{F}_4), \ (\mathsf{G}_2, \mathsf{G}_2), \ (\mathsf{B}_2, \mathsf{B}_2), \\ (\widetilde{s}_1, \widetilde{s}_2) & \text{if } (\Sigma_{\text{red}}, \widetilde{\Sigma}_{\text{red}}) = (\mathsf{B}_p, \mathsf{B}_p), \ (\mathsf{C}_p, \mathsf{C}_p), \ p \ge 3, \\ (\widetilde{s}_2, \widetilde{s}_1) & \text{if } (\Sigma_{\text{red}}, \widetilde{\Sigma}_{\text{red}}) = (\mathsf{B}_p, \mathsf{C}_p), \ (\mathsf{C}_p, \mathsf{B}_p), \ p \ge 3. \end{cases}$$

**Proof.** Observe first that  $s_{\alpha} = s_{\beta}$  if  $\alpha, \beta$  have the same length; then Lemma 2.6 yields  $\tilde{s}_{\alpha} = \tilde{s}_{\beta} = \tilde{s}_1$  or  $\tilde{s}_2$ , depending on the length of  $\tilde{\alpha}$ .

(1) is clear.

(2) Recall that if  $\Sigma_{red}$  has two root lengths, then the number of short roots is equal to the number of long roots if, and only if,  $\Sigma_{red}$  is of type  $B_2 = C_2$ ,  $F_4$  or  $G_2$ . The assertion then follows from Lemma 2.6 and Proposition 2.3(4).

**Theorem 2.8.** Assume that  $p \ge 2$ . Then,  $\mathfrak{g}_0 \cong \widetilde{\mathfrak{g}}_0$  and, therefore,  $\mathfrak{k}_0 = \widetilde{\mathfrak{k}}_0$ .

**Proof.** By Corollary 2.4 and Lemma 2.7, the hypothesis (h.j), j = 1, ..., 4, of Appendix A hold. Thus, by Theorem 2.9, if  $\mathfrak{g}_0 \not\cong \tilde{\mathfrak{g}}_0$  we are in one of the following cases.

Case 1: Diagonal case with  $\Sigma, \widetilde{\Sigma} \in \{\mathsf{B}_p, \mathsf{C}_p\}$ . Then,  $\dim \mathfrak{k}_0 = \dim \mathfrak{g}_0 = \dim \widetilde{\mathfrak{g}}_0 = \dim \widetilde{\mathfrak{k}}_0$  and  $\mathfrak{k}_0 \subset \widetilde{\mathfrak{k}}_0$  force  $\mathfrak{k}_0 = \widetilde{\mathfrak{k}}_0$  and, consequently,  $\mathfrak{g}_0 \cong \widetilde{\mathfrak{g}}_0$ .

Case 2:  $\mathfrak{g}_0$  and  $\tilde{\mathfrak{g}}_0$  are of type  $\mathsf{Bl}(p, p+1)$  or  $\mathsf{Cl}(p)$ . This implies that  $\mathfrak{k}_0$  and  $\tilde{\mathfrak{k}}_0$  are isomorphic to  $\mathfrak{so}(p) \times \mathfrak{so}(p+1)$  or  $\mathfrak{u}(p)$ , which are both of dimension  $p^2$ . Since  $\mathfrak{k}_0 \subset \tilde{\mathfrak{k}}_0$ , this implies  $\mathfrak{k}_0 = \tilde{\mathfrak{k}}_0$ . But  $\mathfrak{so}(p) \times \mathfrak{so}(p+1) \cong \mathfrak{u}(p)$  only happens when p = 2 (see [4, p. 519]), in which case  $\mathfrak{g}_0 \cong \tilde{\mathfrak{g}}_0 \cong \mathfrak{so}(2, 3)$ .

Proof of the Main Theorem. As noticed in Remark 1.2, we may assume that  $(\mathfrak{g}, \mathfrak{k})$  is irreducible. Now, the assertion follows from Lemma 1.3 if  $(\mathfrak{g}, \mathfrak{k})$  has rank one and from Theorem 2.8 if this rank is  $\geq 2$ .

