

Degenerate Perturbations of a Two-Phase Transition Model

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We study the Γ -convergence as $\varepsilon \rightarrow 0^+$ of the family of degenerate functionals

$$Q_\varepsilon(u) = \varepsilon \int_\Omega \langle ADu, Du \rangle dx + \frac{1}{\varepsilon} \int_\Omega W(u) dx$$

where $A(x)$ is a symmetric, *non negative* $n \times n$ matrix on Ω (i.e. $\langle A(x)\xi, \xi \rangle \geq 0$ for all $x \in \Omega$ and $\xi \in \mathbb{R}^n$) with regular entries and $W : \mathbb{R} \rightarrow [0, +\infty)$ is a double well potential having two isolated minimum points. Moreover, under suitable assumptions on the matrix A , we obtain a minimal interface criterion for the Γ -limit functional exploiting some tools of Analysis in Carnot-Carathéodory spaces. We extend some previous results obtained for the non degenerate perturbations Q_ε in the classical gradient theory of phase transitions.

Keywords: Phase transitions, Γ -convergence, Carnot-Carathéodory spaces, minimal interface criterion

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1. Introduction

In this paper we study the variational convergence for a family of anisotropic degenerate perturbations of a non convex functional which arises in the theory of two-phase transitions. Let us consider the family of functionals

$$Q_\varepsilon(u) = \varepsilon \int_\Omega q(x, Du) dx + \frac{1}{\varepsilon} \int_\Omega W(u) dx, \quad \varepsilon > 0, \quad (1)$$

where Ω is a smooth, bounded open set of \mathbb{R}^n , $u : \Omega \rightarrow \mathbb{R}$, and $W : \mathbb{R} \rightarrow [0, +\infty)$ is a double-well potential that supports two phases of the model (i.e. W has two isolated global minimum points). For the sake of simplicity we assume here $W(u) = u^2(1 - u)^2$ but W can be more general (see Section 3). The integral perturbation with integrand

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function $q : \Omega \times \mathbb{R}^n \rightarrow [0, +\infty)$ is a term that penalizes the formation of interfaces in the model and it may degenerate in the sense that q could vanish on big parts of $\Omega \times \mathbb{R}^n$.

Functionals of type (1) have arisen in a variety of applications as, for instance, in the study of stable configurations in the context of Van der Waals-Cahn-Hilliard theory of phase transitions (see [16], [33]). This model can be described by a fluid under isothermal conditions which is confined in a bounded container Ω and whose Gibbs free energy per unit volume is a prescribed non convex function W of the density function u . The space of admissible smooth densities is the class

$$\mathcal{A} = \left\{ u : \Omega \rightarrow [0, 1] : u \in C^1(\Omega), \int_{\Omega} u \, dx = V \right\},$$

where $0 < V < |\Omega|$ is the given total mass of the fluid in Ω .

In the classic isotropic model to every density u one can associate the energy

$$\mathcal{E}_{\varepsilon}(u) = \varepsilon Q_{\varepsilon}(u)$$

where

$$q(x, \xi) = |\xi|^2 \quad \text{for all } x \in \Omega \text{ and } \xi \in \mathbb{R}^n, \quad (2)$$

and $\varepsilon > 0$ is a small parameter (see [33] for a physical motivation and also [1] for a simple nice introduction to the subject). The problem of determining the stable configurations is the study of the variational problem

$$\inf\{\mathcal{E}_{\varepsilon}(u) : u \in \mathcal{A}\},$$

and the mathematical problem is then to study the asymptotic behavior as $\varepsilon \downarrow 0$ of the solutions u_{ε} of these problems or equivalently, as the sets of the solutions agree, the ones of the rescaled problems

$$\inf\{Q_{\varepsilon}(u) : u \in \mathcal{A}\}. \quad (P_{\varepsilon})$$

A relevant variational convergence which turned out to be very useful to this goal is the Γ -convergence introduced by De Giorgi (see [20] and [19] for an introduction to this topic). More precisely, the functional $Q_{\varepsilon} : \mathcal{A} \rightarrow [0, +\infty]$ can be extended, with a slight abuse of notation, to a functional $Q_{\varepsilon} : L^1(\Omega) \rightarrow [0, +\infty]$ defined $+\infty$ outside \mathcal{A} , and now the variational problem is the existence and characterization of $Q = \Gamma(L^1(\Omega)) - \lim_{\varepsilon \downarrow 0} Q_{\varepsilon}$.

In the isotropic scalar case, i.e. when q is as in (2), the existence and characterization of the Γ -limit functional was first conjectured by De Giorgi and Franzoni ([20]). Then, this variational problem was studied in some particular situations by Gurtin ([33]), who also proposed several conjectures (see also [34]). Following a Gurtin's conjecture and using previous Γ -convergence arguments contained in [42], Modica ([41]) proved that

$$Q(u) = \begin{cases} 2\alpha|\partial E|(\Omega) & \text{if } u = \chi_E \in \text{BV}(\Omega), |E \cap \Omega| = V \\ +\infty & \text{otherwise,} \end{cases} \quad (3)$$

where $|\partial E|(\Omega)$ is the *perimeter* of E in Ω , $\text{BV}(\Omega)$ is the set of functions with bounded variation in Ω (see [6]) and

$$\alpha = \int_0^1 \sqrt{W(s)} \, ds, \quad (4)$$

(see also [49]). Let us recall that by a well-known De Giorgi's result

$$|\partial E|(\Omega) = \mathcal{H}^{n-1}(\partial^*E \cap \Omega)$$

where $\partial^*E \subset \partial E$ is the *reduced boundary* of E and \mathcal{H}^{n-1} is the $(n - 1)$ -dimensional Hausdorff measure in \mathbb{R}^n (see [6]).

Moreover, in [41] Modica also proved the existence of a sequence $(u_{\varepsilon_h})_{h \in \mathbb{N}}$ of solutions of the relaxed problems (P_{ε_h}) strongly converging in $L^1(\Omega)$ as $\varepsilon_h \downarrow 0$ to a function $u_0 = \chi_E$ solution of the geometric problem

$$\inf\{2\alpha\mathcal{H}^{n-1}(\partial^*E \cap \Omega) : \chi_E \in \text{BV}(\Omega), |E \cap \Omega| = V\}. \quad (5)$$

In particular, this result yields a “selection criterion” singling out a solution u_0 among the infinite collection of the ones of the unperturbed classical physical problem

$$\min \left\{ \int_{\Omega} W(u) dx : u \in L^1(\Omega), \int_{\Omega} u dx = V \right\} \quad (6)$$

(see [33] for a discussion of the physical meaning of this problem).

These results were generalized by Bouchitté ([14]) and Owen-Sternberg ([47]) to anisotropic functionals Q_{ε} allowing the function q to be very general but always assuming at least a coercivity property which, in the case when q is a positive quadratic form, i.e.

$$q(x, \xi) = \langle A(x)\xi, \xi \rangle \quad x \in \Omega \quad \text{and} \quad \xi \in \mathbb{R}^n, \quad (7)$$

with $A(x)$ symmetric $n \times n$ matrix, amounts to the existence of a constant $\lambda_0 > 0$ such that

$$\langle A(x)\xi, \xi \rangle \geq \lambda_0|\xi|^2 \quad \text{for all } x \in \Omega \quad \text{and} \quad \xi \in \mathbb{R}^n. \quad (8)$$

Under this hypothesis Bouchitté proved in [14] that there exists a limit solution $u_0 = \chi_E$ which solves the following geometric problem

$$\inf \left\{ 2\alpha \int_{\Omega \cap \partial^*E} \langle A(x)\nu_E(x), \nu_E(x) \rangle^{1/2} d\mathcal{H}^{n-1} : \chi_E \in \text{BV}(\Omega), |E \cap \Omega| = V \right\} \quad (9)$$

where ν_E denotes the *generalized outward normal* to E (see [6]) and α is the constant (4).

The isotropic vector valued-case, i.e. if $u : \Omega \rightarrow \mathbb{R}^p$ and $q : \Omega \times \mathbb{R}^{pn} \rightarrow [0, +\infty)$ is as in (2), was studied by Sternberg ([49]), by Kohn and Sternberg ([38]), by Baldo [9] and by Fonseca and Tartar ([22]). The anisotropic vector-valued case was also studied by Barroso and Fonseca ([10]) and recently by Ambrosio, Colli Franzone and Savaré when a degeneration in the potential W is admitted too ([5]). Moreover, other variations of the functionals Q_{ε} in (1) have been studied by Alberti and Bellettini ([2] and [3]), Alberti, Bouchitté and Seppecher ([4]) and Fonseca and Mantegazza ([21]). Finally, Baldi and Franchi ([8]) informed us of a Γ -convergence result for the family of functionals $(Q_{\varepsilon})_{\varepsilon}$ in the special case when $q(x, \xi) = |\xi|^2\omega(x)^{1-2/n}$ and ω is a strong- A_{∞} weight on \mathbb{R}^n .

In this paper we obtain Γ -convergence results in the case when $q : \Omega \times \mathbb{R}^n \rightarrow [0, +\infty)$ is a non negative quadratic form, i.e. q is as in (7) but the matrix $A(x)$ is only non negative definite on Ω ; in particular (8) may fail. More precisely, suppose that there exists a $m \times n$ matrix $C(x) = [c_{ji}(x)]$ with Lipschitz continuous entries on \mathbb{R}^n such that

$$A(x) = C(x)^T C(x) \quad \text{for all } x \in \Omega, \quad (10)$$

where $C(x)^T$ denotes the transposed matrix of $C(x)$, define the A -variation in Ω of a function $f \in L^1(\Omega)$ as

$$|Df|_A(\Omega) = \sup \left\{ \int_{\Omega} f \operatorname{div}(C^T \psi) dx : \psi = (\psi_1, \dots, \psi_m) \text{ is such that} \right. \\ \left. C^T \psi \in \operatorname{Lip}_0(\Omega; \mathbb{R}^n), |\psi| \leq 1 \right\}.$$

Note that $|Df|_A(\Omega)$ does not depend on the particular factorization (10) (see (27), Proposition 2.1 and Remark 2.3). Finally define

$$\operatorname{BV}_A(\Omega) = \{f \in L^1(\Omega) : |Df|_A(\Omega) < +\infty\}.$$

In a natural way the A -perimeter measure in Ω of a measurable set $E \subset \mathbb{R}^n$ is

$$|\partial E|_A(\Omega) = |D\chi_E|_A(\Omega). \quad (11)$$

Now, let $Q : L^1(\Omega) \rightarrow [0, +\infty]$ be the functional

$$Q(u) = \begin{cases} 2\alpha |\partial E|_A(\Omega) & \text{if } u = \chi_E \in \operatorname{BV}_A(\Omega), |E \cap \Omega| = V \\ +\infty & \text{otherwise,} \end{cases} \quad (12)$$

where α is the constant (4).

