

## Comparison of Lattice Filtrations and Moy-Prasad Filtrations for Classical Groups

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**Abstract.** Let  $F_\circ$  be a non-Archimedean local field of characteristic not 2. Let  $G$  be a classical group over  $F_\circ$  which is not a general linear group, i.e. a symplectic, orthogonal or unitary group over  $F_\circ$  (possibly with a skew-field involved). Let  $x$  be a point in the building of  $G$ . In this article, we prove that the lattice filtration  $(\mathfrak{g}_{x,r})_{r \in \mathbb{R}}$  of  $\mathfrak{g} = \text{Lie}(G)$  attached to  $x$  by Broussous and Stevens, coincides with the filtration defined by Moy and Prasad.

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### Introduction

Let  $V$  be a finite dimensional vector space over a locally compact non-Archimedean field  $F$  of characteristic not 2 — residual characteristic 2 is permitted —, and  $F_\circ$  be a subfield of  $F$  such that  $[F : F_\circ] \leq 2$ . Let  $\sigma$  be the generator of  $\text{Gal}(F/F_\circ)$  if  $F \neq F_\circ$ , and  $\sigma = \text{Id}_F$  if  $F = F_\circ$ . We fix a non-degenerate  $\sigma$ -skew  $\varepsilon$ -hermitian form  $h$  on  $V$ , where  $\varepsilon \in \{\pm 1\}$ . Let  $G = \text{U}(h)$  be the subgroup of  $\text{GL}(V)$  formed of those  $g$  satisfying  $h(gx, gy) = h(x, y)$  for all  $x, y \in V$ . It is the group of  $F_\circ$ -rational points of an  $F_\circ$ -algebraic group  $\mathbf{G}$  whose connected component  $\mathbf{G}^\circ$  is reductive. To each point  $x$  of the building  $\mathcal{J}$  of  $G$ , let  $(\mathfrak{g}_{x,r})_{r \in \mathbb{R}}$  be the filtration of the Lie algebra  $\mathfrak{g}$  of  $G$  attached to  $x$  by Broussous and Stevens in [BS]<sup>1</sup>; let also  $(\mathfrak{g}_{x,r}^{\text{MP}})_{r \in \mathbb{R}}$  be the filtration of  $\mathfrak{g}$  attached to  $x$  by Moy and Prasad in [MP]<sup>2</sup>. In this article, we prove that the two filtrations coincide:

$$\mathfrak{g}_{x,r} = \mathfrak{g}_{x,r}^{\text{MP}}, \quad r \in \mathbb{R}.$$

This result is used by Broussous and Stevens in [BS] — this is the reason for which we proved it. Note that for a general linear group (i.e. an inner  $F_\circ$ -form of  $\text{GL}_n$ ),

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<sup>1</sup> In [BS], the base field  $F_\circ$  is supposed to be of odd residual characteristic, but the definition of  $(\mathfrak{g}_{x,r})_{r \in \mathbb{R}}$  naturally extends to the residual characteristic 2 (see 1.7 and Remark 1.6).

<sup>2</sup> In [MP] the group  $G$  is supposed to be simply connected, which is not the case here in general. But the definition of  $(\mathfrak{g}_{x,r}^{\text{MP}})_{r \in \mathbb{R}}$  naturally extends to a general connected reductive group (see 3.5).

the analogous result is proved in [BL] Appendix A.

Recall that the Moy-Prasad filtrations are defined by descent from a maximal unramified extension  $F_\circ^{\text{nr}}$  of  $F_\circ$ . So let  $L_\circ/F_\circ$  be a finite sub-extension of  $F_\circ^{\text{nr}}/F_\circ$  such that the  $L_\circ$ -group  $\mathbf{G}^\circ \times_{F_\circ} L_\circ$  is quasi-split (note that we can choose  $L_\circ$  such that  $\mathbf{G}^\circ \times_{F_\circ} L_\circ$  is residually split, i.e. quasi-split and with the same relative rank as  $\mathbf{G}^\circ \times_{F_\circ} F_\circ^{\text{nr}}$ ). Denote by  $G_{L_\circ}$  the group of  $L_\circ$ -rational points of  $\mathbf{G}$ , and by  $L$  the  $L_\circ$ -algebra  $L_\circ \otimes_{F_\circ} F$ . There are two cases:  $L$  is a field; or  $L \simeq (L_\circ)^2$ . If  $L$  is a field, then the form  $h$  extends to a non-degenerate  $(\text{Id} \otimes \sigma)$ -skew  $\varepsilon$ -hermitian form  $h_L$  on  $V_L$ , and  $G_{L_\circ} = \text{U}(h_L)$ . If  $L \simeq (L_\circ)^2$ , then  $F$  is isomorphic to a quadratic sub-extension of  $L_\circ/F_\circ$ , and we can suppose  $F \subset L_\circ$ ; then we have  $G_{L_\circ} \simeq \text{GL}(L_\circ \otimes_F V)$ .

Let  $\mathcal{J}_{L_\circ}$  be the building of  $G_{L_\circ}$ , and  $\Gamma$  be the Galois group of  $L_\circ/F_\circ$ . There exists a unique  $G$ -invariant affine map  $\mathcal{J} \rightarrow \mathcal{J}_{L_\circ}$ , which allows us to identify  $\mathcal{J}$  with the convex subset  $(\mathcal{J}_{L_\circ})^\Gamma$  of  $\mathcal{J}_{L_\circ}$  formed of those points which are  $\Gamma$ -invariant. The point  $x \in \mathcal{J}$  defines two filtrations  $(\mathfrak{g}_{L_\circ, x, r})_{r \in \mathbb{R}}$  and  $(\mathfrak{g}_{L_\circ, x, r}^{\text{MP}})_{r \in \mathbb{R}}$  of the Lie algebra  $\mathfrak{g}_{L_\circ} = L_\circ \otimes_{F_\circ} \mathfrak{g}$  of  $G_{L_\circ}$ , where  $(\mathfrak{g}_{L_\circ, x, r})_{r \in \mathbb{R}}$  is the filtration attached in [BS] to  $x \in (\mathcal{J}_{L_\circ})^\Gamma$  if  $L$  is a field, and in [BL] if  $L \simeq (L_\circ)^2$ . By definition, we have the descent property:

$$(\mathfrak{g}_{L_\circ, x, r}^{\text{MP}})^\Gamma = \mathfrak{g}_{x, r}^{\text{MP}}, \quad r \in \mathbb{R}.$$

We prove we also have the descent property:

$$(\mathfrak{g}_{L_\circ, x, r})^\Gamma = \mathfrak{g}_{x, r}, \quad r \in \mathbb{R}.$$

This reduces the question to the quasi-split case. Now assume that the reductive  $F_\circ$ -group  $\mathbf{G}^\circ$  is quasi-split. In that case, we can describe explicitly the intersection of  $\mathfrak{g}_{x, r}$  (resp.  $\mathfrak{g}_{x, r}^{\text{MP}}$ ) with each root subspace of  $\mathfrak{g}$  with respect to a maximal split torus  $S$  of  $G$ , and with the Lie algebra of the centralizer of  $S$  in  $G$ . This shows that both filtrations coincide.

Following a suggestion of Gopal Prasad, we also extend the result to a more general “unitary group” of type  $\text{U}(h)$ , that is with a skew-field — in fact a quaternionic algebra — involved (cf. [BT4]). Such a group becomes, over a finite unramified extension  $L_\circ$  of  $F_\circ$ , a unitary group of the previous type (i.e. of type  $\text{U}(h_L)$  with no skew-field involved) or a split general linear group. So taking into account [BL] Appendix A, the extended result is implied by the descent property for the Broussous-Stevens filtrations (for a general unitary group); this descent property is proved (briefly) as in the case with no skew-field involved.

Ultimately, we have that Broussous-Stevens filtrations and Moy-Prasad filtrations coincide for *almost all* (cf. Remark 1.1)  $F_\circ$ -forms of  $\text{GL}_n$ ,  $\text{Sp}_{2n}$ ,  $\text{O}_n$ .

In chapter 1, we introduce all objects and notation we need — unitary groups are defined in 1.1 in full generality, but from 1.2 until the end of chapter 3, we assume that there is no skew-field involved —, and we state the result (Theorem 1.8). In chapter 2 we reduce the proof of the result to the quasi-split case. In chapter 3 we prove the result in the quasi-split case. In chapter 4 we extend the result to a general unitary group.

## 1. The objects

**1.1. Unitary groups.** Let  $F_\circ$  be a locally compact non-Archimedean commutative field, and  $F$  be a Galois extension of  $F_\circ$  of degree at most 2. We assume that the characteristic of  $F_\circ$  is not 2. Let  $\sigma$  be the generator of  $\text{Gal}(F/F_\circ)$  if  $[F : F_\circ] = 2$ , and the identity of  $F$  if  $F = F_\circ$ . Let  $D$  be a central division  $F$ -algebra of finite dimension  $d^2$ , endowed with an *involution* extending  $\sigma$ , still denoted by  $\sigma$  — i.e.  $\sigma$  is an anti-automorphism of  $D$ , such that  $\sigma^2 = \text{Id}_D$  and  $\sigma|_F$  is the generator of  $\text{Gal}(F/F_\circ)$ . We know that  $d = 1$  or  $2$ , i.e.  $D = F$  or  $D$  is a quaternionic algebra over  $F$ . Let  $D_\circ$  and  $D^\circ$  be the sub- $F_\circ$ -algebras of  $D$  defined by

$$D_\circ = \{\lambda \in D : \lambda^\sigma = \lambda\}, \quad D^\circ = \{\lambda \in D : \lambda + \lambda^\sigma = 0\}.$$

Since  $\text{char}(F) \neq 2$ , we have the decomposition  $D = D_\circ \oplus D^\circ$  (with  $D^\circ = \{0\}$  if  $\sigma = \text{Id}$ ). The notations are coherent: if  $D = F$ , then  $D_\circ$  coincides with  $F_\circ$ . Put  $F^\circ = F \cap D^\circ$ .

Let  $\varepsilon \in \{\pm 1\}$ . We fix a finite dimensional *right*  $D$ -vector space  $V$ , and a  $\sigma$ -skew  $\varepsilon$ -hermitian form  $h$  on  $V$ , that is a  $\mathbb{Z}$ -bilinear map  $V \times V \rightarrow F$  such that, for all  $x, y \in V$  and all  $\lambda, \mu \in D$ , we have

$$h(x\lambda, y\mu) = \lambda^\sigma h(x, y)\mu,$$

$$h(y, x) = \varepsilon h(x, y)^\sigma.$$

The form  $h$  is supposed to be *non-degenerate*. Put

$$D_{\sigma, \varepsilon} = \{\lambda - \varepsilon\lambda^\sigma : \lambda \in D\}.$$

It is a subset of  $\{\lambda \in D : \lambda^\sigma = -\varepsilon\lambda\}$ , and since for  $\lambda \in D$  such that  $\lambda^\sigma = -\varepsilon\lambda$ , we have  $\lambda = \frac{1}{2}\lambda - \varepsilon(\frac{1}{2}\lambda)^\sigma$ , the two sets coincide. So we have

$$D_{\sigma, \varepsilon} = D^\circ \quad \text{if } \varepsilon = 1,$$

$$D_{\sigma, \varepsilon} = D_\circ \quad \text{if } \varepsilon = -1.$$

Denote by  $\overline{D}_{\sigma, \varepsilon}$  the  $F_\circ$ -vector space  $D/D_{\sigma, \varepsilon}$ , and by  $\lambda \mapsto \bar{\lambda}$  the canonical projection  $D \rightarrow \overline{D}_{\sigma, \varepsilon}$ . Let  $\xi$  be an element of  $F$  such that  $\xi + \xi^\sigma = 1$  (since the characteristic of  $F_\circ$  is not 2, we can take  $\xi = \frac{1}{2}$ ). Let  $q = q_h : V \rightarrow \overline{D}_{\sigma, \varepsilon}$  be the pseudo-quadratic form associated with  $h$  (cf. [BT4] 1.2), defined by

$$q(x) = \xi h(x, x) + D_{\sigma, \varepsilon}.$$

It is well defined: if  $\xi'$  is another element of  $F$  such that  $\xi' + \xi'^\sigma = 1$ , then  $\xi' - \xi \in F^\circ$ , and  $(\xi' - \xi)h(x, x) \in D_{\sigma, \varepsilon}$  for all  $x \in V$ . Note we also have

$$q(x) = \{\mu \in F : \mu + \varepsilon\mu^\sigma = h(x, x)\} + D_{\varepsilon, \sigma}, \quad x \in V.$$

For all  $x, y \in V$  and all  $\lambda \in D$ , we have

$$q(x\lambda) = \frac{1}{2}\overline{\lambda^\sigma h(x, x)\lambda},$$

$$q(x + y) = q(x) + q(y) + \overline{h(x, y)}.$$

If  $(\sigma, \varepsilon) = (\text{Id}, 1)$ , then  $q : V \rightarrow D$  is a quadratic form in the usual sense and  $h$  is the bilinear form associated with  $q$ . If  $(\sigma, \varepsilon) = (\text{Id}, -1)$ , which is equivalent to  $\overline{D}_{\sigma, \varepsilon} = \{0\}$ , then  $q = 0$ . If  $(\sigma, \varepsilon) \neq (\text{Id}, -1)$ , then  $h$  is determined by  $q$ : it is the unique  $\sigma$ -skew  $\varepsilon$ -hermitian form on  $V$  verifying  $\overline{h(x, y)} = q(x + y) - q(x) - q(y)$  for all  $x, y \in V$ .

Put  $\tilde{G} = \text{GL}(V)$  ( $= \text{Aut}_D(V)$ ) and let  $G = \text{U}(h)$  be the subgroup of  $\tilde{G}$  formed of those  $g$  satisfying  $h(gx, gy) = h(x, y)$  for all  $x, y \in V$ . Then  $G$  is the group of  $F_\circ$ -rational points of a linear algebraic group  $\mathbf{G}$  defined over  $F_\circ$ , whose neutral component  $\mathbf{G}^\circ$  is reductive.

**Remark 1.1.** The algebraic group  $\mathbf{G}$  is an  $F_\circ$ -form of one of the (split) classical groups  $\text{GL}_n$ ,  $\text{Sp}_{2n}$ ,  $\text{O}_n$ . Moreover, by varying the data  $F$ ,  $D$ ,  $\sigma$ ,  $\varepsilon$ ,  $V$ ,  $h$ , we obtain all  $F_\circ$ -forms of those classical groups, except the inner forms of  $\text{GL}_n$ , and certain forms corresponding to a Dynkin diagram of symmetric group  $\mathcal{S}_3$  (e.g.  $\text{O}_8$  with Dynkin diagram of type  $D_4$ ). For the inner forms of  $\text{GL}_n$ , the comparison of lattice filtrations and Moy-Prasad filtrations is already done in [BL].

From now on, until the end of chapter 3, we assume  $D = F$  and we consider  $V$  as a left  $F$ -vector space.

**1.2. Derived groups.** Put  $\tilde{G}' = \text{SL}(V)$  and let  $G' = \text{SU}(h)$  be the subgroup  $G \cap \tilde{G}'$  of  $\tilde{G}'$ . Then  $G'$  is the group of  $F_\circ$ -rational points of a linear algebraic group  $\mathbf{G}'$  defined over  $F_\circ$ . Put

$$F^1 = \{\lambda \in F^\times : \lambda^\sigma \lambda = 1\}.$$

Identifying  $F^\times$  with the centre  $F^\times \text{Id}_V \subset \text{GL}(V)$  of  $\tilde{G}$ , we have the inclusion

$$F^1 G' \subset G \cap F^\times \tilde{G}.$$

Moreover,  $G'$  is a cocompact subgroup of  $G$  (see 1.4 and the following remark).

**Remark 1.2.** If  $\dim_F(V) = 1$ , then we have  $G = F^1$  and  $G' = \{1\}$ ; thus  $\mathbf{G}^\circ(F) = G$  if  $\sigma \neq \text{Id}$ , and  $\mathbf{G}^\circ(F) = \{1\}$  if  $\sigma = \text{Id}$ . Now suppose  $\dim_F(V) = 2$  and  $\sigma = \text{Id}$ . If  $\varepsilon = 1$ , then  $G \simeq F_\circ^\times \rtimes \langle s \rangle$  with  $\lambda^s = \lambda^{-1}$  for all  $\lambda \in F_\circ^\times$ , and  $G' = \mathbf{G}^\circ(F) \simeq F_\circ^\times$ ; if  $\varepsilon = -1$ , then we have  $G = G' = \mathbf{G}^\circ(F) = \text{SL}(V)$ .