#### A. Appendix

Let  $\mathfrak{g}_0$  be a real semisimple Lie algebra. We adopt the notation of §§1 and 2. In particular, we fix a Cartan decomposition  $\mathfrak{g}_0 = \mathfrak{k}_0 \oplus \mathfrak{p}_0$  and a Cartan subspace  $\mathfrak{a}_0 \subset \mathfrak{p}_0$  of dimension p. Let  $\tilde{\mathfrak{g}}_0$  be another semisimple Lie algebra with Cartan decomposition  $\tilde{\mathfrak{g}}_0 = \tilde{\mathfrak{k}}_0 \oplus \tilde{\mathfrak{p}}_0$ . Any object x defined relatively to  $\mathfrak{g}_0$  has an analogue for  $\tilde{\mathfrak{g}}_0$  and it will be denoted by  $\tilde{x}$ .

We will assume that the pairs  $(\mathfrak{g}_0, \mathfrak{k}_0)$  and  $(\tilde{\mathfrak{g}}_0, \tilde{\mathfrak{k}}_0)$  are both irreducible and that the following hypothesis hold.

(h.1)  $p \ge 2$ .

(h.2)  $\Sigma_{\text{red}} \in \{\mathsf{B}_p, \mathsf{C}_p\}$  if, and only if,  $\widetilde{\Sigma}_{\text{red}} \in \{\mathsf{B}_p, \mathsf{C}_p\}$ .

(h.3)  $\Sigma_{\text{red}} \cong \widetilde{\Sigma}_{\text{red}}$  when  $\Sigma_{\text{red}}$  is not of type  $\mathsf{B}_p$  or  $\mathsf{C}_p$ .

(h.4) The pairs  $(s_1, s_2)$ ,  $(\tilde{s}_1, \tilde{s}_2)$  being defined as in (3), one has

$$(s_1, s_2) = \begin{cases} (\widetilde{s}_1, \widetilde{s}_2) & \text{if } \Sigma \text{ is simply laced,} \\ (\widetilde{s}_1, \widetilde{s}_2) \text{ or } (\widetilde{s}_2, \widetilde{s}_1) & \text{if } (\Sigma_{\text{red}}, \widetilde{\Sigma}_{\text{red}}) = (\mathsf{F}_4, \mathsf{F}_4), \, (\mathsf{G}_2, \mathsf{G}_2), \, (\mathsf{B}_2, \mathsf{B}_2), \\ (\widetilde{s}_1, \widetilde{s}_2) & \text{if } (\Sigma_{\text{red}}, \widetilde{\Sigma}_{\text{red}}) = (\mathsf{B}_p, \mathsf{B}_p), \, (\mathsf{C}_p, \mathsf{C}_p), \, p \ge 3, \\ (\widetilde{s}_2, \widetilde{s}_1) & \text{if } (\Sigma_{\text{red}}, \widetilde{\Sigma}_{\text{red}}) = (\mathsf{B}_p, \mathsf{C}_p), \, (\mathsf{C}_p, \mathsf{B}_p), \, p \ge 3. \end{cases}$$

Observe that the hypothesis are symmetric in  $\mathfrak{g}_0$  and  $\widetilde{\mathfrak{g}}_0$ .

The notation for the classification of irreducible symmetric pairs, i.e. of semisimple real Lie algebras, will be (almost) as in [4, X.6]; in particular, we adopt the notation of [4, pp. 532-534]. For instance, if  $\mathfrak{g}_0 = \mathfrak{so}(p,q)$ ,  $\mathfrak{k}_0 = \mathfrak{so}(p) \times \mathfrak{so}(q)$ ,  $p \leq q$ , p + q even, we say that  $\mathfrak{g}_0$  is of type  $\mathsf{DI}(p,q)$ .

Suppose that  $\mathfrak{g}_0 = \mathfrak{g}_1^{\mathbb{R}}$  for some complex simple Lie algebra  $\mathfrak{g}_1$ . Define an involution  $\vartheta$  on  $\mathfrak{g} = \mathfrak{g}_0 \otimes_{\mathbb{R}} \mathbb{C} \cong \mathfrak{g}_1 \times \mathfrak{g}_1$  by  $\vartheta(x, y) = (y, x)$ . Then the symmetric pair  $(\mathfrak{g}, \mathfrak{k})$  is isomorphic to  $(\mathfrak{g}_1 \times \mathfrak{g}_1, \mathfrak{g}_1)$ . This case will be called the *diagonal case* and  $(\mathfrak{g}, \vartheta)$  is said to be of *diagonal type*.