Then, under assumption (10) we prove that

$$Q = \Gamma(L^1(\Omega)) - \lim_{\varepsilon \downarrow 0} Q_\varepsilon \quad (13)$$

for every bounded open set $\Omega \subset \mathbb{R}^n$ with boundary of class C^2 (see Theorem 3.5 and Remark 3.6). The proof relies on some preliminary results that have been established in [43].

The result (13) shows that the definition of the A -perimeter measure $|\partial E|_A$ is stable with respect to Γ -convergence. Indeed, only assumption (10), which is satisfied for instance by all matrices $A(x)$ with smooth entries (see Lemma 2.2), is needed in order to assure the Γ -convergence result.

Under the weak assumption (10) only, the result (13) does not provide a significative selection criterion to single out preferred solutions among the ones of the limit geometric problem

$$\inf \{2\alpha |\partial E|_A(\Omega) : E \subset \mathbb{R}^n, |E \cap \Omega| = V\}, \quad (14)$$

because a minimizing sequence $(u_{\varepsilon_h})_{h \in \mathbb{N}}$ of the problems (P_{ε_h}) need not be relatively compact in $L^1(\Omega)$ if A vanishes on big parts of Ω .

On the other hand, we are able to prove a selection criterion providing a control to this lack of coerciveness by means of a *Carnot-Carathéodory* (hereafter cc) distance d induced by the matrix A . This results also requires that the geometry of Ω be smooth in the metric space (\mathbb{R}^n, d) .

Namely, let $X(x) = (X_1(x), \dots, X_m(x))$ be the family of Lipschitz continuous vector fields whose coefficients are the rows of the matrix $C(x)$ in (10), i.e.

$$X_j(x) = \sum_{i=1}^n c_{ji}(x) \partial_i, \quad x \in \mathbb{R}^n, j = 1, \dots, m, \quad (15)$$

and call X -subunit a Lipschitz continuous curve $\gamma : [0, T] \rightarrow \mathbb{R}^n$ such that

$$\langle \dot{\gamma}(t), \xi \rangle^2 \leq \sum_{j=1}^m \langle X_j(\gamma(t)), \xi \rangle^2 \quad \text{for a.e. } t \in [0, T] \text{ and for all } \xi \in \mathbb{R}^n, \quad (16)$$

denoting $\langle \cdot, \cdot \rangle$ the scalar product in \mathbb{R}^n . The cc distance between the points $x, y \in \mathbb{R}^n$ is defined as

$$d(x, y) = \inf\{T \geq 0 : \text{there exists an } X\text{-subunit curve } \gamma : [0, T] \rightarrow \mathbb{R}^n \text{ such that } \gamma(0) = x \text{ and } \gamma(T) = y\}. \quad (17)$$

If the above set is empty put $d(x, y) = +\infty$. If d is finite on \mathbb{R}^n it turns out to be a metric and the metric space (\mathbb{R}^n, d) is called *cc space*.

Under the hypotheses

- (H1) X is a family of Hörmander or Grushin's type vector fields (see respectively Example 5.1 and Example 5.2 in Section 5) and
- (H2) Ω is a bounded open set of class C^2 and a Boman domain in (\mathbb{R}^n, d) (see Definition 5.4)

we prove that the relaxed problem of (P_ε) has a solution u_ε in the anisotropic Sobolev space $H_X^1(\Omega)$, the set of functions $f \in L^2(\Omega)$ such that $X_j f \in L^2(\Omega)$ ($j = 1, \dots, m$) in distributional sense (see (69) and Theorem 4.3). Moreover, a sequence of solutions $(u_{\varepsilon_h})_{h \in \mathbb{N}}$ is relatively compact in $L^1(\Omega)$, and using the Γ -convergence result (13) we show that, up to a subsequence, it strongly converges in $L^1(\Omega)$ to a solution $u_0 = \chi_E$ of problem (14) (see Theorem 5.8).

We stress that the degeneration makes things deeply different from the coercive case. Indeed, if the matrix $A(x)$ is not positive definite in Ω the domain of the functional Q defined in (12) may be bigger than the domain of the one in (3). Moreover, Rellich-Kondrachov compactness theorems for anisotropic Sobolev spaces are critical and depend on the cc geometry of the domain Ω .

Finally, a natural question is whether the geometric problem (14) can be translated in a minimum problem involving Hausdorff measures induced by the cc distance d . A representation of the perimeter measure $|\partial E|_A$ in terms of Hausdorff measures is in general not possible (see Section 5 Example 5.15 Remark 5.19), but in some special cases such a representation is available (see Section 5 Example 5.9).

We would like to notice that the use of cc metrics to control the lack of coerciveness of a quadratic form is well known in the literature, specially in applications in the setting of degenerate elliptic PDE's (see, for instance, [25], [26], [23], [24], [17] and references therein). In this paper we show that such metrics can be useful also in the study of some functionals of Calculus of Variations.

We give a short abstract of the paper. In Section 2 we introduce our notation and some preliminary technical results. In Section 3 we prove the Γ -convergence results for the involved perturbed functionals and in Section 4 we study the asymptotic behavior of their minimizers and minima. Finally, in Section 5 we give some examples where our main results apply.

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2. Definitions and preliminary results

Let $X = (X_1, \dots, X_m)$ be a family of locally Lipschitz continuous vector fields of the form (15). Let us denote the matrix of their coefficients

$$C(x) = [c_{ji}(x)]_{j=1, \dots, m; i=1, \dots, n}, \quad (18)$$

and let $d_X \equiv d : \mathbb{R}^n \times \mathbb{R}^n \rightarrow [0, +\infty]$ be the cc metric induced by X according to (17). The following X -connectivity assumption

(Xc) the metric d is finite and the identity map $\text{Id} : (\mathbb{R}^n, d) \rightarrow (\mathbb{R}^n, |\cdot|)$ is a homeomorphism,

will be discussed in Section 5. In this section we shall introduce some functional spaces associated with vector fields and recall some properties of cc spaces.

We denote by X_j^* the operator formally adjoint to X_j in $L^2(\mathbb{R}^n)$, that is the operator which for all $\varphi, \psi \in C_0^\infty(\mathbb{R}^n)$ satisfies

$$\int_{\mathbb{R}^n} \varphi X_j \psi \, dx = \int_{\mathbb{R}^n} \psi X_j^* \varphi \, dx.$$

Let $\Omega \subset \mathbb{R}^n$ be an open set. If $f \in C^1(\Omega)$ and $\varphi \in C^1(\Omega; \mathbb{R}^m)$, define the X -gradient and X -divergence

$$Xf := (X_1 f, \dots, X_m f), \quad \text{div}_X(\varphi) := - \sum_{j=1}^m X_j^* \varphi_j.$$

If $1 \leq p \leq \infty$ we can introduce the anisotropic Sobolev space

$$\begin{aligned} \mathbb{H}_X^{1,p}(\Omega) = \{ f \in L^p(\Omega) : \text{there exists } X_j f \in L^p(\Omega) \text{ for } j = 1, \dots, m, \\ \text{in distributional sense} \}. \end{aligned} \quad (19)$$

It is well known that $\mathbb{H}_X^{1,p}(\Omega)$ endowed with the norm

$$\|u\|_{\mathbb{H}_X^{1,p}(\Omega)} = \|u\|_{L^p(\Omega)} + \sum_{j=1}^m \|X_j u\|_{L^p(\Omega)}$$

is a Banach space. We shall write $\mathbb{H}_X^1(\Omega) := \mathbb{H}_X^{1,2}(\Omega)$.

We introduce the functions with *bounded variation* with respect to the vector fields X . Let

$$F(\Omega; \mathbb{R}^m) := \{ \varphi \in C_0^1(\Omega; \mathbb{R}^m) : |\varphi(x)| \leq 1 \text{ for all } x \in \Omega \}, \quad (20)$$

and if $f \in L^1(\Omega)$ define

$$\|Xf\|(\Omega) := \sup_{\varphi \in F(\Omega; \mathbb{R}^m)} \int_{\Omega} f \, \text{div}_X(\varphi) \, dx < +\infty. \quad (21)$$

The space of the functions with bounded X -variation is

$$\text{BV}_X(\Omega) := \{f \in L^1(\Omega) : \|Xf\|(\Omega) < +\infty\}. \quad (22)$$

A measurable set $E \subset \mathbb{R}^n$ is of *locally finite X -perimeter* (or an *X -Caccioppoli set*) if $\chi_E \in \text{BV}_X(U)$ for any open set $U \Subset \mathbb{R}^n$, namely if

$$|\partial E|_X(U) := \|X\chi_E\|(U) < +\infty. \quad (23)$$

By means of Riesz representation Theorem one can prove that if $f \in \text{BV}_X(\Omega)$ then $\|Xf\|$ is a Radon measure on Ω . Moreover, the total variation is lower semicontinuous with respect to the $L^1(\Omega)$ convergence, i.e. if $f, f_k \in L^1(\Omega)$, $k \in \mathbb{N}$, and $f_k \rightarrow f$ in $L^1(\Omega)$ then

$$\liminf_{k \rightarrow \infty} \|Xf_k\|(\Omega) \geq \|Xf\|(\Omega). \quad (24)$$

Finally, the X -perimeter has the following representation. If $E \subset \mathbb{R}^n$ is an X -Caccioppoli set with C^1 boundary then

$$|\partial E|_X(\Omega) = \int_{\partial E \cap \Omega} |Cn| d\mathcal{H}^{n-1}, \quad (25)$$

where $n(x)$ is the Euclidean normal to ∂E at x and $C(x)$ is the matrix in (18).

We now recall the definition of the space of functions with bounded variation with respect to a symmetric, non negative matrix, space introduced in [28] (see also [12] for some general motivations in the case when the matrix is positive definite).