In the small dimension cases of the Remark 1.2, the lattice filtration of the Lie algebra of  $G$  attached to a point  $x$  of the building of  $G$  coincides with the filtration defined by Moy and Prasad in [MP]: it is a straightforward consequence of the definitions if  $G$  is a torus (see 3.5), and it is a consequence of [BL] if  $G \simeq \text{SL}(2, F_\circ)$ . So from now on, we assume that

$$\dim_{F_\circ}(V) \geq 3.$$

Then  $\mathbf{G}'$  is connected ([BT4] 1.5) and semisimple (see [PR] 2.3). In particular,  $\mathbf{G}'$  is a subgroup of  $\mathbf{G}^\circ$ .

**1.3. Root systems.** Recall that a subspace  $W$  of  $V$  is called totally isotropic if  $h(W, W) = \{0\}$ . We fix a Witt decomposition

$$V = V_- \oplus V_0 \oplus V_+,$$

where  $V_-$  and  $V_+$  are two isotropic subspaces of  $V$  of maximal dimension such that  $V_- \cap V_+ = \{0\}$ , and  $V_0 = (V_- + V_+)^\perp$ ; here, for a subspace  $W$  of  $V$ ,  $W^\perp$  denotes the subspace  $\{x \in V : h(x, W) = 0\}$  of  $V$ . Put  $n = \dim_F(V)$ ,  $r = \dim_F(V_-)$  and  $n_0 = n - 2r$  so we have  $\dim_F(V_0) = n_0$ . Note that  $r = 0$  if and only if the form  $h$  is *anisotropic*. Put  $I = \{\pm 1, \dots, \pm r\}$  and let  $(e_{-i})_{i=1, \dots, r}$  and  $(e_i)_{i=1, \dots, r}$  be some basis of  $V_-$  and  $V_+$  such that for all  $i, j \in I$  and all  $x \in V_0$ , we have

$$h(e_i, e_j) = 0 \text{ if } i \neq -j,$$

$$h(e_i, e_{-i}) = \varepsilon(i),$$

$$h(e_i, x) = 0,$$

$$q(x) \neq 0 \text{ if } x \neq 0;$$

where  $\varepsilon(i) = 1$  if  $i > 0$ , and  $\varepsilon(i) = \varepsilon$  if  $i < 0$ . Denote by  $h_0$  the restriction of  $h$  to  $V_0 \times V_0$ . If  $V_0 \neq 0$ ,  $h_0$  is a non-degenerate anisotropic  $\sigma$ -skew  $\varepsilon$ -hermitian form  $h_0$  on  $V_0$ . Hence the form  $h$  is given by (for  $\lambda_i, \mu_i \in F$  and  $x, y \in V_0$ ):

$$h\left(\sum_{i \in I} \lambda_i e_i + x, \sum_{i \in I} \mu_i e_i + y\right) = \sum_{i \in I} \varepsilon(i) \lambda_i^\sigma \mu_{-i} + h_0(x, y).$$

Let  $S$  be the subgroup of  $G$  formed of those  $g$  satisfying  $ge_i \in F_\circ e_i$  for all  $i \in I$ , and  $gx = x$  for all  $x \in V_0$ . We have  $S \subset G'$ , and  $S$  is the group of  $F_\circ$ -rational points of a maximal  $F_\circ$ -split torus  $\mathbf{S}$  in  $\mathbf{G}^\circ$  (hence in  $\mathbf{G}'$ ). For  $i \in I$ , let  $a_i$  be the algebraic character of  $\mathbf{S}$  given by  $se_i = a_i(s)^{-1}e_i$ . We have  $a_{-i} = -a_i$  in the group  $X^*(\mathbf{S})$  of algebraic characters of  $\mathbf{S}$ , denoted additively. The  $a_i$  for  $i > 0$  form a basis of  $X^*(\mathbf{S})$ . For  $i, j \in I$ ,  $j \neq \pm i$ , put  $a_{i,j} = a_i + a_j$ . Let  $\Phi = \Phi(\mathbf{S}, \mathbf{G})$  be the (relative) root system of  $\mathbf{G}$ . We have the following cases ([BT1] 10.1), where  $i, j \in I$ ,  $j \neq \pm i$ :

$$(B): \Phi = \{a_i, a_{i,j}\} \text{ when } V_0 \neq \{0\} \text{ and } (\sigma, \varepsilon) = (\text{Id}, 1);$$

$$(BC): \Phi = \{a_i, 2a_i, a_{i,j}\} \text{ when } V_0 \neq \{0\} \text{ and } \sigma \neq \text{Id};$$

$$(C): \Phi = \{2a_i, a_{i,j}\} \text{ when } V_0 = \{0\} \text{ and } (\sigma, \varepsilon) \neq (\text{Id}, 1);$$

$$(D): \Phi = \{a_{i,j}\} \text{ when } V_0 = \{0\} \text{ and } (\sigma, \varepsilon) = (\text{Id}, 1).$$

The case (C) can be divided in two sub-cases:  $V_0 = \{0\}$  and  $(\sigma, \varepsilon) = (\text{Id}, -1)$  (the symplectic case);  $V_0 = \{0\}$  and  $\sigma \neq \text{id}$  (a quasi-split unitary case).

**1.4. The groups  $Z = Z_G(S)$  and  $N = N_G(Z)$ .** For  $i \in I$ , put  $V_i = Fe_i$ . The centralizer  $\mathbf{Z}$  of  $\mathbf{S}$  in  $\mathbf{G}$  is defined over  $F_\circ$ . Its group of  $F_\circ$ -rational points is the subgroup  $Z$  of  $G$  formed of those  $g$  satisfying  $gV_i = V_i$  for all  $i \in I \cup \{0\}$ . We have  $Z = \tilde{Z} \cap G$  where  $\tilde{Z}$  is the Levi subgroup of  $\tilde{G}$  formed of those  $g$  satisfying  $gV_i = V_i$  for all  $i \in I \cup \{0\}$ . The centralizer  $\mathbf{Z}'$  of  $\mathbf{S}$  in  $\mathbf{G}'$  is also defined over  $F_0$

(it is a Levi  $F_\circ$ -subgroup of a parabolic  $F_\circ$ -subgroup of  $\mathbf{G}'$ ), and coincides with  $\mathbf{Z} \cap \mathbf{G}'$ . Its group of  $F_\circ$ -rational points is  $Z' = Z \cap G'$ . Let us describe  $Z$  and  $Z'$ . For  $z \in Z$ , put  $\mu(z) = \prod_{i=1}^r a_i(z)$ .

Suppose first  $V_0 = \{0\}$ . Then the decomposition

$$V = V_{-r} \oplus \cdots \oplus V_{-1} \oplus V_1 \oplus \cdots \oplus V_r$$

allows us to represent each element  $g \in \tilde{G}$  by a matrix  $(g_{i,j})_{i,j \in I}$ . An element  $z \in Z$  is represented by a diagonal matrix

$$\text{diag}(z_{-r}, \dots, z_{-1}, z_1, \dots, z_r) \in \text{GL}(2r, F)$$

such that  $z_{-i}^\sigma z_i = 1$  for  $i = 1, \dots, r$ . Moreover, we have  $z \in Z'$  if and only if  $\mu(z) \in F_\circ$ . So the map  $z \mapsto (a_1(z), \dots, a_r(z))$  identifies  $Z$  with  $(F^\times)^r$ , and the map  $z \mapsto (a_1(z), \dots, a_{r-1}(z), \mu(z))$  identifies  $Z'$  with  $(F^\times)^{r-1} \times F_\circ^\times$ .

Now suppose  $V_0 \neq \{0\}$ . Then the decomposition

$$V = V_{-r} \oplus \cdots \oplus V_{-1} \oplus V_0 \oplus V_1 \oplus \cdots \oplus V_r$$

allows us to represent each element  $g \in \tilde{G}$  by a matrix  $(g_{i,j})_{i,j \in I \cup \{0\}}$ . An element  $z \in Z$  is represented by a block diagonal matrix

$$\text{diag}(z_{-r}, \dots, z_{-1}, z_0, z_1, \dots, z_r) \in \text{GL}(2r + n_0, F)$$

such that  $z_{-i}^\sigma z_i = 1$  for  $i = 1, \dots, r$ , and  $z_0 \in \text{U}(h_0)$ . Moreover, we have  $z \in Z'$  if and only if  $\mu(z)^{-1} \mu(z)^\sigma \det(z_0) = 1$ . So the map  $z \mapsto (z_0^{-1}, a_1(z), \dots, a_r(z))$  identifies  $Z$  with  $\text{U}(h_0) \times (F^r)^\times$ , and the map  $z \mapsto (\mu(z)^{-1} \mu(z)^\sigma z_0, a_1(z), \dots, a_r(z))$  identifies  $Z'$  with  $\text{SU}(h_0) \times (F^\times)^r$ .

From the above description, the group  $Z'$  is a cocompact subgroup of  $Z$ . Since  $G = ZG'$ , we obtain that  $G'$  is a cocompact subgroup of  $G$ . If  $\dim_F(V_0) \leq 1$ , then the connected component  $\mathbf{Z}$  of  $\mathbf{Z}^\circ$  is a torus (hence a maximal torus of  $\mathbf{G}^\circ$ ), and the groups  $\mathbf{G}^\circ$  and  $\mathbf{G}'$  are quasi-split over  $F_\circ$ . Conversely, if  $\mathbf{G}^\circ$  is quasi-split over  $F_\circ$ , then  $\dim_F(V_0) \leq 1$  ([BT4] 3.5). If  $\sigma = \text{Id}$ , we have  $\mathbf{Z}' = \mathbf{S} = \mathbf{Z}^\circ$  and  $\mathbf{G}' = \mathbf{G}^\circ$ .

The normalizer  $N$  of  $Z$  is the group of  $F_\circ$ -rational points of the  $F_\circ$ -subgroup  $\mathbf{N}$  of  $\mathbf{G}$  which stabilizes  $V_0$  and permutes the lines  $V_i$ ,  $i \in I$ . It is the semidirect product  $\mathfrak{N} \ltimes Z$  where  $\mathfrak{N}$  is the subgroup of  $N$  which fixes (pointwise)  $V_0$  and permutes the  $e_i$ ,  $i \in I$ .

**1.5. Root subgroups.** For  $i, j \in I$ ,  $j \neq \pm i$  and  $u \in F$ , let  $u_{i,j}(u) \in G$  be the linear transformation of  $V$  defined by

$$\begin{aligned} x &\mapsto x \text{ for all } x \in V_0, \\ e_i &\mapsto e_i + \varepsilon(-j)u^\sigma e_{-j}, \\ e_j &\mapsto e_j - \varepsilon(i)ue_{-i}, \\ e_k &\mapsto e_k \text{ for all } k \in I \setminus \{i, j\}. \end{aligned}$$

The set  $U_{a_{i,j}} = \{u_{i,j}(u) : u \in F\}$  is the group of  $F_\circ$ -rational points of the  $F_\circ$ -subgroup  $\mathbf{U}_{a_{i,j}}$  of  $\mathbf{G}$  associated with the (relative) root  $a_{i,j}$ .

Suppose  $V_0 \neq \{0\}$  (case (B) or (BC)). Recall that for  $x \in V_0$  and  $\mu \in F$ , we have  $\mu \in q(x)$  if and only if  $\mu + \varepsilon\mu^\sigma = h(x, x)$ ; in particular if  $\sigma = \text{Id}$  (case (B)), we have  $q(x) = \frac{1}{2}h(x, x) \in F$ . For  $x \in V_0$  and  $\mu \in q(x)$ , let  $u_i(x, \mu) \in G$  be the linear transformation of  $V$  defined by

$$y \mapsto y - \varepsilon(i)h(x, y)e_{-i} \text{ for all } y \in V_0,$$

$$e_i \mapsto e_i + x - \varepsilon(i)\mu e_{-i},$$

$$e_k \mapsto e_k \text{ for all } k \in I \setminus \{i\}.$$

The set  $U_{a_i} = \{u_i(x, \mu) : x \in V_0, \mu \in q(x)\}$  is the group of  $F_\circ$ -rational points of the

$F_\circ$ -subgroup  $\mathbf{U}_{a_i}$  of  $\mathbf{G}$  associated with the root  $a_i$ . Moreover if  $\sigma \neq \text{Id}$  (case (BC)), the set  $U_{2a_i} = \{u_i(0, v) : v \in F_{\sigma, \varepsilon}\}$  is the group of  $F_\circ$ -rational points of the  $F_\circ$ -subgroup  $\mathbf{U}_{2a_i}$  of  $\mathbf{G}$  associated with the root  $2a_i$ .

Suppose  $V_0 = \{0\}$  and  $(\sigma, \varepsilon) \neq (\text{Id}, 1)$  (case (C)). For  $i \in I$  and  $v \in F_{\sigma, \varepsilon}$ , let  $u_i(0, v) \in G$  be the linear transformation of  $V$  defined by

$$e_i \mapsto e_i - \varepsilon(i)ve_{-i},$$

$$e_k \mapsto e_k \text{ for all } k \in I \setminus \{i\}.$$

The set  $U_{2a_i} = \{u_i(0, v) : v \in F_{\sigma, \varepsilon}\}$  is the group of  $F_\circ$ -rational points of the  $F_\circ$ -subgroup  $\mathbf{U}_{2a_i}$  of  $\mathbf{G}$  associated with the root  $2a_i$ .

Let  $v_F$  be the unique valuation on  $F$  extending the normalized valuation on  $F_\circ$ , i.e. such that  $v_F(F_\circ^\times) = \mathbb{Z}$ . Recall that  $(Z, (U_a)_{a \in \Phi})$  is a *generating root datum* in  $G$  ([BT1] 6.1.1, 6.1.3.c and 10.1.6). Let  $\varphi = (\varphi_a)_{a \in \Phi}$  be the valuation of  $(Z, (U_a)_{a \in \Phi})$  given by:

$$\varphi_{a_{i,j}}(u_{i,j}(u)) = v_F(u) \text{ for } i, j \in I, i \neq \pm j, u \in F;$$

$$\varphi_{a_i}(u_i(x, \mu)) = \frac{1}{2}v_F(\mu) \text{ for } i \in I, x \in V_0, \mu \in q(x) \text{ (case (B) or (BC))};$$

$$\varphi_{2a_i}(u_i(0, v)) = v_F(v) \text{ for } i \in I, v \in F_{\sigma, \varepsilon} \text{ (case (BC) or (C))}.$$

**1.6. Building, norms and lattice-functions.** Let  $\mathcal{J} = \mathcal{J}(\mathbf{G}, F_\circ)$  be the (non-enlarged) Bruhat-Tits building of  $G$ , i.e. the building of the valuated root datum  $(Z, (U_a)_{a \in \Phi}, \varphi)$ . Since  $\mathbf{G}'$  is semisimple and  $G'$  is cocompact in  $G$ , the connected centre of  $\mathbf{G}^\circ$  is an anisotropic  $F$ -torus; thus we have  $\mathcal{J} = \mathcal{J}(\mathbf{G}^\circ, F_\circ) = \mathcal{J}(\mathbf{G}', F_\circ)$  and  $\mathcal{J}$  coincides with the enlarged building of  $\mathbf{G}^\circ(F)$ . Let  $\mathcal{A}$  be the apartment of  $\mathcal{J}$  attached to the maximal  $F_\circ$ -split torus  $\mathbf{S}$  of  $\mathbf{G}$ . It is an affine space with underlying space  $A = \text{Hom}_{\mathbb{Z}}(X^*(\mathbf{S}), \mathbb{R})$ . We identify  $\mathcal{A}$  with  $A$  by taking  $\varphi \in \mathcal{A}$  as the origin (cf. [BT1], §10). Thus  $X^*(\mathbf{S}) \otimes_{\mathbb{Z}} \mathbb{R}$  becomes identified with the dual space  $\text{Hom}_{\mathbb{R}}(\mathcal{A}, \mathbb{R})$ .