**Theorem 2.9.** Up to symmetry between  $\mathfrak{g}_0$  and  $\tilde{\mathfrak{g}}_0$ , the following (exclusive) possibilities hold.

(i)  $\mathfrak{g}_0 \cong \widetilde{\mathfrak{g}}_0$ .

(ii)  $(\mathfrak{g}, \vartheta)$  and  $(\widetilde{\mathfrak{g}}, \widetilde{\vartheta})$  are of diagonal type,  $\Sigma \cong \mathsf{B}_p$ ,  $\widetilde{\Sigma} \cong \mathsf{C}_p$ .

(iii)  $\mathfrak{g}_0$  is of type  $\mathsf{Bl}(p, p+1)$ ,  $\mathfrak{g}_0$  is of type  $\mathsf{Cl}(p)$ ,  $p \ge 3$  (thus  $\mathfrak{k}_0 \cong \mathfrak{so}(p) \times \mathfrak{so}(p+1)$ ,  $\mathfrak{k}_0 \cong \mathfrak{u}(p)$ ).

**Proof.** The proof is a case by case analysis using [4, X, Table VI]: One computes the pairs  $(s_1, s_2)$  for each type of irreducible symmetric pair  $(\mathfrak{g}_0, \mathfrak{k}_0)$  and, then, one notes that the hypothesis (h.i),  $i = 1, \ldots, 4$ , yield the desired result. We will simply make a few remarks in order to explain the method and the appearance of cases (i), (ii), (iii).

If  $(\mathfrak{g}, \vartheta)$  is of diagonal type with  $\mathfrak{g} \cong \mathfrak{g}_1 \times \mathfrak{g}_1$ ,  $\mathfrak{g}_1$  complex simple of type  $\mathsf{T}_p$  ( $\mathsf{T} = \mathsf{A}, \mathsf{B}, \mathsf{C}, \mathsf{D}, \mathsf{E}, \mathsf{F}, \mathsf{G}$ ), then  $\Sigma \cong \mathsf{T}_p$  and  $(s_1, s_2) = (2, 0)$  or (2, 2). Then, the (h.i)'s show that only cases (i) or (ii) may occur.

If  $\mathfrak{g}_0$  of type  $\mathsf{AIII}(p,p)$ , then  $(s_1,s_2) = (2,1)$ ,  $\Sigma \cong \mathsf{C}_p$ . The only possibility for  $\widetilde{\mathfrak{g}}_0$  and  $(\widetilde{s}_1, \widetilde{s}_2) = (s_1, s_2)$  or  $(s_2, s_1)$  occurs when  $\widetilde{\mathfrak{g}}_0$  is of type  $\mathsf{DI}(p, p+2)$ . In this case  $\widetilde{\Sigma} \cong \mathsf{B}_p$ . When p = 2 we find the isomorphism  $\mathsf{DI}(2, 2+2) \cong \mathsf{AIII}(2,2)$ , see [4, p. 519]. When  $p \ge 3$ , the hypothesis (h.4) forces  $(s_1, s_2) = (2, 1) =$  $(\widetilde{s}_2, \widetilde{s}_1) = (1, 2)$ , hence a contradiction.

If  $\mathfrak{g}_0$  is of type  $\mathsf{BI}(p, 2\ell + 1 - p)$ , then  $(s_1, s_2) = (2\ell - 2p + 1, 1)$ ,  $\Sigma \cong \mathsf{B}_p$ . From  $s_2 = 1$  and  $s_1$  odd, it follows that the only possibility for  $\tilde{\mathfrak{g}}_0$  may occur in type  $\mathsf{CI}(p)$ , where  $(\tilde{s}_2, \tilde{s}_1) = (1, 1)$ ,  $\Sigma \cong \mathsf{C}_p$ . But this forces  $2\ell - 2p + 1 = 1$ , i.e.  $\ell = p$ . Recalling that  $\mathsf{BI}(2, 3) \cong \mathsf{CI}(2)$ , see [4, p. 519], this yields case (iii).

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