Let $A(x)$ be a symmetric, non negative $n \times n$ matrix defined for $x \in \Omega$. Let $V_x \subset \mathbb{R}^n$ be the range of $A(x)$, i.e. $V_x = \{A(x)\xi : \xi \in \mathbb{R}^n\}$. Denote the linear map associated with $A(x)$ by $L_x : V_x \rightarrow V_x$, i.e. $L_x(\xi) = A(x)\xi$ for all $x \in \Omega$ and $\xi \in V_x$. The map L_x is invertible and it can be easily checked that

$$|v|_x := \langle v, L_x^{-1}v \rangle^{1/2}, \quad v \in V_x$$

is a norm on V_x . Let

$$F_A(\Omega) := \{\psi \in \text{Lip}_0(\Omega; \mathbb{R}^n) : \psi(x) \in V_x \text{ and } |\psi(x)|_x \leq 1 \text{ for all } x \in \Omega\}, \quad (26)$$

and define

$$|Df|_A(\Omega) := \sup_{\psi \in F_A(\Omega)} \int_{\Omega} f \operatorname{div}(\psi) dx, \quad |\partial E|_A(\Omega) := |D\chi_E|_A(\Omega) \quad (27)$$

and

$$\text{BV}_A(\Omega) := \{f \in L^1(\Omega) : |Df|_A(\Omega) < +\infty\}. \quad (28)$$

An interesting relation between the spaces $\text{BV}_X(\Omega)$ and $\text{BV}_A(\Omega)$ is given by the following result (see [28, Proposition 2.1.7 and Remark 2.1.8]).

Proposition 2.1. *If $A(x) = C(x)^T C(x)$ for all $x \in \Omega$ for some $m \times n$ -matrix C with locally Lipschitz continuous entries, then $\text{BV}_X(\Omega) = \text{BV}_A(\Omega)$, the total variations in (21) and (27) are equal, and moreover*

$$\|Xf\|(\Omega) = |Df|_A(\Omega) = \inf \left\{ \liminf_{h \rightarrow \infty} \int_{\Omega} \langle ADf_h, Df_h \rangle^{1/2} dx : (f_h)_{h \in \mathbb{N}} \subset C^1(\Omega), \right. \\ \left. f_h \rightarrow f \text{ in } L^1(\Omega) \right\}. \quad (29)$$

The factorization $A = C^T C$, the matrix C having Lipschitz continuous entries, is not always possible. The following lemma gives a sufficient condition (see for instance [48, Theorem 5.2.3]).

Lemma 2.2. *Let $A(x)$ be a symmetric, non negative $n \times n$ -matrix with entries of class $C^2(\mathbb{R}^n)$ and assume there exists $\Lambda_0 > 0$ such that*

$$\left| \left\langle \frac{\partial^2 A}{\partial x_i^2}(x) \xi, \xi \right\rangle \right| \leq \Lambda_0 |\xi|^2 \quad \text{for all } x, \xi \in \mathbb{R}^n \text{ and } i = 1, \dots, n. \quad (30)$$

Then there exists a symmetric $n \times n$ -matrix $C(x)$ with Lipschitz continuous entries such that $A(x) = C(x)^T C(x)$ for all $x \in \mathbb{R}^n$.

Remark 2.3. If $A(x) = C(x)^T C(x)$ definition (27) can be equivalently given as

$$|Df|_A(\Omega) = \sup \left\{ \int_{\Omega} f \operatorname{div}(C^T \psi) dx : \psi = (\psi_1, \dots, \psi_m) \text{ is such that } C^T \psi \in \operatorname{Lip}_0(\Omega; \mathbb{R}^n), |\psi| \leq 1 \right\}.$$

Moreover, if A is positive definite on Ω , i.e. there exists a constant $\lambda_0 > 0$ such that

$$\langle A(x) \xi, \xi \rangle \geq \lambda_0 |\xi|^2 \quad \text{for all } x \in \Omega \text{ and } \xi \in \mathbb{R}^n,$$

then $\operatorname{BV}_A(\Omega) = \operatorname{BV}(\Omega)$ (see [28]). On the other hand, the inclusion $\operatorname{BV}(\Omega) \subset \operatorname{BV}_A(\Omega)$ always holds but it may be strict (see Remark 5.12).

We turn back to cc metrics and recall some results that will be needed. Consider a cc space (\mathbb{R}^n, d) . A function $f : (\mathbb{R}^n, d) \rightarrow \mathbb{R}$ is L -Lipschitz if

$$|f(x) - f(y)| \leq Ld(x, y) \quad (31)$$

for all $x, y \in \mathbb{R}^n$. In this case we shall write $f \in \operatorname{Lip}(\mathbb{R}^n, d)$. The infimum of the constants L such that (31) holds will be denoted by $\operatorname{Lip}(f)$.

The following coarea formulas were proved in [28], [30], [43].

Theorem 2.4. *Let $X_1, \dots, X_m \in \operatorname{Lip}(\mathbb{R}^n; \mathbb{R}^n)$. Then, if $f \in \operatorname{BV}_X(\Omega)$*

$$\|Xf\|(\Omega) = \int_{-\infty}^{+\infty} |\partial E_t|_X(\Omega) dt, \quad (32)$$

where $E_t = \{x \in \mathbb{R}^n : f(x) > t\}$.

Moreover, if $X = (X_1, \dots, X_m)$ satisfies (Xc), then for every $f \in \operatorname{Lip}(\mathbb{R}^n, d)$ and $u \in L^1(\mathbb{R}^n)$

$$\int_{\mathbb{R}^n} u |Xf| dx = \int_{-\infty}^{+\infty} \left(\int_{\{f=t\}} u d\mu_t \right) dt, \quad (33)$$

where $\mu_t = |\partial E_t|_X$ is the perimeter measure of the level set E_t .

The following result shows that, in view of those applications which are local in nature, we can always assume the vector fields to be bounded and globally Lipschitz on \mathbb{R}^n . If $x \in \mathbb{R}^n$ and $r \geq 0$ define the open Euclidean and cc ball respectively as

$$B(x, r) = \{y \in \mathbb{R}^n : |y - x| < r\} \quad \text{and} \quad B_C(x, r) = \{y \in \mathbb{R}^n : d(y, x) < r\}.$$

If $K \subset \mathbb{R}^n$ define its Euclidean and cc diameter respectively as

$$\text{diam}(K) = \sup\{|x - y| : x, y \in K\} \quad \text{and} \quad \text{diam}_C(K) = \sup\{d(x, y) : x, y \in K\}.$$

Proposition 2.5. *Let $\Omega \subset \mathbb{R}^n$ be a bounded open set such that $\Omega \Subset \Omega_0 := B(x_0, r_0)$ with $x_0 \in \Omega$ and $r_0 > 0$. Let $X = (X_1, \dots, X_m)$, $X_j := \sum_{i=1}^n c_{ji} \partial_i$, be a family of vector fields on Ω_0 such that*

- (i) $X_j \in \text{Lip}(\Omega_0; \mathbb{R}^n)$ for $j = 1, \dots, m$;
- (ii) the cc metric d induced by X on Ω_0 is finite and the map $\text{Id} : (\Omega_0, d) \rightarrow (\Omega_0, |\cdot|)$ is a homeomorphism.

Then there exists a family $\tilde{X} = (\tilde{X}_1, \dots, \tilde{X}_{m+n})$ of vector fields on \mathbb{R}^n , $\tilde{X}_j = \sum_{i=1}^n \tilde{c}_{ji} \partial_i$, and there exists $L > 0$ such that

- (1) $|\tilde{X}_j(x)| := \left(\sum_{i=1}^n \tilde{c}_{ji}(x)^2 \right)^{1/2} \leq L$ for all $x \in \mathbb{R}^n$ and $j = 1, \dots, m+n$;
- (2) $|\tilde{X}_j(x) - \tilde{X}_j(y)| \leq L|x - y|$ for all $x, y \in \mathbb{R}^n$ and $j = 1, \dots, m+n$;
- (3) $\tilde{X}(x) = (X_1(x), \dots, X_m(x), 0, \dots, 0)$ for all $x \in \Omega$;
- (4) hypothesis $(\tilde{X}c)$ holds;
- (5) let $M_0 := \sup_{x \in \Omega_0} |X(x)|$ and assume that

$$\text{diam}(\Omega) < \frac{r_0}{2} \quad \text{and} \quad \text{diam}_C(\Omega) < \frac{r_0}{2M_0}.$$

Then $d(x, y) = \tilde{d}(x, y)$ for all $x, y \in \Omega$.

Proof. Fix $0 < s < t < 1$ and define $\Omega_1 := B(x_0, tr_0)$ and $\Omega_2 := B(x_0, sr_0)$. We can choose $s \in (0, 1)$ such that $\Omega \Subset \Omega_2$. By the Lipschitz extension theorem we can assume $c_{ji} \in \text{Lip}(\mathbb{R}^n)$ and denote by Λ a Lipschitz constant for X_1, \dots, X_m . Define for $j = 1, \dots, m$ and $i = 1, \dots, n$

$$b_{ji}(x) := \max\{-M_0, \min\{M_0, c_{ji}(x)\}\}.$$

Clearly, $b_{ji} \in \text{Lip}(\mathbb{R}^n)$, $|b_{ji}(x)| \leq M_0$ for all $x \in \mathbb{R}^n$, and $b_{ji}(x) = c_{ji}(x)$ for all $x \in \Omega_0$, $i = 1, \dots, n$, $j = 1, \dots, m$.

Let $\varphi \in C^\infty(\mathbb{R}^n)$ be a function such that $0 \leq \varphi \leq 1$, $\varphi \equiv 0$ on Ω_2 and $\varphi \equiv 1$ on $\mathbb{R}^n \setminus \Omega_1$. Define

$$\begin{aligned} \tilde{X}_j(x) &= \sum_{i=1}^n b_{ji}(x) \partial_i \quad \text{if } j = 1, \dots, m \quad \text{and} \\ \tilde{X}_j(x) &= \varphi(x) \partial_{j-m} \quad \text{if } j = m+1, \dots, m+n. \end{aligned}$$

Let $L_1 = \max\{1, M_0\}$ and $L_2 = \max\{\Lambda, \max_{x \in \mathbb{R}^n} |D\varphi(x)|\}$. If we choose $L = \max\{L_1, L_2\}$ then claims (1), (2) and (3) are verified.