Let  $\tilde{\mathcal{J}}^1$  be the enlarged building of  $\tilde{G}$ , and  $\mathcal{N}^1 = \text{Norm}_F^1(V)$  be the set of ( $F$ -)norms on  $V$  ([BT3] 1.1); recall that since  $F$  is complete, each norm on  $V$

splits with respect to an  $F$ -basis of  $V$ . The group  $\tilde{G}$  acts naturally on  $\mathcal{N}^1$  by  $g \cdot \alpha(v) = \alpha(g^{-1}v)$  for  $g \in \tilde{G}$ ,  $\alpha \in \mathcal{N}^1$  and  $v \in V$ . Moreover,  $\mathcal{N}^1$  is endowed with an *affine structure* ([BT3] 1.27). From [BT3] 2.11, there exists a bijective  $\tilde{G}$ -equivariant affine map  $\tilde{j} : \tilde{\mathcal{J}}^1 \rightarrow \mathcal{N}^1$ ; moreover, up to translation by a real number,  $\tilde{j}$  is the unique  $\tilde{G}$ -equivariant affine map from  $\tilde{\mathcal{J}}^1$  to  $\mathcal{N}^1$ . Let  $\mathcal{L}^1 = \text{Latt}_{\mathfrak{o}_F}^1(V)$  be the set of ( $\mathfrak{o}_F$ -)lattice-functions in  $V$  ([BL] 2.1), where  $\mathfrak{o}_F$  denotes the ring of integers of  $F$ . The group  $\tilde{G}$  acts on  $\mathcal{L}^1$  via its action on  $V$ . For  $\alpha \in \mathcal{N}^1$ , let  $\Lambda_\alpha$  be the  $\mathfrak{o}_F$ -lattice-function in  $V$  defined by

$$\Lambda_\alpha(r) = \{v \in V : \alpha(v) \geq r\}, \quad r \in \mathbb{R},$$

and for  $\Lambda \in \mathcal{L}^1$ , let  $\alpha_\Lambda$  be the norm on  $V$  defined by

$$\alpha_\Lambda(v) = \sup\{r \in \mathbb{R} : v \in \Lambda(r)\}, \quad v \in V.$$

The maps  $\mathcal{N}^1 \rightarrow \mathcal{L}^1$ ,  $\alpha \mapsto \Lambda_\alpha$  and  $\mathcal{L}^1 \rightarrow \mathcal{N}^1$ ,  $\Lambda \mapsto \alpha_\Lambda$  are bijective,  $\tilde{G}$ -equivariant and mutually inverse ([BL] 2.4); via these maps, we transfer to  $\mathcal{L}^1$  the affine structure on  $\mathcal{N}^1$ . For  $p \in \mathcal{J}^1$ , denote by  $\Lambda_p$  the  $\mathfrak{o}_F$ -lattice-function  $\Lambda_{j(p)}$  in  $V$ . By construction, the map  $\tilde{\mathcal{J}}^1 \rightarrow \mathcal{L}^1$ ,  $p \mapsto \Lambda_p$  is bijective,  $\tilde{G}$ -equivariant and affine, and up to translation by a real number, it is the unique  $\tilde{G}$ -equivariant affine map from  $\tilde{\mathcal{J}}^1$  to  $\mathcal{L}^1$ .

**Remark 1.3.** Let  $\tilde{\mathcal{J}}$  be the (non-enlarged) building of  $\tilde{G}$ , and let  $\mathcal{V}^1$  be the  $\mathbb{R}$ -vector space  $\text{Hom}_{\mathbb{Z}}(X^*(\tilde{G}), \mathbb{R})$ , where  $X^*(\tilde{G})$  denotes the free  $\mathbb{Z}$ -module of rank 1 generated by the character  $\det : \tilde{G} \rightarrow F^\times$ . We have the decomposition  $\tilde{\mathcal{J}}^1 = \tilde{\mathcal{J}} \times \mathcal{V}^1$ , and the action of  $\tilde{G}$  on  $\tilde{\mathcal{J}}^1$  is given by the map

$$\tilde{G} \times (\tilde{\mathcal{J}} \times \mathcal{V}^1), (g, (\bar{p}, v)) \mapsto g \cdot (x, v) = (g \cdot \bar{p}, v + \theta(v))$$

where  $\theta(g) \in \mathcal{V}^1$  is defined by  $\langle \det, \theta(g) \rangle = -v_F(\det(g))$ . We also have some natural actions of  $\mathbb{R}$  on  $\mathcal{N}^1$  and on  $\mathcal{L}^1$ , given by the maps

$$\mathbb{R} \times \mathcal{N}^1 \rightarrow \mathcal{N}^1, (r, \alpha) \mapsto \alpha + r, \quad \mathbb{R} \times \mathcal{L}^1 \rightarrow \mathcal{L}^1, (r, \Lambda) \mapsto r \cdot \Lambda,$$

where  $(\alpha + r)(v) = \alpha(v) + r$  for all  $v \in V$ , and  $(r \cdot \Lambda)(r') = \Lambda(r' - r)$  for all  $r' \in \mathbb{R}$ . Let  $\mathcal{N}$  (resp.  $\mathcal{L}$ ) be the quotient of  $\mathcal{N}^1$  (resp.  $\mathcal{L}^1$ ) by the action of  $\mathbb{R}$ . The actions of  $\tilde{G}$  on  $\mathcal{N}^1$  and  $\mathcal{L}^1$  induce some actions on  $\mathcal{N}$  and  $\mathcal{L}$ , and the affine structures on  $\mathcal{N}^1$  and  $\mathcal{L}^1$  induce some affine structures on  $\mathcal{N}$  and  $\mathcal{L}$ . The maps  $\tilde{j} : \tilde{\mathcal{J}}^1 \rightarrow \mathcal{N}^1$  and  $\mathcal{N}^1 \rightarrow \mathcal{L}^1$ ,  $\alpha \mapsto \Lambda_\alpha$  induce some maps  $\tilde{\mathcal{J}} \rightarrow \mathcal{N}$  and  $\mathcal{N} \rightarrow \mathcal{L}$  which are bijective,  $\tilde{G}$ -equivariant and affine. So we obtain a canonical bijective  $\tilde{G}$ -equivariant affine map  $\tilde{\mathcal{J}} \rightarrow \mathcal{N}$  (resp.  $\tilde{\mathcal{J}} \rightarrow \mathcal{L}$ ): it is the unique  $\tilde{G}$ -equivariant affine map from  $\tilde{\mathcal{J}}$  to  $\mathcal{N}$  (resp. from  $\tilde{\mathcal{J}}$  to  $\mathcal{L}$ ).

The valuation  $v_F$  is an  $F_\circ$ -norm on  $F$ , and we define an  $F_\circ$ -norm  $\bar{v}_F$  on the  $F_\circ$ -space  $\bar{F}_{\sigma, \varepsilon} = F/F_{\sigma, \varepsilon}$ :

$$\bar{v}_F = \sup\{v_F(\lambda + \mu - \varepsilon\mu^\sigma) : \mu \in F\}, \quad \lambda \in F.$$

Since  $F$  is complete,  $F_{\sigma, \varepsilon}$  is closed in  $F$  and  $\bar{v}_F$  is well-defined. Let us recall the definition 2.1 of [BT4]:



**Definition 1.4.** Let  $\alpha \in \mathcal{N}^1$ . We write  $\alpha \leq h$  (“ $\alpha$  minore  $h$ ” in French) if

$$\alpha(x) + \alpha(y) \leq v_F(h(x, y)) \quad \text{for all } x, y \in V.$$

We write  $\alpha \leq (h, q)$  (“ $\alpha$  minore  $(h, q)$ ” in French) if  $\alpha \leq h$  and

$$\alpha(x) \leq \frac{1}{2}\bar{v}_F(q(x)) \quad \text{for all } x \in V.$$

We say that  $\alpha$  is an MM-norm (“norme maximinorante” in French) for  $h$  (resp. for  $(h, q)$ ) if  $\alpha \leq h$  (resp.  $\alpha \leq (h, q)$ ) and  $\alpha$  is maximal for this property.

Let  $\mathcal{N}_h^1$  be the subset of  $\mathcal{N}^1$  formed of the MM-norms for  $h$ , and  $\mathcal{N}_{h,q}^1$  be the subset of  $\mathcal{N}_h^1$  formed of the MM-norms for  $(h, q)$ .

**Definition 1.5.** We say that we are in the tame case if one of the following two conditions is satisfied:

$$(\sigma, \varepsilon) = (\text{id}, -1), \text{ i.e. } q = 0;$$

the extension  $F/F_\circ$  is tamely ramified.

If we are in the tame case, then we have  $\mathcal{N}_{h,q}^1 = \mathcal{N}_h^1$  ([BT4] 2.2).

Let  $v_{V_0} = v_{V_0, h_0}$  be the  $F_\circ$ -norm on  $V_0$  defined by

$$v_{V_0}(x) = \frac{1}{2}\bar{v}_F(q(x)), \quad x \in V_0.$$

Thus we have

$$v_{V_0}(x) = \frac{1}{2} \sup\{v_F(\lambda) : \lambda + \varepsilon\lambda^\sigma = h(x, x)\}, \quad x \in V_0.$$

**Remark 1.6.** Suppose  $\varepsilon = 1$ , and let  $\xi$  be an element of  $F$  such that  $\xi + \xi^\sigma = 1$  and  $v_F(\xi) \geq v_F(\xi')$  for all  $\xi' \in F$  such that  $\xi' + \xi'^\sigma = 1$ . Put  $l = \frac{1}{2}v_F(\xi)$ . We have  $l \leq 0$  with equality if and only if the extension  $F/F_\circ$  is quadratic unramified or the residual characteristic of  $F_\circ$  is not 2 (i.e. the extension  $F/F_\circ$  is tamely ramified if  $\sigma \neq \text{Id}$ , and the residual characteristic of  $F_\circ$  is not 2 if  $\sigma = \text{Id}$ ). If  $\sigma = \text{Id}$ , we have  $\xi = \frac{1}{2}$ . If  $\sigma \neq \text{Id}$ , we can take  $\xi = \frac{1}{2}$  if and only if and  $l = 0$ . Since  $\varepsilon = 1$ , for all  $x \in V_0$ , we have  $h(x, x) \in F_\circ$  and

$$q(x) = \{\xi h(x, x) + \mu - \mu^\sigma : \mu \in F\} = \{\xi' h(x, x) : \xi' \in F, \xi' + \xi'^\sigma = 1\}.$$

Hence we obtain

$$v_{V_0}(x) = \frac{1}{2}v_F(h(x, x)) + l, \quad x \in V_0.$$

In particular if the residual characteristic of  $F_\circ$  is not 2, then the  $F_\circ$ -norm  $v_{V_0}$  on  $V_0$  is the one used by Broussous and Stevens [BS].

For  $p \in \mathcal{A}$  ( $= A$ ), let  $\alpha_p$  be the MM-norm for  $(h, q)$  on  $V$  defined by ([BT4] 2.9):

$$\alpha_p(x_0 + \sum_{i \in I} \lambda_i e_i) = \inf(v_{V_0}(x_0), \inf_{i \in I}(v_F(\lambda_i) - a_i(p))),$$

where  $\lambda_i \in F$  and  $x_0 \in V_0$ . The map  $\mathcal{A} \rightarrow \mathcal{N}_{h,q}^1$ ,  $p \mapsto \alpha_p$  is injective (loc. cit.) and  $N$ -equivariant ([BT4] 2.11), and it extends in a unique way to a  $G$ -equivariant map  $\mathcal{J} \rightarrow \mathcal{N}_{h,q}^1$ ,  $p \mapsto \alpha_p$  which is bijective and affine ([BT4] 2.12); moreover, this is the unique  $G$ -equivariant affine map from  $\mathcal{J}$  to  $\mathcal{N}_{h,q}^1$  (loc. cit.). Via  $j$ , the building  $\mathcal{J}$  identifies with a  $G$ -stable convex subset of  $\tilde{\mathcal{J}}^1$ . Note that the apartment  $\mathcal{A}$  of  $\mathcal{J}$  is the intersection of  $\mathcal{J}$  with an apartment  $\tilde{\mathcal{A}}^1$  of  $\tilde{\mathcal{J}}^1$  (cf. [BT4] 2.14). Put

$$\mathcal{L}_h^1 = \{\Lambda_\alpha : \alpha \in \mathcal{N}_h^1\}.$$

and

$$\mathcal{L}_{h,q}^1 = \{\Lambda_\alpha : \alpha \in \mathcal{N}_{h,q}^1\}.$$

By construction,  $\mathcal{L}_{h,q}^1$  is a  $G$ -stable convex subset of  $\mathcal{L}^1$ , and the map  $\tilde{\mathcal{J}}^1 \rightarrow \mathcal{L}^1$ ,  $p \mapsto \Lambda_p$  induces by restriction a bijective,  $G$ -equivariant and affine map  $\mathcal{J} \rightarrow \mathcal{L}_{h,q}^1$ , which is the unique  $G$ -equivariant affine map from  $\mathcal{J}$  to  $\mathcal{L}_{h,q}^1$ .

Let  $\alpha \mapsto \bar{\alpha}$  be the involution on  $\mathcal{N}^1$  defined by ([BT4] 2.5)

$$\bar{\alpha}(x) = \inf_{y \in V} (v_F(h(x, y) - \alpha(y))), \quad x \in V.$$

A norm  $\alpha$  on  $V$  is a MM-norm for  $h$  if and only if  $\bar{\alpha} = \alpha$  (loc. cit.). In other terms, a norm  $\alpha$  on  $V$  is a MM-norm for  $h$  if and only if the lattice-function  $\Lambda_\alpha$  in  $V$  is self-dual in the sense of [BS] ch. 3 (the proof of Corollary 3.4 applies in the same manner). So  $\mathcal{L}_h^1$  is the set of self-dual lattice-functions in  $V$ , and it coincides with  $\mathcal{L}_{h,q}^1$  if we are in the tame case.

**1.7. Square lattice-functions.** Denote by  $\tilde{\mathfrak{g}} = \text{Lie}(\tilde{G})$  the Lie algebra of  $\tilde{G}$ , and by  $\mathfrak{g} = \text{Lie}(G)$  that of  $G$ . So we have  $\tilde{\mathfrak{g}} = \text{End}_F(V)$ . For  $g \in \tilde{\mathfrak{g}}$ , denote by  $g^{\sigma_h}$  the adjoint of  $g$  with respect to  $h$ , i.e. the unique element of  $\tilde{\mathfrak{g}}$  such that  $h(gx, y) = h(x, g^{\sigma_h}y)$  for all  $x, y \in V$ . The map  $\tilde{\mathfrak{g}} \rightarrow \tilde{\mathfrak{g}}$ ,  $g \mapsto g^{\sigma_h}$  is an involution, and we have  $\mathfrak{g} = \{g \in \tilde{\mathfrak{g}} : g + g^{\sigma_h} = 0\}$ . For  $\Lambda \in \mathcal{L}^1$ , denote by  $\text{End}(\Lambda)$  the ( $\mathfrak{o}_F$ -)lattice-function in  $\tilde{\mathfrak{g}}$  defined by

$$\text{End}(\Lambda)(r) = \{g \in \tilde{\mathfrak{g}} : g\Lambda(s) \subset \Lambda(s+r), s \in \mathbb{R}\}, \quad r \in \mathbb{R}.$$

The lattice-functions in  $\tilde{\mathfrak{g}}$  arising in this way are called *square lattice-functions*. Let  $\mathcal{L}^2 = \text{Latt}_{\mathfrak{o}_F}^2(\tilde{\mathfrak{g}})$  be the set of square lattice-functions in  $\tilde{\mathfrak{g}}$ . For  $p \in \tilde{\mathcal{J}}$ , we put

$$\tilde{\mathfrak{g}}_{p,r} = \text{End}(\Lambda_p)(r), \quad r \in \mathbb{R}.$$

The group  $\tilde{G}$  acts on  $\mathcal{L}^2$  via its action on  $\tilde{\mathfrak{g}}$ , and the map  $\tilde{\mathcal{J}}^1 \rightarrow \mathcal{L}^2$ ,  $p \mapsto \tilde{\mathfrak{g}}_{p,\cdot}$  is surjective and  $\tilde{G}$ -equivariant ([BL] §4).

**Remark 1.7.** The map  $\tilde{\mathcal{J}}^1 \rightarrow \mathcal{L}^1$ ,  $p \mapsto \Lambda_p$  depends on the choice of  $j : \tilde{\mathcal{J}}^1 \rightarrow \mathcal{N}^1$ , but the map  $\tilde{\mathcal{J}}^1 \rightarrow \mathcal{L}^2$ ,  $p \mapsto \tilde{\mathfrak{g}}_{p,\cdot}$  does not depend on it. In fact, for  $\Lambda, \Lambda' \in \mathcal{L}^1$ , we have  $\text{End}(\Lambda') = \text{End}(\Lambda)$  if and only if there exists  $r \in \mathbb{R}$  such that  $\Lambda' = r \cdot \Lambda$  ([BT3] 1.13). In particular, the map  $\tilde{\mathcal{J}}^1 \rightarrow \mathcal{L}^2$ ,  $p \mapsto \tilde{\mathfrak{g}}_{p,\cdot}$  factorizes through the non-enlarged building  $\tilde{\mathcal{J}}$  (cf. Remark 1.3). We obtain a bijective and  $\tilde{G}$ -equivariant map  $\tilde{\mathcal{J}} \rightarrow \mathcal{L}^2$ .