It is easy to check that \mathbb{R}^n is \tilde{X} -connected, i.e. that for any couple of points $x, y \in \mathbb{R}^n$ there exists an \tilde{X} -subunit curve connecting them. We prove that $(\mathbb{R}^n, \tilde{d})$ and $(\mathbb{R}^n, |\cdot|)$ are homeomorphic. First of all notice that for all $x, y \in \mathbb{R}^n$

$$|x - y| \leq L_1 \tilde{d}(x, y). \quad (34)$$

Indeed, if $\gamma : [0, T] \rightarrow \mathbb{R}^n$ is an \tilde{X} -subunit curve such that $\gamma(0) = x$ and $\gamma(T) = y$

$$|x - y| = |\gamma(0) - \gamma(T)| = \left| \int_0^T \dot{\gamma}(s) ds \right| \leq \int_0^T |\dot{\gamma}(s)| ds \leq L_1 T,$$

as the subunit condition implies

$$|\dot{\gamma}(s)| = \left| \sum_{j=1}^{m+n} h_j(s) \tilde{X}_j(\gamma(s)) \right| \leq L_1.$$

From (34) it follows that the map $\text{Id} : (\mathbb{R}^n, \tilde{d}) \rightarrow (\mathbb{R}^n, |\cdot|)$ is continuous. We prove that $\text{Id}^{-1} : (\mathbb{R}^n, |\cdot|) \rightarrow (\mathbb{R}^n, \tilde{d})$ is continuous, too. We show that if $|x_h - x| \rightarrow 0$ then $\tilde{d}(x_h, x) \rightarrow 0$. If $x \in \Omega_0$ we can assume $x_h \in \Omega_0$ for all $h \in \mathbb{N}$, and since $\tilde{d}(x_h, x) \leq d(x_h, x)$, the claim follows from hypothesis (ii). If $x \in \mathbb{R}^n \setminus \Omega_0$ we can assume $x_h \in \mathbb{R}^n \setminus \Omega_1$ for all $h \in \mathbb{N}$. And since $\tilde{d}(x_h, x) \leq |x_h - x|$ if h is large enough, the claim follows.

We prove (5). Since every X -subunit curve is also \tilde{X} -subunit then $\tilde{d}(x, y) \leq d(x, y)$ for all $x, y \in \mathbb{R}^n$. Fix $s \in (0, 1)$ in such a way that

$$\text{diam}(\Omega) < \frac{sr_0}{2} \quad \text{and} \quad \text{diam}_C(\Omega) < \frac{sr_0}{2M_0},$$

and choose $0 < \varepsilon < (sr_0/(2M_0) - \text{diam}_C(\Omega))$. Let $x, y \in \Omega$. Every \tilde{X} -subunit curve $\gamma : [0, T] \rightarrow \mathbb{R}^n$ such that $\gamma(0) = x$ and $\gamma(T) = y$ with $T \leq d(x, y) + \varepsilon$ is X -subunit (with the same coefficients). Indeed

$$TM_0 \leq (\text{diam}_C(\Omega) + \varepsilon)M_0 < \frac{sr_0}{2},$$

and the argument in [37, Lemma 11.1] implies that $|\gamma(t) - x| < sr_0/2$ for all $t \in [0, T]$. Since $|x - x_0| \leq sr_0/2$ it follows that $|\gamma(t) - x_0| < sr_0$, that is $\gamma(t) \in \Omega_2$ for all $t \in [0, T]$. \square

Remark 2.6. From (3) in Proposition 2.5 it follows that if $u \in H_X^{1,p}(\Omega)$ then $|Xu| = |\tilde{X}u|$ a.e., and hence $H_X^{1,p}(\Omega) = H_{\tilde{X}}^{1,p}(\Omega)$, $p \geq 1$. Analogously, $\|Xu\|(\Omega) = \|\tilde{X}u\|(\Omega)$ for all $u \in BV_X(\Omega)$ and thus $BV_X(\Omega) = BV_{\tilde{X}}(\Omega)$.

Remark 2.7. Assume that there exists $L > 0$ such that

$$|X_j(x)| = \left(\sum_{i=1}^n c_{ji}(x)^2 \right)^{1/2} \leq L \quad (35)$$

for all $x \in \mathbb{R}^n$ and $j = 1, \dots, m$, and

$$|X_j(x) - X_j(y)| \leq L|x - y| \quad (36)$$

for all $x, y \in \mathbb{R}^n$ and $j = 1, \dots, m$.

Let $\sigma > 0$ and consider the family of vector fields $X_{\sigma,\eta} = (X_1^\eta, \dots, X_m^\eta, \sigma\partial_1, \dots, \sigma\partial_n)$ where

$$X_j^\eta = \sum_{i=1}^n (c_{ji} * J_\eta) \partial_i, \quad j = 1, \dots, m,$$

and $(J_\eta)_{\eta>0}$ is a family of mollifiers. We claim that

$$\sum_{j=1}^m \langle X_j(x), \xi \rangle^2 \leq \sigma^2 |\xi|^2 + \sum_{j=1}^m \langle X_j^\eta(x), \xi \rangle^2 \tag{37}$$

for all $x \in \mathbb{R}^n$, for all $\xi \in \mathbb{R}^n$ and for all $0 < \eta \leq \sigma^2/(2mL^2)$, where $L > 0$ is a constant such that (35) and (36) hold. Indeed

$$\begin{aligned} \sigma^2 |\xi|^2 + \sum_{j=1}^m \langle X_j^\eta(x), \xi \rangle^2 &= \sigma^2 |\xi|^2 + \sum_{j=1}^m \left(\langle X_j^\eta(x) - X_j(x), \xi \rangle - \langle X_j(x), \xi \rangle \right)^2 \\ &\geq \sigma^2 |\xi|^2 + \sum_{j=1}^m \langle X_j(x), \xi \rangle^2 - 2|\xi|^2 \sum_{j=1}^m |X_j(x)| |X_j^\eta(x) - X_j(x)| \\ &\geq (\sigma^2 - 2m\eta L^2) |\xi|^2 + \sum_{j=1}^m \langle X_j(x), \xi \rangle^2. \end{aligned}$$

We used $|X_j^\eta(x) - X_j(x)| \leq L\eta$.

Now let $\eta_\sigma = \sigma^2/(4mL^2)$ and define

$$X_\sigma = X_{\sigma,\eta_\sigma}. \tag{38}$$

The coefficients of the vector fields X_σ are of class C^∞ and if d_σ is the cc metric induced by them then the cc space (\mathbb{R}^n, d_σ) is actually a complete Riemannian manifold.

3. The results of Γ -convergence

This section deals with the Γ -convergence results. For a comprehensive introduction to Γ -convergence we refer to [19]. We introduce the involved functionals.

Let $W \in C^2(\mathbb{R})$ be a function with two ‘‘wells’’ of equal depth

$$W(0) = W(1) = 0, \quad W(s) > 0 \text{ if } s \neq 0, 1, \quad W''(0) > 0, \quad W''(1) > 0. \tag{39}$$

Fix a bounded open set $\Omega \subset \mathbb{R}^n$ and for $\varepsilon > 0$ define the functionals $F_\varepsilon, F : L^1(\Omega) \rightarrow [0, +\infty]$

$$F_\varepsilon(u) = \begin{cases} \int_\Omega \left(\varepsilon |Xu|^2 + \frac{1}{\varepsilon} W(u) \right) dx & \text{if } u \in H_X^1(\Omega) \\ +\infty & \text{if } u \in L^1(\Omega) \setminus H_X^1(\Omega), \end{cases}$$

and

$$F(u) = \begin{cases} 2\alpha |\partial E|_X(\Omega) & \text{if } u = \chi_E \in \text{BV}_X(\Omega) \\ +\infty & \text{otherwise} \end{cases}$$

where $\alpha = \int_0^1 \sqrt{W(s)} ds$.

Let $0 < V < |\Omega|$, introduce the set of admissible functions

$$\mathcal{A}_V = \left\{ u \in L^1(\Omega) : \int_{\Omega} u dx = V, 0 \leq u \leq 1 \text{ a.e. in } \Omega \right\}, \quad (40)$$

and let I_V be the *indicator function* of \mathcal{A}_V , i.e. the function which takes the value 0 on \mathcal{A}_V and $+\infty$ outside. Finally, define

$$G_{\varepsilon} = F_{\varepsilon} + I_V \quad \text{and} \quad G = F + I_V. \quad (41)$$

Let $(\varepsilon_h)_{h \in \mathbb{N}}$ be a sequence of real numbers such that $\varepsilon_h \downarrow 0$ and let $G_h = G_{\varepsilon_h}$, $F_h = F_{\varepsilon_h}$.

Theorem 3.1. *Suppose that $X_1, \dots, X_m \in \text{Lip}_{\text{loc}}(\mathbb{R}^n; \mathbb{R}^n)$, let $W \in C^2(\mathbb{R})$ be as in (39) and let $\Omega \subset \mathbb{R}^n$ be a bounded open set with C^2 boundary. Then*

$$G = \Gamma(L^1(\Omega))\text{-}\lim_{h \rightarrow \infty} G_h,$$

i.e. by definition

$$\forall u \in L^1(\Omega) \text{ and } \forall (u_h) \subset L^1(\Omega) \text{ if } u_h \rightarrow u \text{ in } L^1(\Omega) \text{ then } G(u) \leq \liminf_{h \rightarrow \infty} G_h(u_h), \quad (42)$$

$$\forall u \in L^1(\Omega) \exists (u_h) \subset L^1(\Omega) \text{ such that } u_h \rightarrow u \text{ in } L^1(\Omega) \text{ and } G(u) \geq \limsup_{h \rightarrow \infty} G_h(u_h). \quad (43)$$

Remark 3.2. The Γ -convergence of the family $(F_{\varepsilon})_{\varepsilon > 0}$ to F (with $W(u) = u^2(1-u)^2$ and without volume constraint) was proved in [43] assuming the regularity of the vector fields X_1, \dots, X_m and of Ω ($c_{ji} \in C^{\infty}(\mathbb{R}^n)$ and Ω with C^{∞} boundary), and finally assuming hypothesis (Xc) and an eikonal equation for the cc metric d . Even under all these stronger regularity assumptions Theorem 3.1 is not implied by the results in [43] since the indicator function I_V is not a continuous perturbation of F_{ε} in the $L^1(\Omega)$ topology.

We begin with a refinement of the approximation theorem for BV_X functions which is necessary in order to bypass the following technical difficulty. In the Euclidean setting one of the main tools in the approximation of a set of finite perimeter in Ω by means of sets with regular boundary in \mathbb{R}^n (not only in Ω) is the property of a function $u \in BV(\Omega) \cap L^{\infty}(\Omega)$ to be extendible to a function $\tilde{u} \in BV(\mathbb{R}^n) \cap L^{\infty}(\mathbb{R}^n)$ with $\|D\tilde{u}\|(\partial\Omega) = 0$, if Ω has Lipschitz boundary (see [41, Lemma 1] and [49, Lemma 1]). It is not known if such a property does hold for $BV_X(\Omega)$ functions. Nevertheless, we can prove the following result.

Proposition 3.3. *Let $\Omega \subset \mathbb{R}^n$ be a bounded open set with C^2 boundary, and let $E \subset \Omega$ be a measurable set such that $|\partial E|_X(\Omega) < +\infty$ and $0 < |E| < |\Omega|$. Then there exists a sequence $(E_h)_{h \in \mathbb{N}}$ of open sets of \mathbb{R}^n such that*

- (i) E_h is bounded and ∂E_h is of class C^{∞} for all $h \in \mathbb{N}$;
- (ii) $E_h \rightarrow E$ in $L^1(\Omega)$;
- (iii) $|\partial E_h|_X(\Omega) \rightarrow |\partial E|_X(\Omega)$;
- (iv) $\mathcal{H}^{n-1}(\partial E_h \cap \partial\Omega) = 0$ for all $h \in \mathbb{N}$;
- (v) $|E_h \cap \Omega| = |E|$ for all $h \in \mathbb{N}$.

As a first step we prove the following Lemma.

Lemma 3.4. *Let $\Omega \subset \mathbb{R}^n$ be a bounded open set and let $E \subset \Omega$ be a measurable set such that $|\partial E|_X(\Omega) < +\infty$ and $0 < |E| < |\Omega|$. Then there exists a sequence $(E_h)_{h \in \mathbb{N}}$ of open sets in \mathbb{R}^n such that*

- (i) E_h is bounded and $\partial E_h \cap \Omega$ is of class C^∞ for all $h \in \mathbb{N}$;
- (ii) $E_h \rightarrow E$ in $L^1(\Omega)$;
- (iii) $|\partial E_h|_X(\Omega) \rightarrow |\partial E|_X(\Omega)$;
- (iv) $|E_h \cap \Omega| = |E|$ for all $h \in \mathbb{N}$.

Proof. We first show that it is not restrictive to assume $\text{int}(E) \neq \emptyset$ and $\text{int}(\Omega \setminus E) \neq \emptyset$. Recall the definition of *interior in measure* of a set $F \subset \mathbb{R}^n$

$$\text{int}_M(F) = \left\{ x \in \mathbb{R}^n : \text{there exists } \lim_{r \downarrow 0} \frac{|F \cap B(x, r)|}{|B(x, r)|} = 1 \right\}.$$

Since $0 < |E| < |\Omega|$ from Lebesgue differentiation Theorem there exist $x_1 \in \text{int}_M(\Omega \setminus E)$ and $x_2 \in \text{int}_M(E)$. Let $r_0 := \min\{\text{dist}(x_1, \partial\Omega), \text{dist}(x_2, \partial\Omega), |x_1 - x_2|\}$, and if $0 \leq r_1, r_2 < r_0$ define

$$\varphi(r_1, r_2) = |(E \cup B(x_1, r_1)) \setminus B(x_2, r_2)| - |E|.$$

If $0 < r < r_0$ then

$$\begin{aligned} \varphi(r, 0) &= |E \cup B(x_1, r)| - |E| > 0, \\ \varphi(0, r) &= |E \setminus B(x_2, r)| - |E| < 0. \end{aligned}$$

Since φ is continuous, for all $0 < r < r_0$ there exists $\alpha_r \in (0, 1)$ such that $\varphi(\alpha_r r, (1 - \alpha_r)r) = 0$. Define

$$E_r = (E \cup B(x_1, \alpha_r r)) \setminus B(x_2, (1 - \alpha_r)r),$$

and notice that $\text{int}(E_r) \neq \emptyset$, $\text{int}(\Omega \setminus E_r) \neq \emptyset$, $|E_r \Delta E| \leq 2\omega_n r^n$, $|E_r| = |E|$ and

$$\begin{aligned} |\partial E_r|_X(\Omega) &\leq |\partial E|_X(\Omega) + |\partial B(x_1, \alpha_r r)|_X(\mathbb{R}^n) + |\partial B(x_2, (1 - \alpha_r)r)|_X(\mathbb{R}^n) \\ &\leq |\partial E|_X(\Omega) + Cr^{n-1}. \end{aligned}$$

These inequalities and the lower semicontinuity of the perimeter with respect to the convergence $E_r \rightarrow E$ in $L^1(\Omega)$ as $r \downarrow 0$ imply

$$|\partial E|_X(\Omega) \leq \liminf_{r \downarrow 0} |\partial E_r|_X(\Omega) \leq \limsup_{r \downarrow 0} |\partial E_r|_X(\Omega) \leq |\partial E|_X(\Omega),$$

and thus equalities hold and $|\partial E_r|_X(\Omega) \rightarrow |\partial E|_X(\Omega)$.

We now turn to the proof of the lemma. There exist $x_1 \in E$, $x_2 \in \Omega \setminus E$ and $r_0 > 0$ such that

$$B_1 = B(x_1, r_0) \subset E, \quad B_2 = B(x_2, r_0) \subset \Omega \setminus E.$$

Using the same notation as in [28, Theorem 2.2.2] write $u = \chi_E$ and let $\Omega_i = \{x \in \Omega : \text{dist}(x, \partial\Omega) > \frac{1}{k+i}\}$ for $i \in \mathbb{N}$. If k is sufficiently large we can assume that Ω_0 is such that $B_1 \cup B_2 \Subset \Omega_0 \Subset \Omega$. There exists a sequence $(u_h)_{h \in \mathbb{N}} \subset C^\infty(\Omega)$ such that

$$u_h \rightarrow u \text{ in } L^1(\Omega) \quad \text{and} \quad \lim_{h \rightarrow \infty} \int_{\Omega} |Xu_h(x)| dx = |\partial E|_X(\Omega).$$

Such functions may assumed to be of the form

$$u_h = \sum_{i=0}^{\infty} (u\varphi_i) * J_{\varepsilon_i},$$

where $(J_\varepsilon)_{\varepsilon>0}$ is a family of mollifiers, ε_i depend on h and are small, and $(\varphi_i)_{i \in \mathbb{N}}$ is a partition of unity of Ω subordinate to the covering $\{\Omega_{i+1} \setminus \overline{\Omega}_{i-1} : i \in \mathbb{N}\}$ ($\Omega_{-1} = \emptyset$). In particular $\varphi_0 \equiv 1$ on Ω_0 and $\varphi_i \equiv 0$ on Ω_0 if $i \geq 1$. Moreover, we can choose ε_i sufficiently small in order that $\text{supp}((u\varphi_i) * J_{\varepsilon_i}) \subset \Omega \setminus \overline{\Omega}_0$ for all $i \geq 1$.

If $h \in \mathbb{N}$, $\varepsilon_0 < r_0/2$ and $x \in B(x_1, r_0/2) \cup B(x_2, r_0/2)$ then

$$u_h(x) = \sum_{i=0}^{\infty} ((u\varphi_i) * J_{\varepsilon_i})(x) = ((u\varphi_0) * J_{\varepsilon_0})(x) = u(x). \quad (44)$$

For suitable sequences $(h_k)_{k \in \mathbb{N}}$ and $(t_k)_{k \in \mathbb{N}}$ the sets $\widehat{E}_k = \{x \in \Omega : u_{h_k}(x) > t_k\}$ are regular and verify

$$\widehat{E}_k \rightarrow E \text{ in } L^1(\Omega) \quad \text{and} \quad \lim_{k \rightarrow \infty} |\partial \widehat{E}_k|_X(\Omega) = |\partial E|_X(\Omega). \quad (45)$$

This can be proved exactly as in [43, Theorem 7.1].

The sets \widehat{E}_k can be modified in order that the volume constraint be satisfied. Let $\lambda_k = |\widehat{E}_k| - |E|$ and define

$$E_k = \begin{cases} \widehat{E}_k \setminus B(x_1, r_k) & \text{if } \lambda_k > 0 \\ \widehat{E}_k & \text{if } \lambda_k = 0 \\ \widehat{E}_k \cup B(x_2, r_k) & \text{if } \lambda_k < 0, \end{cases}$$

where $r_k > 0$ is such that $|B(x_1, r_k)| = |B(x_2, r_k)| = |\lambda_k|$.

We show that $|E_k \cap \Omega| = |E|$. Notice that

$$|\lambda_k| \leq |(\widehat{E}_k \Delta E) \cap \Omega| \rightarrow 0 \quad \text{as } k \rightarrow \infty, \quad (46)$$

and therefore $\lim_{k \rightarrow \infty} r_k = 0$. For k sufficiently large we can assume $r_k < r_0/2$. Moreover, by (44) $B(x_1, r_0/2) \subset E_k$ and $B(x_2, r_0/2) \subset \Omega \setminus E_k$, whence

$$\begin{aligned} |E_k| &= |\widehat{E}_k| - |B(x_1, r_k)| = |E| \quad \text{if } \lambda_k > 0, \\ |E_k| &= |\widehat{E}_k| + |B(x_2, r_k)| = |E| \quad \text{if } \lambda_k < 0. \end{aligned}$$

This proves (iv). From (46) we also get (ii). Indeed

$$|(E_k \Delta E) \cap \Omega| \leq |(\widehat{E}_k \Delta E) \cap \Omega| + |\widehat{E}_k \Delta E| \leq |\lambda_k| + |(\widehat{E}_k \Delta E) \cap \Omega| \rightarrow 0.$$

Finally notice that

$$|\partial E_k|_X(\Omega) = |\partial \widehat{E}_k|_X(\Omega) + \int_{\partial B(x_i, r_k)} |Cn| d\mathcal{H}^{n-1}$$

for $i = 1$ or $i = 2$, where n is the Euclidean normal to $\partial B(x_i, r_k)$ and C is the matrix $C(x) = [(c_{ji}(x))]$. From (45) and $r_k \rightarrow 0$ we get (iii). \square

Proof of Proposition 3.3. By Lemma 3.4 we can assume without loss of generality that $E \subset \Omega$ is an open set such that $\partial E \cap \Omega$ is of class C^∞ . We shall divide the proof in two steps.