The involution  $\sigma_h$  on  $\tilde{\mathfrak{g}}$  induces also an involution on  $\mathcal{L}^2$ , still denoted by  $\sigma_h$ : for  $\Lambda \in \mathcal{L}^1$ , we put

$$\text{End}(\Lambda)^{\sigma_h}(r) = \text{End}(\Lambda)(r)^{\sigma_h}.$$

Let  $\mathcal{L}_h^2$  be the subset of  $\mathcal{L}^2$  formed of those lattice-functions which are  $\sigma_h$ -invariant. For  $\alpha \in \mathcal{N}^1$ , we have ([BT4] 2.5)

$$\text{End}(\Lambda_\alpha)^{\sigma_h} = \text{End}(\Lambda_{\bar{\alpha}}).$$

This implies (loc. cit., Cor. 2) that the map  $\mathcal{L}^1 \rightarrow \mathcal{L}^2$ ,  $\Lambda \mapsto \text{End}(\Lambda)$  induces a bijection from  $\mathcal{L}_h^1$  to  $\mathcal{L}_h^2$ . Thus if we are in the tame case, then the map  $\tilde{\mathcal{J}}^1 \rightarrow \mathcal{L}^2$ ,  $p \mapsto \tilde{\mathfrak{g}}_p$ , induces a  $G$ -equivariant bijection from  $\mathcal{J}$  to  $\mathcal{L}_h^2$ .

Let  $p$  be a point of  $\mathcal{J}$ . Let  $\mathfrak{g}_p$  be the  $\mathfrak{o}_{F_\circ}$ -lattice-function in  $\mathfrak{g}$  defined by

$$\mathfrak{g}_{p,r} = \tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{g} = \{g \in \mathfrak{g} : g\Lambda_p(s) \subset \Lambda_p(s+r), s \in \mathbb{R}\}, \quad r \in \mathbb{R},$$

and let  $(\mathfrak{g}_{p,r}^{\text{MP}})_{r \in \mathbb{R}}$  be the filtration of  $\mathfrak{g}$  attached to  $p$  by Moy and Prasad ([MP], see 3.5). The following theorem is the main result of this paper.

**Theorem 1.8.** *For all  $p \in \mathcal{J}$ , we have*

$$\mathfrak{g}_{p,r} = \mathfrak{g}_{p,r}^{\text{MP}}, \quad r \in \mathbb{R}.$$

## 2. Reduction to the quasi-split case

**2.1. Extension of the base field.** Let  $L_\circ$  be a finite extension of  $F_\circ$ . Put  $L = L_\circ \otimes_{F_\circ} F$ . It is a commutative  $L_\circ$ -algebra, endowed with an involution  $\text{Id} \otimes \sigma$ , still denoted by  $\sigma$ . The field  $L_\circ$  is the set of fixed points of  $\sigma$  in  $L$ . Since  $F$  is a separable extension of  $F_\circ$  of degree  $\leq 2$ , there are two cases:  $L$  is field, in which case it is an extension of degree  $[F : F_\circ]$  of  $L_\circ$ ; or  $L$  is a cyclic  $L_\circ$ -algebra with group  $\Sigma = \{1, \sigma\}$ , i.e. a product  $L_1 \times L_2$  of two extension  $L_1$  and  $L_2$  of  $F_\circ$  isomorphic to  $L_\circ$ , such that  $\sigma L_1 = L_2$ . Denote by  $L_{\sigma,\varepsilon}$  the  $L_\circ$ -vector space  $L_\circ \otimes_{F_\circ} F_{\sigma,\varepsilon}$ . So  $\overline{F}_{\sigma,\varepsilon}$  identifies with an  $F_\circ$ -subspace of  $\overline{L}_{\sigma,\varepsilon} = L/L_{\sigma,\varepsilon}$ . Moreover, we have

$$L_{\sigma,\varepsilon} = \{\lambda - \varepsilon\lambda^\sigma : \lambda \in L\} = \{\lambda \in L : \lambda^\sigma = -\varepsilon\lambda\}.$$

Denote by  $V_L$  the  $L$ -vector-space  $L_\circ \otimes_{F_\circ} V = L \otimes_F V$ . Even if  $L$  is not a field, by replacing  $F_\circ$  with  $L_\circ$  and  $F$  with  $L$ , we define the notion of  $\sigma$ -skew  $\varepsilon$ -hermitian form on  $V_L$ . The  $\sigma$ -skew  $\varepsilon$ -hermitian form  $h$  on  $V$  extends to a  $\sigma$ -skew  $\varepsilon$ -hermitian form  $h_L$  on  $V_L$ , which is non-degenerate since  $h$  is non-degenerate. Let  $q_L = q_{h_L} : V_L \rightarrow \overline{L}_{\sigma,\varepsilon}$  be the pseudo-quadratic form associated with  $h_L$  as in 1.1. Put  $\tilde{G}_L = \text{GL}(V_L)$  and let  $G_{L_\circ} = \text{U}(h_L)$  the subgroup of  $\tilde{G}_L$  formed of those  $g$  satisfying  $h_L(gx, gy) = h_L(x, y)$  for all  $x, y \in V_L$ . Then  $G_{L_\circ}$  is the group of  $L_\circ$ -rational points of the  $L_\circ$ -algebraic group  $\mathbf{G}_{L_\circ} = \mathbf{G} \times_{F_\circ} L_\circ$ . Put  $\mathfrak{g}_{L_\circ} = \text{Lie}(G_{L_\circ})$ ; so we have  $\mathfrak{g}_{L_\circ} = L_\circ \otimes_{F_\circ} \mathfrak{g}$ .

Let us consider the first case:  $L$  is a field. We can replace  $F_\circ$  with  $L_\circ$  and  $F$  with  $L$  in all the constructions of chapter 1. In particular for  $p \in \mathcal{J}_{L_\circ} = \mathcal{J}(\mathbf{G}_{L_\circ}, L_\circ)$ ,

denote by  $\mathfrak{g}_{L_\circ, p}$ , the square  $\mathfrak{o}_{L_\circ}$ -lattice-function in  $\mathfrak{g}_{L_\circ}$  defined in 1.7, and by  $(\mathfrak{g}_{L_\circ, p}^{\text{MP}})_{r \in \mathbb{R}}$  the filtration of  $\mathfrak{g}_{L_\circ}$  attached to  $p$  by Moy and Prasad.

**2.2. The case**  $L_\circ \otimes_{F_\circ} F \simeq (L_\circ)^2$ . Now let us consider the second case:  $L \simeq (L_\circ)^2$ . Then  $[F : F_\circ] = 2$  and, up to  $F_\circ$ -isomorphism,  $F$  is contained in  $L_\circ$ . So we can (and do) assume  $F \subset L_\circ$  and  $L = (L_\circ)^2$ . The embedding  $F \rightarrow L$ ,  $\lambda = 1 \otimes \lambda$  identifies  $F$  with the subset  $\{(\lambda, \lambda^\sigma) : \lambda \in F\}$ , and for  $\lambda, \mu \in F$ , we have  $(\lambda, \mu)^\sigma = (\mu, \lambda)$ . Let  $\xi_1 = (1, 0)$  and  $\xi_2 = (0, 1)$  be the two minimal idempotents of  $L$ .

If  $X$  is an  $F$ -vector-space, the  $L$ -vector space  $X_L = L_\circ \otimes_{F_\circ} X = L \otimes_F X$  is a product of two copies of  $X_{L_\circ} = L_\circ \otimes_{F_\circ} X$ : putting  $X_{L_\circ, i} = \xi_i X_L$ , we have

$$L_\circ \otimes_{F_\circ} X = X_{L_\circ, 1} \times X_{L_\circ, 2}.$$

In particular we have

$$V_L = V_{L_\circ, 1} \times V_{L_\circ, 2}.$$

For  $i = 1, 2$ , we have  $gV_{L_\circ, i} \subset V_{L_\circ, i}$  for all  $g \in \text{End}_L(V_L)$ . Thus we have

$$\text{End}_L(V_L) = \text{End}_{L_\circ}(V_{L_\circ, 1}) \times \text{End}_{L_\circ}(V_{L_\circ, 2})$$

with  $\text{End}_{L_\circ}(V_{L_\circ, i}) = \xi_i \text{End}_L(V_L)$ , identifying  $L_\circ$  with  $\xi_i L$ . Since  $h$  is non-degenerate, the map  $x \mapsto h(x, \cdot)$  defines a  $\sigma$ -isomorphism from  $V$  to the dual space  $V^* = \text{Hom}_F(V, F)$ , and by extension of scalars, the map  $x \mapsto h_L(x, \cdot)$  defines a  $\sigma$ -isomorphism from  $V_L$  to the dual space  $V_L^* = \text{Hom}_L(V_L, L) = (V^*)_L$ . Since  $\xi_1^\sigma = \xi_2$  and  $\xi_1 \xi_2 = 0$ , for  $i = 1, 2$  and  $x, y \in V_{L_\circ, i}$ , we have

$$h_L(x, y) = h_L(\xi_i x, \xi_i y) = \xi_i \xi_i^\sigma h_L(x, y) = 0.$$

Hence  $V_{L_\circ, 1}$  and  $V_{L_\circ, 2}$  are two maximal totally isotropic subspaces of  $V_L$ . Thus we obtain that the map  $x \mapsto h_L(x, \cdot)$  induces an isomorphism of  $L_\circ$ -vector spaces

$$\varphi : V_{L_\circ, 1} \rightarrow V_{L_\circ, 2}^* = \text{Hom}_{L_\circ}(V_{L_\circ, 2}, L_\circ).$$

Now let  $g = (g_1, g_2) \in \tilde{G}_L = \text{GL}(V_{L_\circ, 1}) \times \text{GL}(V_{L_\circ, 2})$ . By definition, we have  $g \in \text{U}(h_L)$  if and only if  $\varphi(g_1 x_1)(g_2 x_2) = \varphi(x_1)(x_2)$  for all  $(x_1, x_2) \in V_{L_\circ, 1} \times V_{L_\circ, 2}$ , i.e. if and only if

$$g_2 = {}^t \varphi^{-1} \circ {}^t g_1^{-1} \circ {}^t \varphi.$$

So by restriction the map  $\tilde{G}_L \rightarrow \text{GL}(V_{L_\circ, 1})$ ,  $(g_1, g_2) \mapsto g_1$  gives an isomorphism of groups  $\iota : \text{U}(h_L) \rightarrow \text{GL}(V_{L_\circ, 1})$  which is defined over  $L_\circ$ , i.e. which comes from an isomorphism of algebraic groups defined over  $L_\circ$ . Moreover,  $\iota$  restricts to an isomorphism of groups  $\iota' : \text{SU}(h_L) \rightarrow \text{SL}(V_{L_\circ, 1})$  which is also defined over  $L_\circ$ .

Let  $\mathcal{J}_{L_\circ} = \mathcal{J}(\mathbf{G}_{L_\circ}, L_\circ)$  be the (non-enlarged) building of  $G_{L_\circ} = \text{U}(h_L)$ , and  $\mathcal{L}_{L_\circ, 1}$  be the quotient of  $\mathcal{L}_{L_\circ, 1}^1 = \text{Latt}_{\mathfrak{o}_{L_\circ}}^1(V_{L_\circ, 1})$  by the action of  $\mathbb{R}$  (cf. Remark 1.3). The group  $\text{GL}(V_{L_\circ, 1})$  acts on  $\mathcal{J}_{L_\circ}$  via  $\iota$ , and from the Remark 1.3, there exists a *unique*  $\text{GL}(V_{L_\circ, 1})$ -equivariant affine map  $\mathcal{J}_{L_\circ} \rightarrow \mathcal{L}_{L_\circ, 1}$ , still denoted by  $\iota$ . Let  $p$  be a point of  $\mathcal{J}_{L_\circ}$ . Let  $\mathfrak{g}_{L_\circ, p}$  be the  $\mathfrak{o}_{L_\circ}$ -lattice-function in  $\mathfrak{g}_{L_\circ}$  defined by

$$\mathfrak{g}_{L_\circ, p, r} = \text{Lie}(\iota)^{-1}(\text{End}_{L_\circ}(V_{L_\circ, 1})_{\iota(p), r}), \quad r \in \mathbb{R}.$$

Let also  $(\mathfrak{g}_{L_\circ, p, r}^{\text{MP}})_{r \in \mathbb{R}}$  be the filtration of  $\mathfrak{g}_{L_\circ}$  attached to  $p$  by Moy and Prasad.

**Remark 2.1.** Suppose  $L_o/F_o$  is a Galois extension with Galois group  $\Gamma$ . Then we can make the action of  $\Gamma$  on  $G_{L_o}$  explicit ([BT4] 1.13, Rem.). Let  $\Gamma'$  be the subgroup  $\text{Gal}(L_o/F)$  of  $\Gamma$ . The group  $\Gamma$  acts naturally on  $V_L = L_o \otimes_{F_o} V$  and on  $\text{End}_L(V_L) = L_o \otimes_{F_o} \text{End}_F(V)$ . For  $i = 1, 2$ , the subspace  $V_{L_o, i}$  of  $V_L$  is  $\Gamma'$ -stable, whence we have a natural action of  $\Gamma'$  on  $\text{End}_{L_o}(V_{L_o, i})$ . If  $\gamma \in \Gamma \setminus \Gamma'$ , i.e. if the restriction of  $\gamma$  to  $F$  is  $\sigma$ , the automorphism  $\gamma \otimes \text{Id}$  of  $\text{End}_L(V_L)$ , denoted by  $g \mapsto g^\gamma$ , induces two  $\gamma$ -isomorphisms  $\text{End}_{L_o}(V_{L_o, 1}) \rightarrow \text{End}_{L_o}(V_{L_o, 2})$  and  $\text{End}_{L_o}(V_{L_o, 2}) \rightarrow \text{End}_{L_o}(V_{L_o, 1})$ , still denoted by  $g \mapsto g^\gamma$ . Then for  $\gamma \in \Gamma$  and  $(g_1, g_2) \in \text{End}_{L_o}(V_{L_o, 1}) \times \text{End}_{L_o}(V_{L_o, 2})$ , we have

$$(g_1, g_2)^\gamma = (g_1^\gamma, g_2^\gamma) \text{ if } \gamma \in \Gamma',$$

$$(g_1, g_2)^\gamma = (g_2^\gamma, g_1^\gamma) \text{ if } \gamma \in \Gamma \setminus \Gamma'.$$

In particular, the map  $\iota : \text{U}(h_L) \rightarrow \text{GL}(V_{L_o, 1})$  is  $\Gamma'$ -equivariant, and for  $\gamma \in \Gamma \setminus \Gamma'$  and  $g = (g_1, g_2) \in \text{U}(h_L)$ , we have

$$g^\gamma = (g_2^\gamma, g_1^\gamma) = (({}^t\varphi^{-1} \circ {}^t g_1^{-1} \circ {}^t\varphi)^\gamma, g_1^\gamma).$$

**2.3. Unramified descent: buildings.** Let us turn to the general case:  $L$  is a field or  $L \simeq (L_o)^2$ . Suppose moreover that the extension  $L_o/F_o$  is unramified, and let  $\Gamma$  be the group  $\text{Gal}(L_o/F_o)$ . We know ([BT4] 4.1) that there exists a unique  $G$ -equivariant and affine map  $\mathcal{J} \rightarrow \mathcal{J}_{L_o}$ , whose image is the subset  $(\mathcal{J}_{L_o})^\Gamma$  formed of those points which are fixed by  $\Gamma$ .