Step 1. Assume that $|\partial E|_X(\partial\Omega) = 0$. In this case

$$\begin{aligned} |\partial E|_X(\mathbb{R}^n) &= |\partial E|_X(\Omega) + |\partial E|_X(\partial\Omega) + |\partial E|_X(\mathbb{R}^n \setminus \bar{\Omega}) \\ &= |\partial E|_X(\Omega) < +\infty. \end{aligned}$$

Let $(J_\varepsilon)_{\varepsilon>0}$ be a family of mollifiers, write $u = \chi_E$ and define $u_\varepsilon = u * J_\varepsilon$. From [28, Theorem 2.2.2] it follows that $u_\varepsilon \rightarrow u$ in $L^1(\mathbb{R}^n)$, $\lim_{\varepsilon \downarrow 0} |\{x \in \mathbb{R}^n : |u_\varepsilon(x) - u(x)| \geq \eta\}| = 0$ for any $\eta > 0$ and $\lim_{\varepsilon \downarrow 0} \int_{\mathbb{R}^n} |Xu_\varepsilon(x)| dx = |\partial E|_X(\mathbb{R}^n)$. Moreover, since $|\partial E|_X(\partial\Omega) = 0$ we also have

$$\lim_{\varepsilon \downarrow 0} \int_{\Omega} |Xu_\varepsilon| dx = |\partial E|_X(\Omega).$$

Thus we can proceed exactly as in [41, Lemma 1, proof] replacing the gradient ∇ with X , the Euclidean perimeter $|\partial E|$ with $|\partial E|_X$ and taking into account the coarea formula (33).

Step 2. Assume that $|\partial E|_X(\partial\Omega) > 0$. We show that for all $\varepsilon > 0$ there exists an open set $E_\varepsilon \subset \mathbb{R}^n$ such that $|\partial E_\varepsilon|_X(\Omega) < \infty$, $|E_\varepsilon \cap \Omega| = |E|$, $|\partial E_\varepsilon|_X(\partial\Omega) = 0$ and

$$\lim_{\varepsilon \downarrow 0} |(E_\varepsilon \Delta E) \cap \Omega| = 0 \quad \text{and} \quad \lim_{\varepsilon \downarrow 0} |\partial E_\varepsilon|_X(\Omega) = |\partial E|_X(\Omega). \quad (47)$$

Because E is open, $\partial E \cap \Omega$ is C^∞ and $0 < |E| < |\Omega|$ there exist $x_1 \in E$, $x_2 \in \Omega \setminus E$ and $r_0 > 0$ such that $B_1 = B(x_1, r_0) \subset E$ and $B_2(x_2, r_0) \subset \Omega \setminus E$. We shall use the technique introduced in [43, Proposition 6.3, Step 3]. For $\varepsilon > 0$ fixed let $0 < t_0$, Ω_{t_0} and \widehat{E} be as in [43, (6.8)]. If Ω is of class C^2 then $\partial \widehat{E} \cap \Omega$ is of class C^1 . If t_0 and r_0 are small enough then

$$B_1 \subset \Omega_{t_0} \cap E \quad \text{and} \quad B_2 \subset \Omega_{t_0} \setminus E. \quad (48)$$

Let $\lambda_\varepsilon = |\widehat{E} \cap \Omega| - |E|$ (\widehat{E} depends on ε) and define

$$E_\varepsilon = \begin{cases} \widehat{E} \setminus B(x_1, r_\varepsilon) & \text{if } \lambda_\varepsilon > 0 \\ \widehat{E} & \text{if } \lambda_\varepsilon = 0 \\ \widehat{E} \cup B(x_2, r_\varepsilon) & \text{if } \lambda_\varepsilon < 0 \end{cases}$$

where $r_\varepsilon > 0$ is chosen in such a way that $|B(x_1, r_\varepsilon)| = |B(x_2, r_\varepsilon)| = |\lambda_\varepsilon|$.

Since $B_1 \subset \widehat{E}$ and $B_2 \subset \Omega \setminus \widehat{E}$, arguing as in the proof of Lemma 3.4 we get (ii), (iii) and (iv).

We finally prove that $|\partial E_\varepsilon|_X(\partial\Omega) = 0$. Since $\partial E_\varepsilon \cap \partial\Omega = (\partial \widehat{E} \cup \partial B(x_i, r_\varepsilon)) \cap \partial\Omega$ for $i = 1$ or $i = 2$ with $\partial B(x_i, r_\varepsilon) \cap \partial\Omega = \emptyset$, from the definition of E_ε we get $|\partial E_\varepsilon|_X(\partial\Omega) = |\partial \widehat{E}|_X(\partial\Omega) = 0$, because of the definition of \widehat{E} (see [43, (6.10)]). \square

Proof of Theorem 3.1. We divide the proof in two steps.

Step 1. Assume that $X_1, \dots, X_m \in C^\infty(\mathbb{R}^n; \mathbb{R}^n)$, $j = 1, \dots, m$, and that the family $X = (X_1, \dots, X_m)$ satisfies hypothesis (Xc) and let d be the induced cc metric. We also assume the following eikonal equation:

(Ek) Let $K \subset \mathbb{R}^n$ be a closed set. If $d_K(x) := \inf_{y \in K} d(x, y)$ then $Xd_K(x) = (X_1 d_K(x), \dots, X_m d_K(x)) \in \mathbb{R}^m$ exists and $|Xd_K(x)| = 1$ for a.e. $x \in \mathbb{R}^n \setminus K$.

Under such hypotheses we shall prove the thesis.

The proof of the lower bound estimate (42) is verbatim contained in [43, Theorem 6.5, proof]. A few modifications will be needed in order to prove the upper bound estimate (43). By Proposition 3.3 and by [42, Lemma IV] we can reduce to prove (43) for $u = \chi_E$, $E \subset \mathbb{R}^n$ bounded open set with C^∞ boundary such that $|E \cap \Omega| = V$ and $\mathcal{H}^{n-1}(\partial\Omega \cap \partial E) = 0$.

Define $\varrho : \mathbb{R}^n \rightarrow [0, +\infty)$

$$\varrho(x) = \begin{cases} \min_{y \in \partial E} d(x, y) & x \in E \\ -\min_{y \in \partial E} d(x, y) & x \in \mathbb{R}^n \setminus E, \end{cases}$$

and write $\chi_0(t) = \chi_{(0, +\infty)}(t)$. Then $u(x) = \chi_0(\varrho(x))$ for all $x \in \mathbb{R}^n$. Let $\chi : \mathbb{R} \rightarrow \mathbb{R}$ be the maximal solution of the Cauchy problem

$$\begin{cases} \chi'(t) = \sqrt{W(\chi(t))} \\ \chi(0) = \frac{1}{2}. \end{cases}$$

It is easy to see that, as $W(0) = W(1) = 0$, χ is a strictly increasing C^2 function such that $\lim_{t \rightarrow +\infty} \chi(t) = 1$ and $\lim_{t \rightarrow -\infty} \chi(t) = 0$. Moreover, there exist $\bar{t} \in \mathbb{R}$, $c_1, c_2 > 0$ such that (see [49, (1.21)])

$$1 - \chi(t) \leq c_1 e^{-c_2 t}, \quad \text{for all } t \geq \bar{t}. \quad (49)$$

We follow the proof contained in [43] (see also [12]). Fix $\varepsilon > 0$ and write $t_\varepsilon = \vartheta \varepsilon \log 1/\varepsilon$ where $\vartheta \geq 3$ is a constant that will be determined later. Define the function $\Lambda_\varepsilon : \mathbb{R} \rightarrow \mathbb{R}$ in the following way

$$\Lambda_\varepsilon(t) = \begin{cases} \chi(t) & \text{if } 0 \leq t < \frac{t_\varepsilon}{\varepsilon} \\ p_\varepsilon(t) & \text{if } \frac{t_\varepsilon}{\varepsilon} \leq t < \frac{2t_\varepsilon}{\varepsilon} \\ 1 & \text{if } t \geq \frac{2t_\varepsilon}{\varepsilon} \\ 1 - \Lambda_\varepsilon(-t) & \text{if } t < 0, \end{cases}$$

where $p_\varepsilon : \mathbb{R} \rightarrow \mathbb{R}$ is the uniquely determined polynomial of degree 3 for which $\Lambda_\varepsilon \in C^{1,1}(\mathbb{R}) \cap C^\infty(\mathbb{R} \setminus \{\pm t_\varepsilon/\varepsilon, \pm 2t_\varepsilon/\varepsilon\})$ (see [13] for the construction of p_ε) satisfying

$$\|p_\varepsilon - 1\|_{L^\infty(t_\varepsilon/\varepsilon, 2t_\varepsilon/\varepsilon)} = O(\varepsilon^{2\vartheta-1}) \quad \text{and} \quad \|p'_\varepsilon\|_{L^\infty(t_\varepsilon/\varepsilon, 2t_\varepsilon/\varepsilon)} = O(\varepsilon^{2\vartheta}). \quad (50)$$

Now define $\chi_\varepsilon(t) = \Lambda_\varepsilon(t/\varepsilon)$ for $t \in \mathbb{R}$ and $v_\varepsilon(x) = \chi_\varepsilon(\varrho(x))$. It is easy to see that $v_\varepsilon \in H_X^{1,\infty}(\Omega)$ and $Xv_\varepsilon(x) = \chi'_\varepsilon(\varrho(x))X\varrho(x)$ a.e. Then, from [43, Theorem 6.5]

$$\lim_{\varepsilon \downarrow 0} \int_\Omega |v_\varepsilon - u| dx = 0, \quad (51)$$

$$\limsup_{\varepsilon \downarrow 0} F_\varepsilon(v_\varepsilon) \leq F(u) = G(u). \quad (52)$$

The functions v_ε will be now perturbed so as to satisfy the integral constraint without disturbing inequality (52). Let us begin to show that if $\delta_\varepsilon = \int_\Omega v_\varepsilon dx - V$, then $\delta_\varepsilon = O(\varepsilon)$

(see also [49, Theorem 1]). Notice that

$$\begin{aligned} \delta_\varepsilon &= \int_{\Omega} (v_\varepsilon - u) dx \\ &= \int_{\{x \in \Omega: 0 < \varrho(x) < t_\varepsilon\}} (\chi(\varrho(x)/\varepsilon) - 1) dx + \int_{\{x \in \Omega: t_\varepsilon \leq \varrho(x) \leq 2t_\varepsilon\}} (p_\varepsilon(\varrho(x)/\varepsilon) - 1) dx \\ &\quad + \int_{\{x \in \Omega: -t_\varepsilon < \varrho(x) < 0\}} (1 - \chi(-\varrho(x)/\varepsilon)) dx + \int_{\{x \in \Omega: -2t_\varepsilon \leq \varrho(x) \leq -t_\varepsilon\}} (1 - p_\varepsilon(-\varrho(x)/\varepsilon)) dx. \end{aligned}$$

Because of (50), if $\vartheta \geq 1$ the second and fourth integrals are $O(\varepsilon)$.