We can describe the canonical bijection  $\mathcal{J} \rightarrow (\mathcal{J}_{L_o})^\Gamma$  in terms of norms (resp. of lattice-functions). Let  $v_{L_o}$  be the normalized valuation on  $L_o$ . The  $L_o$ -algebra  $L$  is endowed with the  $L_o$ -algebra norm  $v_L$  defined by  $v_L = v_{L_o} \otimes v_F$ . The ring of integers  $\mathfrak{o}_L = \mathfrak{o}_{L_o} \otimes_{\mathfrak{o}_{F_o}} \mathfrak{o}_F$  of  $L$  coincides with the set of  $\lambda \in L$  such that  $v_L(\lambda) = 0$ . Let  $\mathcal{N}_L^1 = \text{Norm}_L^1(V_L)$  be the set of  $L$ -norms on  $V_L$ , and  $\mathcal{L}_L^1 = \text{Latt}_{\mathfrak{o}_L}^1(V_L)$  be the set of  $\mathfrak{o}_L$ -lattice-functions in  $V_L$ . We define, as in 1.6, the subsets  $\mathcal{N}_{L, (h_L, q_L)}^1 \subset \mathcal{N}_{L, h_L}^1$  of  $\mathcal{N}_L^1$  (Definition 1.4 is valid even if  $L$  is not a field), and the subsets  $\mathcal{L}_{L, (h_L, q_L)}^1 \subset \mathcal{L}_{L, h_L}^1$  of  $\mathcal{L}_L^1$ . For  $\alpha \in \mathcal{N}_L^1$ , denote by  $\alpha_L$  the  $L$ -norm  $v_{L_o} \otimes \alpha$  on  $V_L$ , and, for  $\Lambda \in \mathcal{L}_L^1$ , denote by  $\Lambda_L$  the  $\mathfrak{o}_L$ -lattice-function in  $V_L$  defined by  $\Lambda_L(r) = \mathfrak{o}_{L_o} \otimes_{\mathfrak{o}_{F_o}} \Lambda(r)$ ,  $r \in \mathbb{R}$ . For  $\alpha \in \mathcal{N}_L^1$ , denote by  $\Lambda_\alpha$  the  $\mathfrak{o}_L$ -lattice-function in  $V_L$  defined (as in 1.6) by  $\Lambda_\alpha(r) = \{v \in V_L : \alpha(v) \geq r\}$ ,  $r \in \mathbb{R}$ . Hence we have  $\Lambda_{\alpha_L} = (\Lambda_\alpha)_L$ , for all  $\alpha \in \mathcal{N}_L^1$ . On the other hand, the group  $\Gamma$  acts naturally on  $\mathcal{N}_L^1$  (resp. on  $\mathcal{L}_L^1$ ), stabilizing the subset  $\mathcal{N}_{L, (h_L, q_L)}^1$  (resp.  $\mathcal{L}_{L, (h_L, q_L)}^1$ ), and the map  $\mathcal{N}_L^1 \rightarrow \mathcal{L}_L^1$ ,  $\alpha \mapsto \Lambda_\alpha$  is  $\Gamma$ -equivariant.

The map  $\alpha \mapsto \alpha_L$  from  $\mathcal{N}_L^1$  to  $\mathcal{N}_L^1$  is injective,  $\tilde{G}$ -equivariant and affine, and it induces a bijection onto the convex subset  $(\mathcal{N}_L^1)^\Gamma$  of  $\mathcal{N}_L^1$  formed by those norms which are  $\Gamma$ -invariant. If  $\alpha \in \mathcal{N}$ , from [BT4] 4.2, we have  $\alpha \leq (h, q)$  if and only if  $\alpha_L \leq (h_L, q_L)$ . Hence the map  $\alpha \mapsto \alpha_L$  induces a  $G$ -equivariant affine bijection from  $\mathcal{N}_{h, q}^1$  to the convex subset  $\mathcal{N}_{L, (h_L, q_L)}^{1, \natural}$  of  $(\mathcal{N}_L^1)^\Gamma$  formed by those norms  $\beta$  such that  $\beta \leq (h_L, q_L)$  and which are maximal for this property. A priori we have the inclusion  $(\mathcal{N}_{L, (h_L, q_L)}^1)^\Gamma \subset \mathcal{N}_{L, (h_L, q_L)}^{1, \natural}$ , but we know this inclusion is an equality ([BT4] 4.7 and 4.9).

*First case:  $L$  is a field.* From 1.6, there exists a unique  $G_{L_o}$ -equivariant affine map  $\mathcal{J}_{L_o} \rightarrow \mathcal{N}_{L,(h_L,q_L)}^1$ , which is  $\Gamma$ -equivariant by unicity. It induces a  $G$ -equivariant affine map  $\mathcal{J} \rightarrow (\mathcal{N}_{L,(h_L,q_L)}^1)^\Gamma$ , which (by unicity again) coincides with the canonical bijection  $\mathcal{J} \rightarrow \mathcal{N}_{h,q}^1$  composed with the  $G$ -equivariant affine bijection

$$\mathcal{N}_{h,q}^1 \rightarrow (\mathcal{N}_{L,(h_L,q_L)}^1)^\Gamma, \alpha \mapsto \alpha_L.$$

So via the canonical bijections  $\mathcal{J} \rightarrow \mathcal{N}_{h,q}^1$  and  $\mathcal{J}_{L_o} \rightarrow \mathcal{N}_{L,(h_L,q_L)}^1$ , the canonical bijection  $\mathcal{J} \rightarrow (\mathcal{J}_{L_o})^\Gamma$  is given by  $\alpha \mapsto \alpha_L$ .

*Second case:  $L \simeq (L_o)^2$ .* We take the hypotheses and notation of 2.2. Let  $\Gamma'$  be the subgroup  $\text{Gal}(L/F)$  of  $\Gamma$ . The  $L_o$ -norm  $v_L$  on  $L = L_o \times L_o$  is given by

$$v_L(\lambda, \mu) = \inf\{v_{L_o}(\lambda), v_{L_o}(\mu)\}, \quad \lambda, \mu \in L_o.$$

For  $i = 1, 2$ , put  $\mathcal{N}_{L_o,i}^1 = \text{Latt}_{\mathfrak{o}_{L_o}}^1(V_{L_o,i})$ . For  $\alpha \in \mathcal{N}_{L_o,1}^1$ , denote by  $\bar{\alpha}$  the  $L_o$ -norm on  $V_{L_o,2}$  defined by

$$\bar{\alpha}(x_2) = \inf_{x_1 \in V_{L_o,1}} (v_{L_o}(h_L(x_1, x_2) - \alpha(x_1))), \quad x_2 \in V_{L_o,2}$$

and by  $\alpha \oplus \bar{\alpha}$  the  $L$ -norm on  $V_L = V \times V$  defined by

$$(\alpha \oplus \bar{\alpha})(x_1, x_2) = \inf(\alpha(x_1), \bar{\alpha}(x_2)), \quad x_1 \in V_{L_o,1}, x_2 \in V_{L_o,2}.$$

From the lemma of §4.8 in [BT4], the map  $\alpha \mapsto \alpha \oplus \bar{\alpha}$  is a bijection from  $\mathcal{N}_{L_o,1}^1$  to the subset  $\mathcal{N}_{L,h_L}^1 = \mathcal{N}_{L,(h_L,q_L)}^1$  of  $\mathcal{N}_L^1$ . Via this bijection, we obtain an action of  $\Gamma$  on  $\mathcal{N}_{L_o,1}^1$  which extends the natural action of  $\Gamma'$  (cf. Remark 2.1). For  $\alpha \in \mathcal{N}^1$ , let  $\alpha_{L_o,1}$  be the  $L_o$ -norm  $v_{L_o} \otimes \alpha$  on  $V_{L_o,1}$ . Let us identify  $\tilde{G}$  with a subgroup of  $\text{GL}(V_{L_o,1})$  via the map  $g \mapsto \xi_1(1 \otimes g)$ . Then the map  $\alpha \mapsto \alpha_{L_o,1}$  from  $\mathcal{N}^1$  to  $\mathcal{N}_{L_o,1}^1$  is injective,  $\tilde{G}$ -equivariant and affine, and it induces a bijection onto the convex subset  $(\mathcal{N}_{L_o,1}^1)^{\Gamma'}$  of  $\mathcal{N}_{L_o,1}^1$  formed by those norms which are  $\Gamma'$ -invariant. Moreover, if  $\alpha \in \mathcal{N}^1$ , the  $\Gamma'$ -invariant  $L_o$ -norm  $\alpha_{L_o}$  on  $V_{L_o,1}$  is  $\Gamma$ -invariant if and only if  $\bar{\alpha} = \alpha$ , i.e. if and only if  $\alpha \in \mathcal{N}_h^1$ . So the map  $\alpha \mapsto \alpha_{L_o,1}$  induces a  $G$ -equivariant affine bijection from  $\mathcal{N}_h^1$  to the convex subset  $(\mathcal{N}_{L_o,1}^1)^\Gamma$  of  $\mathcal{N}_{L_o,1}^1$  formed by those norms which are  $\Gamma$ -invariant. Let  $\mathcal{N}_{L_o,1}$  be the quotient of  $\mathcal{N}_{L_o,1}^1$  by the action of  $\mathbb{R}$ . The action of  $\Gamma$  on  $\mathcal{N}_{L_o,1}^1$  induces an action on  $\mathcal{N}_{L_o,1}$ , and we denote by  $(\mathcal{N}_{L_o,1})^\Gamma$  the convex subset of  $\mathcal{N}_{L_o,1}$  formed by those elements which are  $\Gamma$ -invariant. For  $\alpha \in \mathcal{N}_{L_o,1}^1$  and  $c \in \mathbb{R}$ , we have

$$(\alpha + c, \overline{\alpha + c}) = (\alpha + c, \bar{\alpha} - c);$$

so if  $\alpha$  is  $\Gamma$ -invariant, then  $\alpha + c$  is  $\Gamma$ -invariant if and only if  $c = 0$ . Thus the canonical projection  $\mathcal{N}_{L_o,1}^1 \rightarrow \mathcal{N}_{L_o,1}$ ,  $\alpha \mapsto \alpha'$  induces an injective map  $(\mathcal{N}_{L_o,1}^1)^\Gamma \rightarrow (\mathcal{N}_{L_o,1})^\Gamma$ , which is also surjective: for  $\alpha \in \mathcal{N}_{L_o,1}^1$  such that  $\alpha'$  is  $\Gamma$ -invariant, since  $\Gamma$  induces on the class  $\{\alpha + c : c \in \mathbb{R}\}$  a finite group of affine automorphisms, there exists a  $c \in \mathbb{R}$  such that  $\alpha + c$  is  $\Gamma$ -invariant. Thus we have a  $G$ -equivariant affine bijection

$$\mathcal{N}_h^1 \rightarrow (\mathcal{N}_{L_o,1})^\Gamma, \alpha \mapsto (\alpha_{L_o,1})'.$$

So via the canonical bijections  $\mathcal{J} \rightarrow \mathcal{N}_h^1$  and  $\mathcal{J}_{L_o} \rightarrow \mathcal{N}_{L_o,1}$  (cf. Remark 1.3), the canonical bijection  $\mathcal{J} \rightarrow (\mathcal{J}_{L_o})^\Gamma$  is given by  $\alpha \mapsto (\alpha_{L_o,1})'$ .

Since the map  $\mathcal{N}_L^1 \rightarrow \mathcal{L}_L^1$ ,  $\alpha \mapsto \Lambda_\alpha$  is  $\Gamma$ -equivariant, the translation of the description above in terms of lattice-functions is straightforward and left to the reader.

**2.4. Unramified descent: square lattice-functions.** We continue with the hypotheses and notation of 2.3. Let  $p$  be a point in  $\mathcal{J}$ , identified with a point in  $(\mathcal{J}_{L_o})^\Gamma$  by the canonical bijection  $\mathcal{J} \rightarrow (\mathcal{J}_{L_o})^\Gamma$ . By construction, the filtrations of  $\mathfrak{g}$  and  $\mathfrak{g}_{L_o}$  attached to  $p$  by Moy and Prasad, satisfy the descent property:

$$(\mathfrak{g}_{L_o, p, r}^{\text{MP}})^\Gamma = \mathfrak{g}_{p, r}^{\text{MP}}, \quad r \in \mathbb{R}.$$

Let us prove that the lattice-functions  $\mathfrak{g}_{p, \cdot}$  in  $\mathfrak{g}$  and  $\mathfrak{g}_{L_o, p, \cdot}$  in  $\mathfrak{g}_{L_o}$  satisfy the same descent property. Put  $\tilde{\mathfrak{g}}_L = \text{End}_L(V_L)$ ; so the  $L_o$ -algebras  $\tilde{\mathfrak{g}}_L$  and  $L_o \otimes_{F_o} \tilde{\mathfrak{g}}$  ( $= L_o \otimes_{F_o} \text{End}_F(V)$ ) are canonically isomorphic.

*First case:  $L$  is a field.* As in 1.7, the point  $p \in (\mathcal{J}_{L_o})^\Gamma \subset \mathcal{J}_{L_o}$  defines a square  $\mathfrak{o}_L$ -lattice-function  $\tilde{\mathfrak{g}}_{L, p, \cdot}$  in  $\tilde{\mathfrak{g}}_L$ . More precisely, from 1.6 there exists a unique  $G_{L_o}$ -equivariant affine map  $\mathcal{J}_{L_o} \rightarrow \mathcal{L}_{L, (h_L, q_L)}^1$ ,  $p' \mapsto \Lambda_{p'}$ , which is  $\Gamma$ -equivariant and, from 2.3, induces a  $G$ -equivariant affine map  $\mathcal{J}_{L_o}^\Gamma \rightarrow (\mathcal{L}_{L, (h_L, q_L)}^1)^\Gamma$ . By definition, for  $p' \in \mathcal{J}_{L_o}$ , we have  $\tilde{\mathfrak{g}}_{L, p', \cdot} = \text{End}(\Lambda_{p'})$ . Since  $p$  is  $\Gamma$ -invariant, we have  $\Lambda_p = (\Lambda_p)_L$  (cf. 2.3). Thus we have

$$\tilde{\mathfrak{g}}_{L, p, r} = \mathfrak{o}_{L_o} \otimes_{\mathfrak{o}_{F_o}} \tilde{\mathfrak{g}}_{p, r}, \quad r \in \mathbb{R}.$$

This implies the descent property:

$$(\tilde{\mathfrak{g}}_{L, p, r})^\Gamma = \tilde{\mathfrak{g}}_{p, r}, \quad r \in \mathbb{R}.$$

Since  $(\tilde{\mathfrak{g}}_L)^\Gamma = \tilde{\mathfrak{g}}$ , we obtain the descent property:

$$(\mathfrak{g}_{L_o, p, r})^\Gamma = \mathfrak{g}_{p, r}, \quad r \in \mathbb{R}.$$

*Second case:  $L \simeq (L_o)^2$ .* We take the hypotheses and notation of 2.2. For  $r \in \mathbb{R}$ , the  $\mathfrak{o}_{L_o}$ -lattice  $\mathfrak{g}_{L_o, p, r}$  in  $\mathfrak{g}_{L_o}$  is the set of

$$(g_1, g_2) \in \text{End}_{L_o}(V_{L_o, 1}) \times \text{End}_{L_o}(V_{L_o, 2})$$

such that  $g_1 \in \text{End}_{L_o}(V_{L_o, 1})_{\iota(p), r}$  and  $g_2 + {}^t\varphi^{-1} \circ {}^t g_1 \circ {}^t\varphi = 0$ . Let  $\Gamma'$  be the subgroup  $\text{Gal}(L_o/F)$  of  $\Gamma$ . Fix a real number  $r$ . Since the map  $\iota : U(h_L) \rightarrow \text{GL}(V_{L_o, 1})$  is  $\Gamma'$ -equivariant, we have  $\iota(p) \in (\mathcal{L}_{L_o, 1})^{\Gamma'}$ . On the other hand, the isomorphism  $\varphi : V_{L_o, 1} \rightarrow V_{L_o, 2}^*$  is also  $\Gamma'$ -equivariant. From the first case above, we may and do assume that  $L_o = F$ . So  $\varphi$  is an isomorphism from  $V_{F, 1}$  to  $V_{F, 2}$ ,  $\iota(p)$  is an element of  $\mathcal{L}_{F, 1} = \text{Latt}_{\mathfrak{o}_F}^1(V_{F, 1})/\mathbb{R}$ , and  $\mathfrak{g}_{F, p, r}$  is the  $\mathfrak{o}_F$ -lattice in  $\mathfrak{g}_F = F \otimes_{F_o} \mathfrak{g} \subset \tilde{\mathfrak{g}}_F = \text{End}_F(V_{F, 1}) \times \text{End}_F(V_{F, 2})$  formed by those  $(g_1, g_2)$  satisfying  $g_1 \in \text{End}_F(V_{F, 1})_{\iota(p), r}$  and  $g_2 + {}^t\varphi^{-1} \circ {}^t g_1 \circ {}^t\varphi = 0$ . For  $i = 1, 2$ , the map  $v \mapsto \xi_i(1 \otimes v)$  identifies  $V$  with  $V_{F, i}$ ; hence we have the identifications  $\text{End}_F(V_{F, i}) = \tilde{\mathfrak{g}}$  and  $\mathcal{L}_{F, 1} = \mathcal{L}$ . From Remark 2.1, the action of  $\Gamma = \{1, \sigma\}$  on  $\tilde{\mathfrak{g}}_F = \tilde{\mathfrak{g}} \times \tilde{\mathfrak{g}}$  is given by  $(g_1, g_2)^\sigma = (g_2, g_1)$ , for all  $g_1, g_2 \in \tilde{\mathfrak{g}}$ . We obtain that the map  $\mathfrak{g}_F \rightarrow \tilde{\mathfrak{g}}$ ,  $(g_1, g_2) \mapsto g_1$  identifies  $(\mathfrak{g}_{F, p, r})^\Gamma = \mathfrak{g}_{F, p, r} \cap \mathfrak{g}$  with the  $\mathfrak{o}_F$ -lattice in  $\tilde{\mathfrak{g}}$  formed by those  $g \in \tilde{\mathfrak{g}}_{\iota(p), r}$  satisfying  $g + {}^t\varphi^{-1} \circ {}^t g \circ {}^t\varphi = 0$ . But, for all  $x, y \in V$ , we have

$$\varphi(x)(y) = h_L((x, 0), (0, y)) = h_L((x, x), (y, y)) = h(x, y).$$

Hence for  $g \in \tilde{\mathfrak{g}}$ , we have

$$g^{\sigma_h} = {}^t\varphi^{-1} \circ {}^t g \circ {}^t\varphi$$

and  $g + {}^t\varphi^{-1} \circ {}^t g \circ {}^t\varphi = 0$  if and only if  $g \in \mathfrak{g}$ . Thus we obtain the descent property:

$$(\mathfrak{g}_{F,p,r})^\Gamma = \tilde{\mathfrak{g}}_{\iota(p),r} \cap \mathfrak{g}.$$