We estimate the first one. By hypothesis (Ek) $|X\varrho| = 1$ a.e. on \mathbb{R}^n and using the coarea formula (32) we get for $t \geq 0$

$$V^+(t) := |\{x \in \Omega : 0 < \varrho(x) \leq t\}| = \int_0^t |\partial E_s|_X(\Omega) ds,$$

where $E_s := \{x \in \mathbb{R}^n : \varrho(x) > s\}$. By the coarea formula (33) and integrating by parts

$$\begin{aligned} \int_{\{x \in \Omega: 0 < \varrho(x) < t_\varepsilon\}} (1 - \chi(\varrho(x)/\varepsilon)) dx &= \int_0^{t_\varepsilon} (1 - \chi(s/\varepsilon)) |\partial E_s|_X(\Omega) ds \\ &= V^+(t_\varepsilon)(1 - \chi(\vartheta \log(1/\varepsilon))) + \frac{1}{\varepsilon} \int_0^{t_\varepsilon} \chi'(s/\varepsilon) V^+(s) ds. \end{aligned}$$

By [43, Theorem 5.1] (see also [7]) $V^+(t) = Lt + t\delta^+(t)$, where $L = |\partial E|_X(\Omega)$ and $\delta^+ : [0, +\infty) \rightarrow \mathbb{R}$ is a function such that

$$\lim_{\varepsilon \downarrow 0} \sup_{s \in [0, t_\varepsilon]} |\delta^+(s)| = 0.$$

By (49) it follows that $V^+(t_\varepsilon)(1 - \chi(\vartheta \log(1/\varepsilon))) = O(\varepsilon)$ if $\vartheta c_2 \geq 1$. Moreover

$$\begin{aligned} \left| \frac{1}{\varepsilon} \int_0^{t_\varepsilon} \chi'(s/\varepsilon) V^+(s) ds \right| &\leq \frac{1}{\varepsilon} \int_0^{t_\varepsilon} \sqrt{W(\chi(s/\varepsilon))} V^+(s) ds \\ &\leq (L + \sup_{s \in [0, t_\varepsilon]} |\delta^+(s)|) \frac{1}{\varepsilon} \int_0^{t_\varepsilon} s \sqrt{W(\chi(s/\varepsilon))} ds \\ &\leq \varepsilon (L + \sup_{s \in [0, t_\varepsilon]} |\delta^+(s)|) \int_0^{+\infty} s \sqrt{W(\chi(s))} ds, \end{aligned}$$

and the integral in the last expression is bounded because of (49). In conclusion, if we choose $\vartheta \geq \max\{3, 1/c_2\}$ this ends the proof of $\delta_\varepsilon = O(\varepsilon)$.

Consider now the family of functions $u_\varepsilon = (1 + \eta_\varepsilon)v_\varepsilon$ with $\eta_\varepsilon = -\delta_\varepsilon / \int_{\Omega} v_\varepsilon dx$. Of course, $u_\varepsilon \in H_X^{1,\infty}(\Omega)$ and $u_\varepsilon \in \mathcal{A}_V$ since $1 + \eta_\varepsilon > 0$ and $\int_{\Omega} u_\varepsilon dx = V$. If we show that

$$\limsup_{\varepsilon \downarrow 0} G_\varepsilon(u_\varepsilon) \leq \limsup_{\varepsilon \downarrow 0} F_\varepsilon(v_\varepsilon), \tag{53}$$

statement (43) will be proved.

Notice that

$$\begin{aligned}
G(u_\varepsilon) &= \int_{\{x \in \Omega : |\varrho(x)| \leq 2t_\varepsilon\}} \left(\varepsilon(1 + \eta_\varepsilon)^2 |Xv_\varepsilon|^2 + \frac{1}{\varepsilon} W(v_\varepsilon + \eta_\varepsilon v_\varepsilon) \right) dx \\
&\quad + \frac{1}{\varepsilon} W(1 + \eta_\varepsilon) |\{x \in \Omega : \varrho(x) > 2t_\varepsilon\}| \\
&\leq \varepsilon \int_{\Omega} |Xv_\varepsilon|^2 dx + \frac{\eta_\varepsilon(2 + \eta_\varepsilon)}{\varepsilon} \int_{\{x \in \Omega : |\varrho(x)| \leq 2t_\varepsilon\}} |\Lambda'_\varepsilon(\varrho/\varepsilon)|^2 dx \\
&\quad + \frac{1}{\varepsilon} \int_{\{x \in \Omega : |\varrho(x)| \leq 2t_\varepsilon\}} W(v_\varepsilon + \eta_\varepsilon v_\varepsilon) dx + \frac{1}{\varepsilon} W(1 + \eta_\varepsilon) |\{x \in \Omega : \varrho(x) > 2t_\varepsilon\}|.
\end{aligned}$$

By (39) and by Taylor's formula

$$\frac{1}{\varepsilon} W(1 + \eta_\varepsilon) |\{x \in \Omega : \varrho(x) > 2t_\varepsilon\}| \leq \frac{|\Omega|}{2\varepsilon} W''(\xi_\varepsilon) \eta_\varepsilon^2$$

for some $\xi_\varepsilon \in (1 - \eta_\varepsilon, 1 + \eta_\varepsilon)$ and hence this term is $O(\varepsilon)$. Moreover, since

$$\begin{aligned}
\int_{\{x \in \Omega : |\varrho(x)| \leq 2t_\varepsilon\}} |\Lambda'_\varepsilon(\varrho/\varepsilon)|^2 dx &\leq \sup |\chi'|^2 |\{x \in \Omega : |\varrho(x)| \leq t_\varepsilon\}| \\
&\quad + \|p'_\varepsilon\|_{L^\infty(t_\varepsilon/\varepsilon, 2t_\varepsilon/\varepsilon)}^2 |\{x \in \Omega : t_\varepsilon < |\varrho(x)| \leq 2t_\varepsilon\}|,
\end{aligned}$$

by (50) we get

$$\lim_{\varepsilon \downarrow 0} \frac{\eta_\varepsilon(2 + \eta_\varepsilon)}{\varepsilon} \int_{\{x \in \Omega : |\varrho(x)| \leq 2t_\varepsilon\}} |\Lambda'_\varepsilon(\varrho/\varepsilon)|^2 dx = 0.$$

In order to prove (53) it suffices to show that

$$\lim_{\varepsilon \downarrow 0} \frac{1}{\varepsilon} \int_{\{x \in \Omega : |\varrho(x)| < 2t_\varepsilon\}} (W(u_\varepsilon) - W(v_\varepsilon)) dx = 0.$$

Indeed, by the Mean Value Theorem there exists $\tau > 0$ such that

$$\frac{1}{\varepsilon} \int_{\{x \in \Omega : |\varrho(x)| < 2t_\varepsilon\}} |W(u_\varepsilon) - W(v_\varepsilon)| dx \leq \frac{|\eta_\varepsilon|}{\varepsilon} |\{x \in \Omega : |\varrho(x)| < 2t_\varepsilon\}| \sup_{s \in [0, 1+\tau]} |W'(s)|,$$

and the last quantity approaches to zero as $\varepsilon \downarrow 0$.

Step 2. We prove the thesis under the only assumption $X_1, \dots, X_m \in \text{Lip}_{\text{loc}}(\mathbb{R}^n; \mathbb{R}^n)$. Thanks to Proposition 2.5 $X = (X_1, \dots, X_m)$ may be assumed to satisfy (35) and (36). For $\sigma > 0$ let X_σ be the family of vector fields defined in (38), i.e.

$$X_\sigma = (X_1^{\eta_\sigma}, \dots, X_m^{\eta_\sigma}, \sigma \partial_1, \dots, \sigma \partial_n) \equiv (X_1^\sigma, \dots, X_{m+n}^\sigma).$$

Now, $X_j^\sigma \in C^\infty(\mathbb{R}^n; \mathbb{R}^n)$ for all $j = 1, \dots, m+n$, these vector fields are bounded on \mathbb{R}^n and by (37)

$$\sum_{j=1}^m \langle X_j(x), \xi \rangle^2 \leq \sum_{j=1}^{m+n} \langle X_j^\sigma(x), \xi \rangle^2 \quad \text{for all } x, \xi \in \mathbb{R}^n. \quad (54)$$

The cc distance d_σ induced on \mathbb{R}^n by X_σ is a Riemannian metric and since the vector fields are bounded (\mathbb{R}^n, d_σ) is a complete metric space. Hypothesis $(X_\sigma c)$ holds, and by [43, Theorem 3.1] the family X_σ satisfies the Eikonal hypothesis (Ek).