The action of  $\Gamma$  on  $\mathcal{J}_F$  induces an action on  $\mathcal{L}$ : for  $\Lambda \in \mathcal{L}$ , we put  $\Lambda^\sigma = \iota(\iota^{-1}(\Lambda)^\sigma)$ . By construction, the map  $\iota : \mathcal{J}_F \rightarrow \mathcal{L}$  is  $\Gamma$ -invariant, and induces a bijection, denoted by  $\iota^\natural$ , from  $\mathcal{J}$  to the convex subset  $\mathcal{L}^\Gamma$  of  $\mathcal{L}$  formed by those elements which are  $\Gamma$ -invariant. Moreover,  $\iota^\natural$  is  $G$ -equivariant and affine. From 2.3, by restriction the canonical projection  $\mathcal{L}^1 \rightarrow \mathcal{L}$  induces a bijection  $\psi : \mathcal{L}_h^1 \rightarrow \mathcal{L}^\Gamma$  which is  $G$ -equivariant and affine. This implies that the composition  $\psi \circ \iota^\natural : \mathcal{J} \rightarrow \mathcal{L}_h^1$  is bijective,  $G$ -equivariant and affine. Since we are in the tame case (the extension  $F/F_\circ$  is unramified), we have  $\mathcal{L}_h^1 = \mathcal{L}_{h,q}^1$  and  $\psi \circ \iota^\natural$  is the unique  $G$ -equivariant affine map from  $\mathcal{J}$  to  $\mathcal{L}_h^1$ . So we obtain that  $\iota(p) \in \mathcal{L}^\Gamma$ ,  $\psi(\iota(p)) = \Lambda_p$  and  $\tilde{\mathfrak{g}}_{\iota(p),r} = \tilde{\mathfrak{g}}_{p,r}$ . Turning to the general unramified extension  $L_\circ/F_\circ$  (i.e. removing the hypothesis  $L_\circ = F$ ), we have proved the descent property:

$$(\mathfrak{g}_{L_\circ,p,r})^\Gamma = \mathfrak{g}_{p,r}, \quad r \in \mathbb{R}.$$

**2.5. Reduction to the quasi-split case.** Let  $L_\circ$  be a finite unramified extension of  $F_\circ$  such that the reductive  $L_\circ$ -group  $\mathbf{G}^\circ \times_{F_\circ} L_\circ$  is quasi-split. Put  $L = L_\circ \otimes_{F_\circ} F$  as before. Suppose that for all  $p \in \mathcal{J}_{L_\circ}$ , we have

$$\mathfrak{g}_{L_\circ,p,r} = \mathfrak{g}_{L_\circ,p,r}^{\text{MP}}, \quad r \in \mathbb{R}.$$

Then the descent property proved in 2.4 implies that for all  $p \in \mathcal{J} = (\mathcal{J}_{L_\circ})^\Gamma$ , we have

$$\mathfrak{g}_{p,r} = \mathfrak{g}_{p,r}^{\text{MP}}, \quad r \in \mathbb{R}.$$

If  $L \simeq (L_\circ)^2$ , we know from [BL] that for all  $p \in \mathcal{J}_{L_\circ}$ , the filtration  $(\mathfrak{g}_{L_\circ,p,r})_{r \in \mathbb{R}}$  of  $\mathfrak{g}$  coincide with  $(\mathfrak{g}_{L_\circ,p,r}^{\text{MP}})_{r \in \mathbb{R}}$ . Thus we have reduced the question to the quasi-split case: we may suppose that  $\mathbf{G}^\circ$  is quasi-split over  $F_\circ$ ; note that we may also suppose that  $\mathbf{G}^\circ$  is residually split over  $F_\circ$ , i.e. quasi-split and of the same relative rank as  $\mathbf{G}^\circ \times_{F_\circ} L'_\circ$  for all finite unramified extensions  $L'_\circ$  of  $L_\circ$ .

### 3. Proof in the quasi-split case

**3.1. Root subgroups again.** In this chapter, we assume that the group  $\mathbf{G}$  is quasi-split over  $F_\circ$ . Recall that since  $\mathbf{G}$  is quasi-split, we have  $\dim_F(V_0) \leq 1$ . From [BT1] 10.1.3, if  $\sigma \neq \text{Id}$ , by a ‘‘change of coordinate’’ we may (and do) assume  $\varepsilon = 1$  if  $V_0 \neq \{0\}$ , and  $\varepsilon = -1$  if  $V_0 = \{0\}$ . Then we have  $\varepsilon = -1$  if and only if we are in case (C). Let us fix an element  $e_0 \in V_0$  such that  $e_0 \neq 0$  if  $V_0 \neq \{0\}$  (case (B) or (BC)). Moreover if  $V_0 \neq \{0\}$ , by another ‘‘change of coordinate’’ we may (and do) assume that  $h(e_0, e_0) = 1$  (loc. cit.).

For  $i, j \in I$ ,  $j \neq \pm i$  and  $u \in F$ , the element  $u_{i,j}(u) \in U_{a_i}$  (cf. 1.5) acts trivially on  $\sum_{k \in I \cup \{0\}, k \neq \pm i, \pm j} V_i$ , stabilizes  $X_{i,j} = V_{-i} + V_{-j} + V_j + V_i$ , and induces



on  $X_{i,j}$  an automorphism whose matrix with respect to  $e_{-i}, e_{-j}, e_j, e_i$  is given by

$$\begin{pmatrix} 1 & 0 & -\varepsilon(i)u & 0 \\ 0 & 1 & 0 & \varepsilon(-j)u^\sigma \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

Suppose  $V_0 \neq \{0\}$  (case (B) or (BC)). For  $i \in I$  and  $u, v \in F$  such that  $v + v^\sigma = uu^\sigma$  (i.e. such that  $v = \frac{1}{2}u^2$  if we are in case (B)), the element  $u_i(u, v) = u_i(ue_0, v) \in U_{a_i}$  (cf. 1.5) acts trivially on  $\sum_{k \in I, k \neq \pm i} V_k$ , stabilizes  $X_i = V_{-i} + V_0 + V_i$ , and induces on  $X_i$  an automorphism whose matrix with respect to  $e_{-i}, e_0, e_i$  is given by

$$\begin{pmatrix} 1 & -u^\sigma & -v \\ 0 & 1 & u \\ 0 & 0 & 1 \end{pmatrix}.$$

Suppose  $V_0 = \{0\}$  and  $(\sigma, \varepsilon) \neq (\text{Id}, 1)$  (case (C)). For  $i \in I$  and  $v \in F_{\sigma, \varepsilon}$ , the element  $u_i(0, v) \in U_{2a_i}$  acts trivially on  $\sum_{k \in I, k \neq \pm i} V_k$ , stabilizes  $X_i = V_{-i} + V_i$ , and induces on  $X_i$  an automorphism whose matrix with respect to  $e_{-i}, e_i$  is given by

$$\begin{pmatrix} 1 & -\varepsilon(i)v \\ 0 & 1 \end{pmatrix}.$$

For  $i, j \in I, j \neq \pm i$ , the group-law on  $U_{i,j}$  is given by  $u_{i,j}(u)u_{i,j}(u') = u_{i,j}(u+u')$  for all  $u, u' \in F$ . For  $i \in I$ , to describe the group-law on  $U_{a_i}$  (case (B) or (BC)) and the group-law on  $U_{2a_i}$  (case (BC) or (C)), it is useful to introduce the  $F_\circ$ -spaces  $H_2 = \{0\} \times F_{\sigma, \varepsilon}$  and  $H = \{(u, v) \in F \times F : v + \varepsilon v^\sigma = uu^\sigma\} \supset H_2$ . The space  $H$  is endowed with a multiplicative group-law

$$(u, v)(u', v') = (u + u', v + v' + u^\sigma u')$$

which makes  $H_2$  a subgroup of  $H$ : for  $v, v' \in F_{\sigma, \varepsilon}$ , we have  $v + v' \in F_{\sigma, \varepsilon}$  and  $(0, v)(0, v') = (0, v + v')$ . The group-laws on  $U_{a_i}$  and  $U_{2a_i}$  are obtained from the group-laws on  $H$  and  $H_2$  by transport of structure via  $u_i$ . In case (BC), since  $H_2$  is normal in  $H$ , we can define the quotient group  $\bar{U}_{a_i} = U_{a_i}/U_{2a_i}$ . It is the group of  $F_\circ$ -rational points of the (geometric) quotient group  $\bar{U}_{a_i}/\bar{U}_{2a_i}$ . In case (B), we put  $\bar{U}_{a_i} = U_{a_i}$ .

**3.2. Basis of the Lie algebras.** Recall that  $\mathfrak{g}$  denotes the Lie algebra of  $G$ , and  $\tilde{\mathfrak{g}}$  the Lie algebra of  $\tilde{G}$ . For  $a \in \Phi$ , let  $\mathfrak{u}_a$  be the subspace of  $\mathfrak{g}$  defined by  $\mathfrak{u}_a = \text{Lie}(U_a)$  if  $2a \notin \Phi$ , and by  $\mathfrak{u}_a = \text{Lie}(\bar{U}_a)$  if  $2a \in \Phi$ . For  $i, j \in I$ , or  $i, j \in I \cup \{0\}$  if  $V_0 \neq \{0\}$ , let  $\tilde{E}_{i,j} \in \tilde{\mathfrak{g}}$  be the standard elementary matrix. For  $i, j \in I, j \neq \pm i, u \in F, v \in F_{\sigma, \varepsilon}$ , we put:

$$E_{a_{i,j}}(u) = -\varepsilon(i)u\tilde{E}_{-i,j} + \varepsilon(-j)u^\sigma\tilde{E}_{-j,i};$$

$$E_{a_i}(u) = -u^\sigma\tilde{E}_{-i,0} + u\tilde{E}_{0,i} \text{ (case (B) or (BC))};$$

$$E_{2a_i}(v) = -\varepsilon(i)v\tilde{E}_{-i,i} \text{ (case (BC) or (C))}.$$

Let  $a \in \Phi$ . If  $\frac{1}{2}a \notin \Phi$ , the map  $u \mapsto E_a(u)$  is an isomorphism from  $F$  to  $\mathfrak{u}_a$ . If  $\frac{1}{2}a \in \Phi$  (case (BC)), the map  $v \mapsto E_a(v)$  is an isomorphism from  $F^\circ$  to  $\mathfrak{u}_a$ .

Denote by  $\mathfrak{z} = \text{Lie}(Z)$  the Lie algebra of  $Z$ , and by  $\mathfrak{z}'$  the Lie algebra of  $Z'$ . For  $i \in I$ ,  $u \in F$ ,  $v \in F^\circ$ , we put:

$$E_i(u) = u^\sigma \tilde{E}_{-i,-i} - u \tilde{E}_{i,i};$$

$$E_0(v) = v \tilde{E}_{0,0} \text{ (case (B) or (BC)).}$$

For  $i \in I$ , the map  $u \mapsto \tilde{E}_i$  is an isomorphism from  $F$  to a subspace  $\mathfrak{z}_i$  of  $\mathfrak{z}$ . If  $V_0 \neq \{0\}$  (case (B) or (BC)), the map  $v \mapsto E_0(v)$  is an isomorphism from  $F^\circ$  to a subspace  $\mathfrak{z}_0$  of  $\mathfrak{z}$ . Note that in case (B), we have  $\mathfrak{z}_0 = \{0\}$ . If  $V_0 = \{0\}$  (case (C) or (D)), we put  $\mathfrak{z}_0 = \{0\}$ . So  $\mathfrak{z}_0 \neq \{0\}$  if and only if we are in case (BC).

We have the decompositions

$$\mathfrak{g} = \mathfrak{z} \oplus \bigoplus_{a \in \Phi} \mathfrak{u}_a, \quad \mathfrak{z} = \bigoplus_{i \in I \cup \{0\}} \mathfrak{z}_i.$$

**3.3. Lattice-functions and square lattice-functions.** Recall that  $\mathcal{A}$  is the apartment of the building  $\mathcal{J}$  of  $G$  attached to the maximal  $F_\circ$ -split torus  $\mathbf{S}$  of  $\mathbf{G}$ . Put

$$l = \frac{1}{2} \sup\{r \in \mathbb{R} : v_F(\lambda) = r, \lambda \in F, \lambda + \lambda^\sigma = 1\}.$$

Recall we have  $l \leq 0$ , and  $l = 0$  if and only if the extension  $F/F_\circ$  is quadratic unramified or the residual characteristic of  $F_\circ$  is not 2. If  $V_0 \neq 0$ , from Remark 1.6, we have

$$v_{V_0}(\lambda e_0) = v_F(\lambda) + l.$$

Put  $a_0 = -l$  if  $V_0 \neq \{0\}$ , and  $a_0 = -\infty$  if  $V_0 = \{0\}$ . We consider  $a_0$  as a constant function on  $\mathcal{A}$ .

Let  $p$  be a point in  $\mathcal{A}$ . The MM-norm  $\alpha_p$  for  $(h, q)$  on  $V$  and the lattice-function  $\Lambda_p$  in  $V$  are given by

$$\alpha_p \left( \sum_{i \in I \cup \{0\}} \lambda_i e_i \right) = \inf\{v_F(\lambda_i) - a_i(p) : i \in I \cup \{0\}\}, \quad \lambda_i \in F,$$

and

$$\Lambda_p(r) = \{x \in V : \alpha_p(x) \geq r\}, \quad r \in \mathbb{R}.$$

Put  $\eta = 1$  if the extension  $F/F_\circ$  is unramified, and  $\eta = 2$  if it is ramified. So  $\eta v_F$  is the normalized valuation on  $F$ , and we have

$$\Lambda_p(r) = \bigoplus_{i \in I \cup \{0\}} \mathfrak{p}_F^{\lceil \eta(r + a_i(p)) \rceil} e_i, \quad r \in \mathbb{R},$$

where  $\lceil x \rceil$  denotes the least integer greater than or equal to  $x$  (if  $V_0 = \{0\}$ , then we have  $\mathfrak{p}_F^{\lceil \eta(r + a_0(p)) \rceil} e_0 = \{0\}$ ). The square lattice-function  $\tilde{\mathfrak{g}}_p$ , in  $\tilde{\mathfrak{g}}$  attached to  $p$  is given by

$$\tilde{\mathfrak{g}}_{p,r} = \{g \in \tilde{\mathfrak{g}} : g\Lambda_p(s) \subset \Lambda_p(s+r), s \in \mathbb{R}\}, \quad r \in \mathbb{R}.$$

**Lemma 3.1.** *Let  $(p, r) \in \mathcal{A} \times \mathbb{R}$ . We have*

$$\tilde{\mathfrak{g}}_{p,r} = \bigoplus_{i,j} \mathfrak{p}_F^{\lceil \eta(r+a_i(p)-a_j(p)) \rceil} \tilde{E}_{i,j}$$

where  $i, j$  run over the elements of  $I$  in case (C) or (D), and over the elements of  $I \cup \{0\}$  in case (B) or (BC).

**Proof.** Let  $i, j \in I$  and  $u \in F$ . By definition, the element  $u\tilde{E}_{i,j}$  belongs to  $\tilde{\mathfrak{g}}_{p,r}$  if and only if  $u\mathfrak{p}_F^{\lceil \eta(s+a_j(p)) \rceil} \subset \mathfrak{p}_F^{\lceil \eta(s+r+a_i(p)) \rceil}$  for all  $s \in \mathbb{R}$ , i.e. if and only if  $\eta v_F(u) \geq \lceil r + a_i(p) - a_j(p) \rceil$ . Hence we have  $\tilde{\mathfrak{g}}_{p,r} \cap F\tilde{E}_{i,j} = \mathfrak{p}_F^{\lceil \eta(r+a_i(p)-a_j(p)) \rceil} \tilde{E}_{i,j}$ . In case (B) or (BC), the same proof applies for  $i, j \in I \cup \{0\}$ . ■

For  $p \in \mathcal{J}$ , recall that the  $\mathfrak{o}_{F^\circ}$ -lattice-function  $\mathfrak{g}_{p,\cdot}$  in  $\mathfrak{g}$  is defined by

$$\mathfrak{g}_{p,r} = \tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{g}, \quad r \in \mathbb{R}.$$

For  $(p, r) \in \mathcal{A} \times \mathbb{R}$ , we have the decomposition

$$\mathfrak{g}_{p,r} = \bigoplus_{i \in I \cup \{0\}} (\tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{z}_i) \oplus \bigoplus_{a \in \Phi} (\tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{u}_a).$$

**Lemma 3.2.** *Let  $(p, r) \in \mathcal{A} \times \mathbb{R}$ . Then:*

for  $i \in I$ , we have  $\tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{z}_i = E_i(\mathfrak{p}_F^{\lceil \eta r \rceil})$ ;

in case (BC), we have  $\tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{z}_0 = E_0(\mathfrak{p}_F^{\lceil \eta r \rceil} \cap F^\circ)$ ;

for  $i, j \in I$ ,  $i \neq \pm j$ , we have  $\tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{u}_{a_{i,j}} = E_{a_{i,j}}(\mathfrak{p}_F^{\lceil \eta(r-a_{i,j}(p)) \rceil})$ ;

in case (B) or (BC), for  $i \in I$ , we have  $\tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{u}_{a_i} = E_{a_i}(\mathfrak{p}_F^{\lceil \eta(r-l-a_i(p)) \rceil})$ ;

in case (BC) or (C), for  $i \in I$ , we have  $\tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{u}_{2a_i} = E_{2a_i}(\mathfrak{p}_F^{\lceil \eta(r-2a_i(p)) \rceil} \cap F_{\sigma,\varepsilon})$ .

**Proof.** Let  $i, j \in I$ ,  $i \neq \pm j$ , and  $u \in F$ . By definition, the element  $E_{a_{i,j}}(u)$  belongs to  $\tilde{\mathfrak{g}}_{p,r}$  if and only if  $u\tilde{E}_{-i,j} \in \mathfrak{p}_F^{\lceil \eta(r+a_{-i}(p)-a_j(p)) \rceil} \tilde{E}_{-i,j}$  and  $u^\sigma \tilde{E}_{-j,i} \in \mathfrak{p}_F^{\lceil \eta(r+a_{-j}(p)-a_i(p)) \rceil} \tilde{E}_{-j,i}$ ; i.e., since  $a_{-i} = -a_i$  and  $a_{-j} = -a_j$ , if and only if  $u \in \mathfrak{p}_F^{\lceil \eta(r-(a_i(p)+a_j(p)) \rceil}$ . Hence we have

$$\tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{u}_{a_{i,j}} = E_{a_{i,j}}(\mathfrak{p}_F^{\lceil \eta(r-a_{i,j}(p)) \rceil}).$$

The same proof shows that for  $i \in I$ , we have

$$\tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{z}_i = E_i(\mathfrak{p}_F^{\lceil \eta r \rceil}).$$

Suppose we are in case (B) or (BC), and let  $i \in I$  and  $u \in F$ . By definition, the element  $E_{a_i}(u)$  belongs to  $\tilde{\mathfrak{g}}_{p,r}$  if and only if  $u^\sigma \tilde{E}_{-i,0} \in \mathfrak{p}_F^{\lceil \eta(r+a_{-i}(p)-a_0(p)) \rceil} \tilde{E}_{-i,0}$

and  $u\tilde{E}_{0,i} \in \mathfrak{p}_F^{\lceil \eta(r+a_0(p)-a_i(p)) \rceil} \tilde{E}_{0,i}$ ; i.e., since  $a_{-i} = -a_i$  and  $a_0(p) = -l \geq 0$ , if and only if  $u \in \mathfrak{p}_F^{\lceil \eta(r+a_0(p)-a_i(p)) \rceil}$ . Hence we have

$$\tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{u}_{a_i} = E_{a_i}(\mathfrak{p}_F^{\lceil \eta(r-l-a_i(p)) \rceil}).$$

Suppose we are in case (BC) or (C), and let  $v \in F_{\sigma,\varepsilon}$ . By definition, the element  $E_{2a_i}(v)$  belongs to  $\tilde{\mathfrak{g}}_{p,r}$  if and only if  $v\tilde{E}_{-i,i} \in \mathfrak{p}_F^{\lceil \eta(r+a_{-i}(p)-a_i(p)) \rceil} \tilde{E}_{-i,i}$ ; i.e., since  $a_{-i} = -a_i$ , if and only if  $v \in \mathfrak{p}_F^{\lceil \eta(r-2a_i(p)) \rceil}$ . Hence we have

$$\tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{u}_{2a_i} = E_{2a_i}(\mathfrak{p}_F^{\lceil \eta(r-2a_i(p)) \rceil} \cap F_{\sigma,\varepsilon}).$$

In case (BC), the same proof shows that we have

$$\tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{z}_0 = E_0(\mathfrak{p}_F^{\lceil \eta r \rceil} \cap F^\circ).$$

The lemma is proved. ■

**3.4. Filtrations of the root subgroups.** For  $a \in \Phi$  and  $r \in \mathbb{R}$ , let  $U_{a,r} = U_{a,r}^\varphi$  be the compact subgroup of  $U_a$  defined by

$$U_{a,r} = \{g \in U_a : \varphi_a(g) \geq r\},$$

where  $\varphi = (\varphi_a)_{a \in \Phi}$  is the valuation of the root datum  $(Z, (U_a)_{a \in \Phi})$  defined in 1.5. For  $a \in \Phi$  such that  $2a \in \Phi$  (case (BC)), since  $\varphi_{2a} = 2\varphi_a|_{U_{2a}}$ , we have

$$U_{a,r} \cap U_{2a} = U_{2a,r}.$$

Put

$$\Gamma_a = \varphi_a(U_a \setminus \{1\}) \subset \mathbb{R}, \quad a \in \Phi;$$

$$\bar{\Gamma}_a = \{\varphi_a(u) : u \in U_a \setminus \{1\}, \varphi_a(u) = \sup \varphi_a(uU_{2a})\}, \quad a \in \Phi, 2a \in \Phi.$$

So if we are *not* in case (BC), we have  $\Gamma_a = v_F(F^\times)$ .

Let  $\xi$  be an element of  $F$  such that  $\xi + \xi^\sigma = 1$  and  $v_F(\xi) = l$ . Recall that if  $\sigma \neq \text{Id}$  and the residual characteristic of  $F^\circ$  is not 2, we may take  $\xi = \frac{1}{2}$ . Suppose we are in case (BC), and let  $i \in I$ . For  $u \in F$ , we put

$$\bar{u}_i(u) = u_i(u, \xi u u^\sigma) \pmod{U_{2a_i}} \in \bar{U}_{a_i}$$

and

$$\bar{\varphi}_{a_i}(\bar{u}_i(u)) = l + v_F(u).$$

Then we have

$$\bar{\Gamma}_{a_i} = \bar{\varphi}_{a_i}(\bar{U}_{a_i} \setminus \{1\})$$

and

$$\Gamma_{a_i} = \bar{\Gamma}_{a_i} \coprod \frac{1}{2} \Gamma_{2a_i}$$

(disjoint union). More explicitly, we have  $\bar{\Gamma}_{a_i} = l + \eta^{-1}\mathbb{Z}$ ,  $\Gamma_{2a_i} = \frac{1}{2}v_F(F^\circ \setminus \{0\})$  and  $\Gamma_{a_i} = \frac{1}{2}v_F(F^\times)$ .

Let  $p$  be a point of  $\mathcal{A}$ . Denote by  $\varphi_p$  the valuation  $\varphi + a(p)$  of the root datum  $(Z, (U_a)_{a \in \Phi})$ . For  $a \in \Phi$  and  $r \in \mathbb{R}$ , let  $U_{a,p,r} = U_{a,r}^{\varphi_p}$  be the compact subgroup of  $U_a$  defined by

$$U_{a,p,r} = \{g \in G : g \in U_a : \varphi_a(g) \geq r - a(p)\}.$$

For  $a \in \Phi$  such that  $2a \in \Phi$  (case (BC)) and  $r \in \mathbb{R}$ , let  $\bar{U}_{a,p,r}$  be the compact subgroup of  $\bar{U}_a$  defined by

$$\bar{U}_{a,p,r} = \{g \in G : g \in \bar{U}_a : \bar{\varphi}_a(g) \geq r - a(p)\}.$$

**3.5. Moy-Prasad filtrations of  $\mathfrak{g}$ .** Let  $p$  be a point in  $\mathcal{A}$ . For  $a \in \Phi$  and  $r \in \mathbb{R}$ , the subgroup  $U_{a,p,r}$  of  $U_a$  is the group of  $\mathfrak{o}_{F_\circ}$ -rational points of a smooth affine  $\mathfrak{o}_{F_\circ}$ -group-scheme  $\mathcal{U}_{a,p,r}$ . Its Lie algebra  $\text{Lie}(\mathcal{U}_{a,p,r})$  is an  $\mathfrak{o}_{F_\circ}$ -lattice in  $\text{Lie}(U_a)$ . If, moreover,  $2a \notin \Phi$ , we put  $\mathfrak{u}_a = \text{Lie}(\mathcal{U}_{a,p,r})$ .

For  $a \in \Phi$  such that  $2a \in \Phi$  (case (BC)), the subgroup  $\bar{U}_{a,p,r}$  of  $\bar{U}_a$  is the group of  $\mathfrak{o}_{F_\circ}$ -rational points of a smooth affine  $\mathfrak{o}_{F_\circ}$ -group-scheme  $\bar{\mathcal{U}}_{a,p,r}$ . Denote by  $\mathfrak{u}_{a,p,r} = \text{Lie}(\bar{\mathcal{U}}_{a,p,r})$  its Lie algebra; it is an  $\mathfrak{o}_{F_\circ}$ -lattice in  $\mathfrak{u}_a (= \text{Lie}(\bar{U}_a))$  and identifies with a sub- $\mathfrak{o}_{F_\circ}$ -module of  $\text{Lie}(\mathcal{U}_{a,p,r})$ . Moreover we have the decomposition

$$\text{Lie}(\mathcal{U}_{a,p,r}) = \mathfrak{u}_{a,p,r} \oplus \mathfrak{u}_{2a,p,r}.$$

Let  $X^*(\mathbf{Z})$  be the group of algebraic characters of  $\mathbf{Z}$ . Since  $Z$  splits over  $F$ , each element of  $X^*(\mathbf{Z})$  is defined over  $F$ . For  $r \in \mathbb{R}$ , let  $\mathfrak{z}_r$  be the  $\mathfrak{o}_{F_\circ}$ -lattice in  $\mathfrak{z}$  defined by

$$\mathfrak{z}_r = \{x \in \mathfrak{z} : v_F(d\chi(x)) \geq r, \forall \chi \in X^*(\mathbf{Z})\}.$$

The filtration  $(\mathfrak{g}_{p,r}^{\text{MP}})_{r \in \mathbb{R}}$  of  $\mathfrak{g}$  attached to  $p$  by Moy and Prasad [MP] is given by

$$\mathfrak{g}_{p,r}^{\text{MP}} = \mathfrak{z}_r \oplus \bigoplus_{a \in \Phi} \mathfrak{u}_{a,p,r}, \quad r \in \mathbb{R}.$$

**Remark 3.3.** The Moy-Prasad filtration of  $\mathfrak{g}$  attached to a point  $p$  in the building  $\mathcal{J}$  of  $G$  is usually defined by descent from a maximal unramified extension  $F_\circ^{\text{nr}}$  of  $F_\circ$  (the point  $p$  being canonically identified with a  $\text{Gal}(F_\circ^{\text{nr}}/F_\circ)$ -invariant point in the building of  $\mathbf{G}(F_\circ^{\text{nr}})$ ). If the group  $\mathbf{G}$  is residually split over  $F_\circ$ , the filtration  $(\mathfrak{g}_{p,r}^{\text{MP}})_{r \in \mathbb{R}}$  of  $\mathfrak{g}$  defined above is clearly the Moy-Prasad filtration attached to  $p$ . If the group  $\mathbf{G}$  is quasi-split but not residually split over  $F_\circ$  (i.e. if  $F$  is a quadratic unramified extension of  $F_\circ$ ), then  $\mathbf{G}$  splits over  $F$  and  $\mathbf{G}(F) \simeq \text{GL}(V)$ . In that case, chapter 2 implies that the filtration  $(\mathfrak{g}_{p,r}^{\text{MP}})_{r \in \mathbb{R}}$  of  $\mathfrak{g}$  defined above is the Moy-Prasad filtration attached to  $p$  (more generally, the result follows [BT2] 5.1.20, Rem. 2). Note that we can also avoid the problem by assuming directly that  $\mathbf{G}$  is residually split over  $F_\circ$  (see 2.5).

**Proposition 3.4.** *Let  $p$  be a point in  $\mathcal{J}$ . We have*

$$\mathfrak{g}_{p,r} = \mathfrak{g}_{p,r}^{\text{MP}}, \quad r \in \mathbb{R}.$$

**Proof.** We may assume that  $p \in \mathcal{A}$ . Then the proposition follows from Lemma 3.2 and the description of the root subgroups  $U_{a,p,r}$  given in 3.4.  $\blacksquare$

This proposition, together with the reduction step in chapter 2, implies Theorem 1.8.

#### 4. The general case ( $D \neq F$ )

**4.1. Reduction to the case  $D = F$ .** In this chapter, we show briefly how to generalize the main result (Theorem 1.8) to a general classical group (cf. Remark 1.1). So notation and hypothesis are those of 1.1, but we assume  $D \neq F$ .

Let  $L_\circ$  be a finite extension of  $F_\circ$  of degree a multiple of  $2d = 4$ . Put  $L = L_\circ \otimes_{F_\circ} F$  and  $D_L = L_\circ \otimes_{F_\circ} D (= L \otimes_F D)$ . Then  $D_L$  is a semisimple  $L_\circ$ -algebra with centre  $L$ , endowed with an involution  $\text{Id} \otimes \sigma$ , still denoted by  $\sigma$ . Denote by  $V_L$  the right  $D_L$ -module  $L_\circ \otimes_{F_\circ} V = L \otimes_F V$ . The form  $h$  on  $V$  extends to a non-degenerate  $\sigma$ -skew  $\varepsilon$ -hermitian form  $h_L : V_L \times V_L \rightarrow D_L$ . Put  $\tilde{G}_L = \text{Aut}_{D_L}(V_L)$  and  $G_{L_\circ} = \text{U}(h_L)$ . Then  $G_{L_\circ}$  is the group of  $L_\circ$ -rational points of the  $L_\circ$ -algebraic group  $\mathbf{G}_{L_\circ} = \mathbf{G} \times_{F_\circ} L_\circ$ .

Let us consider the *first case*:  $L$  is a field. Then  $L$  is an extension of degree  $[L_\circ : F_\circ]$  of  $F$ , and  $D_L$  is a *split* central simple  $L$ -algebra. Moreover, the restriction of  $\sigma (= \text{Id} \otimes \sigma)$  to  $L$ , say  $\sigma_1$ , is the generator of  $\text{Gal}(L/L_\circ)$ . Let us choose a simple *right*  $D_L$ -module  $M$ . We have the canonical identifications  $L = \text{End}_{D_L}(M)$  and  $D_L = \text{End}_L(M)$ . Let  $M^\sigma$  be the simple *left*  $D_L$ -module deduced from  $M$  via  $\sigma$ , i.e. the additive group  $M$  endowed with the action of  $D_L$  given by  $(a, x) \mapsto xa^\sigma$  for  $a \in D_L$ ,  $x \in M$ . The dual  $M^* = \text{Hom}_L(M, L)$  is also a simple left  $D_L$ -module — canonically identified with  $\text{Hom}_{D_L}(M, D_L)$ , cf. [BT3] 1.16. Thus we have  $L = \text{End}_{D_L}(M^*)$  and  $D_L = \text{End}_L(M^*)$ . Moreover there exists an isomorphism of  $D_L$ -modules  $s : M^\sigma \rightarrow M^*$  which is *admissible* in the following sense (cf. [BT4] 1.7, 1.8): let  $\beta_s : M \times M \rightarrow D_L$  be the  $\sigma$ -skew form given by  $\beta_s(x, y) = s(x)(y)$ ; the admissibility condition on  $s$  says there exists  $\eta \in \{\pm 1\}$  such that  $\beta_s(y, x) = \eta \beta_s(x, y)^\sigma$ . In other words,  $\beta_s$  is a  $\sigma$ -skew  $\eta$ -hermitian form on  $M$ , which is non-degenerate by construction.

Put  $V_1 = \text{Hom}_{D_L}(M, V_L)$ . It is a finite dimensional vector space over  $L$ , and the map

$$V_1 \otimes_L M \rightarrow V_L, v \otimes x \mapsto v(x)$$

is an isomorphism of (right)  $D_L$ -vector spaces, which induces a canonical identification of  $L$ -algebras (cf. [BT3] 1.16)

$$\text{End}_L(V_1) = \text{End}_{D_L}(V_L).$$

This gives an identification of  $\tilde{G}_1 = \text{Aut}_L(V_1)$  with  $\tilde{G}_L$ . Now put  $\varepsilon_1 = \varepsilon\eta$ . From [BT4] 1.10, Prop., there exists a unique  $\sigma$ -skew form  $h_1 : V_1 \times V_1 \rightarrow L$  such that

$$h(u(x), v(y)) = \beta_s(xh_1(u, v), y) = h_1(u, v)^{\sigma_1} \beta_s(x, y);$$

it is non-degenerate and  $\varepsilon_1$ -hermitian. Let also  $q_1 : V_1 \rightarrow L/L_{\sigma_1, \varepsilon_1}$  be the pseudo-quadratic form associated with  $h_1$  as in 1.1. Put  $G_1 = \text{U}(h_1)$ . It is the group of

$L_o$ -rational points of an algebraic  $L_o$ -group  $\mathbf{G}_1$  whose connected component  $\mathbf{G}_1^\circ$  is reductive, and the identification  $\tilde{G}_L = \tilde{G}_1$  induces an identification  $G_{L_o} = G_1$ .

**Remark 4.1.** The form  $h_1$  constructed above depends on the choices of  $M$  and  $s$ . The simple right  $D_L$ -module  $M$  is unique up to isomorphism. Now suppose  $M$  is fixed, and let  $s' : M^\sigma \rightarrow M^*$  be another admissible isomorphism of  $D_L$ -modules. Then  $s' = \lambda s$  for an element  $\lambda$  in  $L^\times$  such that  $\lambda^{\sigma_1} = \mu\lambda$ ,  $\mu \in \{\pm 1\}$ , and the non-degenerate  $\sigma_1$ -skew form  $h'_1 : V_1 \times V_1 \rightarrow L$  defined by  $s'_1$  is given by  $h'_1 = \lambda h_1$ ; it is  $\varepsilon'_1$ -hermitian,  $\varepsilon'_1 = \varepsilon_1 \mu$ . So  $h_1$  is well defined up to a “change of coordinates” in the sense of [BT1], 10.1.3.

Now let us consider the *second case*:  $L \simeq (L_o)^2$ . As in 2.2, we assume  $F \subset L_o$  and  $L = (L_o)^2$ . Hence  $L_o$  is an extension of even degree of  $F$ . Using the notation and identifications of 2.2, we have  $D_L = D_{L_o,1} \times D_{L_o,2}$  where  $D_{L_o,i} = \xi_i D_L$  is a central simple  $L_o$ -algebra (recall that  $\xi_1, \xi_2$  are the two minimal idempotents of  $L$ ). The involution  $\sigma (= \text{Id} \otimes \sigma)$  on  $D_L$  induces an anti-isomorphism  $D_{L_o,1} \rightarrow D_{L_o,2}$  (resp.  $D_{L_o,2} \rightarrow D_{L_o,1}$ ), still denoted by  $\sigma$ . For  $a \in D_{L_o,i}$ , we have  $(a^\sigma)^\sigma = a$ , and for  $(a, b) \in D_L$ , we have  $(a, b)^\sigma = (b^\sigma, a^\sigma)$ . We also have

$$\text{End}_{D_L}(V_L) = \text{End}_{D_{L_o,1}}(V_{L_o,1}) \times \text{End}_{D_{L_o,2}}(V_{L_o,2})$$

with  $\text{End}_{D_{L_o,i}}(V_{L_o,i}) = \xi_i \text{End}_{D_L}(V_L)$ . Moreover the map  $v_1 \mapsto h_L(v_1, \cdot)$  induces a  $\sigma$ -isomorphism  $\varphi$  from the right  $D_{L_o,1}$ -module  $V_{L_o,1}$  to the left  $D_{L_o,2}$ -module  $\text{Hom}_{D_{L_o,2}}(V_{L_o,2}, D_{L_o,2})$ . For  $g = (g_1, g_2) \in \tilde{G}_L = \text{Aut}_{D_{L_o,1}}(V_{L_o,1}) \times \text{Aut}_{D_{L_o,2}}(V_{L_o,2})$ , we have  $g \in G_{L_o}$  if and only if

$$g_2 = {}^t \varphi^{-1} \circ {}^t g_1^{-1} \circ \varphi^t;$$

so the map  $\tilde{G}_L \rightarrow \text{Aut}_{D_{L_o,1}}(V_{L_o,1})$ ,  $(g_1, g_2) \mapsto g_1$  by restriction gives an isomorphism of groups  $\iota : G_{L_o} \rightarrow \text{Aut}_{D_{L_o,0}}(V_{L_o,1})$ . Let us choose a simple *right*  $D_{L_o,1}$ -module  $M_1$  (since  $D_{L_o,1}$  is a quotient of  $D_L$ ,  $M$  is also a simple  $D_L$ -module). Put  $V_1 = \text{Hom}_{D_{L_o,1}}(M, V_{L_o,1})$ . From the previous case, we have a canonical identification of  $G_1 = \text{Aut}_{L_o}(V_1)$  with  $\text{Aut}_{D_{L_o,0}}(V_{L_o,1})$ . Hence we obtain an isomorphism of groups  $\iota : G_{L_o} \rightarrow G_1$  which is defined over  $L_o$ .

**4.2. Unramified descent: buildings.** Suppose moreover that the extension  $L_o/F_o$  is unramified (we could also suppose that the reductive  $L_o$ -group  $\mathbf{G}^\circ \times_{F_o} L_o$  is quasi-split, or even residually split, but this is not necessary). Hence  $L$  is field if and only if the extension  $F/F_o$  is trivial or totally ramified. Let  $\mathcal{J} = \mathcal{J}(\mathbf{G}, F_o)$  be the (non-enlarged) building of  $G$  — it can be viewed as the building of a valuated root datum as in 1.5, cf. [BT4] 1.14, 1.15 —, and let  $\mathcal{J}_{L_o} = \mathcal{J}(\mathbf{G} \times_{F_o} L_o, L_o)$  be that of  $G_{L_o}$ . From [BT4] 4.1, there exists a unique  $G$ -equivariant and affine map  $\mathcal{J} \rightarrow \mathcal{J}_{L_o}$  whose image is the subset  $(\mathcal{J}_{L_o})^\Gamma$  formed of those points which are fixed by the Galois group  $\Gamma = \text{Gal}(L_o/F_o)$ .

Let  $\tilde{\mathcal{J}}^1$  be the enlarged building of  $\tilde{G}$ ,  $\mathcal{N}^1$  be the set of ( $D$ -)norms on  $V$  ([BT3] 1.1), and  $\mathcal{L}^1$  be the set of ( $\mathfrak{o}_D$ )-lattice-functions in  $V$  ([BL] 2.1), where  $\mathfrak{o}_D$  denotes the ring of integers of  $D$ . From [BT4] and [BL], by replacing  $F$  by  $D$ , the results of 1.6 remain true. In particular, there exists a  $\tilde{G}$ -equivariant

affine map  $\tilde{\mathcal{J}}^1 \rightarrow \mathcal{L}^1$ ,  $p \mapsto \Lambda_p$ , which is bijective and unique up to translation by a real number; where the affine structure on  $\mathcal{L}^1$  is given by that on  $\mathcal{N}^1$  via the  $\tilde{G}$ -equivariant bijection  $\mathcal{N}^1 \rightarrow \mathcal{L}^1$ ,  $\alpha \mapsto \Lambda_\alpha$  defined by

$$\Lambda_\alpha(r) = \{v \in V : \alpha(v) \geq r\}, \quad r \in \mathbb{R}.$$

This induces a bijective,  $G$ -equivariant and affine map  $\mathcal{J} \rightarrow \mathcal{L}_{h,q}^1$ , which is the unique  $G$ -equivariant affine map from  $\mathcal{J}$  to  $\mathcal{L}_{h,q}^1$ ; where  $\mathcal{L}_{h,q}^1$  is the  $G$ -stable convex subset of  $\mathcal{L}^1$  corresponding to the set of MM-norms for  $(h, q)$  via the bijection  $\mathcal{N}^1 \rightarrow \mathcal{L}^1$ ,  $\alpha \mapsto \Lambda_\alpha$ . In particular,  $\mathcal{J}$  identifies with a  $G$ -stable convex subset of the building  $\tilde{\mathcal{J}}^1$ .

Let denote by  $\mathcal{J}_{L_o,1} = \mathcal{J}(\mathbf{G}_1, L_o)$  the building of  $G_1$ .

If  $L$  is a field, the identification  $G_{L_o} = G_1$  gives an identification  $\mathcal{J}_{L_o} = \mathcal{J}_{L_o,1}$ . The actions of  $\Gamma$  on  $G_{L_o}$  and  $\mathcal{J}_{L_o}$  give some actions on  $G_1$  and  $\mathcal{J}_{L_o,1}$ . Note that we also have an action of  $\Gamma$  on  $\text{End}_{D_1}(V_1) = \text{End}_{D_L}(V_L)$  — even if  $\Gamma$  does not act on  $V_1$ , nor on  $D_1$ .

Now if  $L = L_o^2$ , the isomorphism  $\iota : G_{L_o} \rightarrow G_1$  gives a bijection  $\mathcal{J}_{L_o} \rightarrow \mathcal{J}_{1,L_o}$ , still denoted by  $\iota$ . The action of  $\Gamma$  on  $G_{L_o} \subset \text{Aut}_{D_{L_o,1}}(V_{L_o,1}) \times \text{Aut}_{D_{L_o,2}}(V_{L_o,2})$  is described as in Remark 2.1 (cf. [BT4] 1.13, Remarque). In particular, the subgroup  $\Gamma' = \text{Gal}(L_o/F)$  of  $\Gamma$  acts on  $G_1 = \text{Aut}_{D_{L_o,1}}(V_{L_o,1})$ , and for  $\gamma \in \Gamma \setminus \Gamma'$  and  $g = (g_1, g_2) \in G_{L_o}$ , we have

$$g^\gamma = (g_2^\gamma, g_1^\gamma) = (({}^t\varphi^{-1} \circ {}^t g_1^{-1} \circ \varphi^t)^\gamma, g_1^\gamma);$$

here the automorphism  $\gamma \otimes \text{Id}$  of  $\text{End}_{D_L}(V_L) = L_o \otimes_{F_o} \text{End}_D(V)$ , denoted by  $g \mapsto g^\gamma$ , induces two  $\gamma$ -isomorphisms  $\text{End}_{D_{L_o,1}}(V_{L_o,1}) \rightarrow \text{End}_{D_{L_o,2}}(V_{L_o,2})$  and  $\text{End}_{D_{L_o,2}}(V_{L_o,2}) \rightarrow \text{End}_{D_{L_o,1}}(V_{L_o,1})$ , still denoted by  $g \mapsto g^\gamma$ . This makes the action of  $\Gamma$  on  $G_1$ , identified with  $G_{L_o}$  via  $\iota$ , explicit. We also have an action of  $\Gamma$  on  $\mathcal{J}_{L_o,1}$ , identified with  $\mathcal{J}_{L_o}$  via  $\iota$ .

In both cases, we obtain a bijection  $\mathcal{J} \rightarrow (\mathcal{J}_{L_o,1})^\Gamma$ , which is the unique  $G$ -equivariant and affine map  $\mathcal{J} \rightarrow \mathcal{J}_{L_o,1}$ , and can be described in terms of norms (or lattice-functions) as in 2.3.

**4.3. Filtrations of the Lie algebra.** Put  $\tilde{\mathfrak{g}} = \text{End}_D(V)$  and  $\mathfrak{g} = \text{Lie}(G)$ . For  $p \in \tilde{\mathcal{J}}^1$ , denote by  $\tilde{\mathfrak{g}}_{p,r}$  the square  $\mathfrak{o}_D$ -lattice-function on  $V$  defined by (cf. 1.7)

$$\tilde{\mathfrak{g}}_{p,r} = \text{End}(\Lambda_p)(r), \quad r \in \mathbb{R};$$

it depends only on the projection of  $p$  to the non-enlarged building  $\tilde{\mathcal{J}}$  of  $\tilde{G}$ . For  $p \in \mathcal{J}$ , let  $\mathfrak{g}_{p,r}$  be the  $\mathfrak{o}_{F_o}$ -lattice function in  $\mathfrak{g}$  defined by

$$\mathfrak{g}_{p,r} = \tilde{\mathfrak{g}}_{p,r} \cap \mathfrak{g}, \quad r \in \mathbb{R},$$

and let  $(\mathfrak{g}_{p,r}^{\text{MP}})_{r \in \mathbb{R}}$  the Moy-Prasad filtration of  $\mathfrak{g}$  attached to  $p$ . We claim that Theorem 1.8 (proved for  $D = F$ ) remains true for  $D \neq F$ : for all  $p \in \mathcal{J}$ , we have

$$(1) \quad \mathfrak{g}_{p,r} = \mathfrak{g}_{p,r}^{\text{MP}}, \quad r \in \mathbb{R}.$$

Put  $\tilde{\mathfrak{g}}_L = \text{End}_{D_L}(V_L)$  and  $\mathfrak{g}_L = \text{Lie}(G_{L_o})$ . Thus we have  $\tilde{\mathfrak{g}}_L = L_o \otimes_{F_o} \mathfrak{g} = L \otimes_F \mathfrak{g}$  and  $\mathfrak{g}_L = L_o \otimes_{F_o} \mathfrak{g}$ . Put also  $\tilde{\mathfrak{h}} = \text{End}_{L_o}(V_1)$  and  $\mathfrak{h} = \text{Lie}(G_1)$ . The



identification  $\tilde{\mathfrak{g}}_L = \tilde{\mathfrak{h}}$  induces an identification  $\mathfrak{g}_L = \mathfrak{h}$ , and an action of  $\Gamma$  on  $\mathfrak{h}$  (cf. 4.2) such that  $\mathfrak{h}^\Gamma = \mathfrak{g}$ . For  $p \in \mathcal{J}_{L_o,1}$ , denote by  $\mathfrak{h}_p$  the square  $\mathfrak{o}_{L_o}$ -lattice-function on  $V_1$  defined as in 1.7 if  $L$  is a field, and as in [BL] if  $L = (L_o)^2$ . Let also  $(\mathfrak{h}_{p,r}^{\text{MP}})_{r \in \mathbb{R}}$  be the filtration of  $\mathfrak{h}$  attached to  $p$  by Moy and Prasad.

Let  $p$  be a point in  $\mathcal{J}$ , identified with a point in  $(\mathcal{J}_{L_o,1})^\Gamma$  via the canonical bijection  $\mathcal{J} \rightarrow (\mathcal{J}_{L_o,1})^\Gamma$  (cf. 4.2). By construction, we have the descent property:

$$(\mathfrak{h}_{p,r}^{\text{MP}})^\Gamma = \mathfrak{g}_{p,r}^{\text{MP}}, \quad r \in \mathbb{R}.$$

From [BL] and Theorem 1.8, we have

$$\mathfrak{h}_{p,r} = \mathfrak{h}_{p,r}^{\text{MP}}, \quad r \in \mathbb{R}$$

So to obtain (1), we just need to prove the descent property:

$$(2) \quad (\mathfrak{h}_{p,r})^\Gamma = \mathfrak{g}_{p,r}, \quad r \in \mathbb{R}.$$

This can be done following 2.4 (the proof is essentially the same, details are left to the reader). So Theorem 1.8 is true even if  $D \neq F$ .

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