Therefore the first step of the proof does apply to the functionals $G_\varepsilon^\sigma : L^1(\Omega) \rightarrow [0, +\infty]$

$$G_\varepsilon^\sigma(u) = \begin{cases} \varepsilon \int_\Omega |X_\sigma u|^2 dx + \frac{1}{\varepsilon} \int_\Omega W(u) dx & \text{if } u \in H_{X_\sigma}^1(\Omega) \cap \mathcal{A}_V \\ +\infty & \text{otherwise.} \end{cases} \quad (55)$$

Precisely, for all $\sigma > 0$

$$\Gamma(L^1(\Omega))\text{-}\lim_{\varepsilon \downarrow 0} G_\varepsilon^\sigma = G^\sigma, \quad (56)$$

where $G^\sigma : L^1(\Omega) \rightarrow [0, +\infty]$ is the functional

$$G^\sigma(u) = \begin{cases} 2\alpha |\partial E|_{X_\sigma}(\Omega) & \text{if } u = \chi_E \in BV_{X_\sigma}(\Omega) \cap \mathcal{A}_V \\ +\infty & \text{otherwise.} \end{cases} \quad (57)$$

By the vector fields' form

$$H_{X_\sigma}^1(\Omega) = H^1(\Omega) \subset H_X^1(\Omega), \quad \text{for all } \sigma > 0,$$

and then by (54)

$$G_\varepsilon(u) \leq G_\varepsilon^\sigma(x), \quad \text{for all } u \in L^1(\Omega) \text{ and for all } \varepsilon, \sigma > 0. \quad (58)$$

Let $G', G'' : L^1(\Omega) \rightarrow [0, +\infty]$ be respectively the lower and upper Γ -limits of $(G_\varepsilon)_{\varepsilon > 0}$ (see [19, Chapter 4]), i.e. if $u \in L^1(\Omega)$

$$G'(u) = \Gamma(L^1(\Omega))\text{-}\liminf_{\varepsilon \downarrow 0} G_\varepsilon(u),$$

$$G''(u) = \Gamma(L^1(\Omega))\text{-}\limsup_{\varepsilon \downarrow 0} G_\varepsilon(u).$$

Then, from [19, Proposition 6.7], (58) and (56)

$$G'(u) \leq G''(u) \leq G^\sigma(u) \quad \text{for all } u \in L^1(\Omega) \text{ and for all } \sigma > 0. \quad (59)$$

We claim that

$$G(u) \leq G'(u) \quad \text{for all } u \in L^1(\Omega). \quad (60)$$

Indeed, by [19, Proposition 8.1] we have to prove that for every $u \in L^1(\Omega)$, for every sequence $(u_h)_{h \in \mathbb{N}} \subset L^1(\Omega)$ strongly converging to u in $L^1(\Omega)$ and for every sequence $(\varepsilon_h)_{h \in \mathbb{N}}$ of real numbers such that $\varepsilon_h \downarrow 0$

$$G(u) \leq \liminf_{h \rightarrow \infty} G_{\varepsilon_h}(u_h),$$

and this can be done exactly as in [43, Theorem 6.5, proof] where only the coarea formula (32) is involved.

Define

$$\mathcal{D} = \{\chi_E : E \subset \mathbb{R}^n \text{ bounded open set, } \partial E \in C^\infty, |E \cap \Omega| = V, \mathcal{H}^{n-1}(\partial E \cap \partial \Omega) = 0\},$$

and notice that $\mathcal{D} \subset \text{BV}_{X_\sigma}(\Omega)$ for all $\sigma > 0$. If $u = \chi_E \in \mathcal{D}$ then from (25)

$$G^\sigma(u) = 2\alpha |\partial E|_{X_\sigma}(\Omega) = 2\alpha \int_{\partial E \cap \Omega} |C^\sigma n| d\mathcal{H}^{n-1}, \quad (61)$$

where $C^\sigma(x)$ is the $(m+n) \times n$ matrix of the coefficients of the vector fields X_j^σ 's as in (18), and n is the Euclidean normal to ∂E .

In particular, from (61) we get for all $u = \chi_E \in \mathcal{D}$

$$\lim_{\sigma \downarrow 0} G^\sigma(u) = 2\alpha \int_{\partial E \cap \Omega} |Cn| d\mathcal{H}^{n-1} = G(u), \quad (62)$$

being $C(x)$ the matrix of the coefficients of the vector fields X_j 's. On the other hand, from (60), (59) and (62)

$$G(u) \leq G'(u) \leq G''(u) \leq G(u) \quad \text{for all } u \in \mathcal{D},$$

whence

$$G(u) = \Gamma(L^1(\Omega))\text{-}\lim_{\varepsilon \downarrow 0} G_\varepsilon(u) \quad \text{for all } u \in \mathcal{D}. \quad (63)$$

Applying (60), (63), Proposition 3.3 and the Reduction Lemma [42, Lemma IV] we finally find

$$G = \Gamma(L^1(\Omega))\text{-}\lim_{\varepsilon \downarrow 0} G_\varepsilon.$$

□

The last result in this section deals with the Γ -convergence of functionals defined with degenerate quadratic forms. Let $A(x)$ be a symmetric, non negative matrix and consider the functionals $Q, Q_\varepsilon : L^1(\Omega) \rightarrow [0, +\infty]$ defined as

$$Q_\varepsilon(u) = \begin{cases} \varepsilon \int_{\Omega} \langle ADu, Du \rangle dx + \frac{1}{\varepsilon} \int_{\Omega} W(u) dx & \text{if } u \in C^1(\Omega) \cap \mathcal{A}_V \\ +\infty & \text{otherwise,} \end{cases} \quad (64)$$

and

$$Q(u) = \begin{cases} 2\alpha |\partial E|_A(\Omega) & \text{if } u = \chi_E \in \text{BV}_A(\Omega) \cap \mathcal{A}_V \\ +\infty & \text{otherwise,} \end{cases} \quad (65)$$

where V, \mathcal{A}_V, W and α are as in Theorem 3.1.

Theorem 3.5. *Let $\Omega \subset \mathbb{R}^n$ be a bounded open set with C^2 boundary and let $A(x)$ be a symmetric, non negative $n \times n$ -matrix, i.e. $\langle A(x)\xi, \xi \rangle \geq 0$ for all $x, \xi \in \mathbb{R}^n$. Suppose that A has C^2 entries and satisfies (30). Moreover, assume that there exist $C \geq 1, u_0 > 0$ and $p \geq 1$ such that*

$$C^{-1}|u|^p \leq W(u) \leq C|u|^p \quad \text{for all } |u| \geq u_0. \quad (66)$$

Then

$$Q = \Gamma(L^1(\Omega))\text{-}\lim_{\varepsilon \downarrow 0} Q_\varepsilon. \quad (67)$$

Remark 3.6. When the matrix A is *positive definite* on Ω , i.e. there exists $\lambda_0 > 0$ such that $\langle A(x)\xi, \xi \rangle \geq \lambda_0 |\xi|^2$ for all $x \in \Omega$ and $\xi \in \mathbb{R}^n$ Theorem 3.5 is well known under the only hypothesis of continuity of the matrix entries (see [14] and [12]).

In the degenerate setting we are dealing with, requiring the matrix A to be of class C^2 is necessary in order to assure the factorization $A = C^T C$ as in Lemma 2.2. Actually, the assumptions on A in Theorem 3.5 can be weakened requiring only $A(x) = C(x)^T C(x)$ for all $x \in \Omega$ and for some $m \times n$ matrix $C(x)$ with Lipschitz continuous entries. Without such a factorization we do not know if Theorem 3.5 still holds.

Proof of Theorem 3.5. By Lemma 2.2 there exists a $n \times n$ matrix $C(x)$ with Lipschitz continuous entries such that $A(x) = C(x)^T C(x)$ for all $x \in \mathbb{R}^n$. Let X_1, \dots, X_n be the family of vector fields whose coefficients are the rows of the matrix $C(x)$ (see (18)). By Proposition 2.1 we can write the functionals Q_ε and Q as follows

$$Q_\varepsilon(u) = \begin{cases} \varepsilon \int_\Omega |Xu|^2 dx + \frac{1}{\varepsilon} \int_\Omega W(u) dx & \text{if } u \in C^1(\Omega) \cap \mathcal{A}_V \\ +\infty & \text{otherwise,} \end{cases}$$

and

$$Q(u) = \begin{cases} 2\alpha |\partial E|_X(\Omega) & \text{if } u = \chi_E \in \text{BV}_X(\Omega) \cap \mathcal{A}_V \\ +\infty & \text{otherwise.} \end{cases}$$

By a general Γ -convergence result (see [19, Proposition 6.11]) (67) holds if and only if

$$Q = \Gamma(L^1(\Omega))\text{-}\lim_{\varepsilon \downarrow 0} \text{sc}^-(L^1(\Omega))Q_\varepsilon, \tag{68}$$

where $\text{sc}^-(L^1(\Omega))Q_\varepsilon : L^1(\Omega) \rightarrow [0, +\infty]$ is the *relaxed functional* of Q_ε with respect to the topology of $L^1(\Omega)$.

Recalling Theorem 3.1 we only have to prove that for every $\varepsilon > 0$

$$\text{sc}^-(L^1(\Omega))Q_\varepsilon(u) = G_\varepsilon(u) = \begin{cases} \varepsilon \int_\Omega |Xu|^2 dx + \frac{1}{\varepsilon} \int_\Omega W(u) dx & \text{if } u \in H_X^1(\Omega) \cap \mathcal{A}_V \\ +\infty & \text{otherwise.} \end{cases} \tag{69}$$

The inequality $\text{sc}^-(L^1(\Omega))Q_\varepsilon(u) \geq G_\varepsilon(u)$ follows at once by a well known characterization of the relaxed functional (see, for instance, [19, Proposition 3.6]) and by the lower semicontinuity of G_ε with respect to the topology of $L^1(\Omega)$. We claim that

$$\text{sc}^-(L^1(\Omega))Q_\varepsilon(u) \leq G_\varepsilon(u) \quad \text{for all } u \in L^1(\Omega). \tag{70}$$

If $G_\varepsilon(u) = +\infty$ there is nothing to prove. Let $u \in H_X^1(\Omega) \cap \mathcal{A}_V$ be such that $G_\varepsilon(u) < +\infty$. The growth condition (66) implies $u \in L^p(\Omega)$. Since $u \in H_X^1(\Omega)$ by [28, Theorem 1.2.3] there exists a sequence $(v_h)_{h \in \mathbb{N}} \subset C^1(\Omega) \cap H_X^1(\Omega)$ such that $v_h \rightarrow u$ in $H_X^1(\Omega)$. Moreover, as $u \in L^p(\Omega)$ and the technique of approximation by convolution is involved, it is not restrictive to assume that $v_h \rightarrow u$ in $L^p(\Omega)$. Let $c_h = \int_\Omega u dx / \int_\Omega v_h dx$ and define $u_h = c_h v_h$. Then $u_h \in H_X^1(\Omega) \cap \mathcal{A}_V$, $u_h \rightarrow u$ in $H_X^1(\Omega)$ and

$$u_h \rightarrow u \quad \text{in } L^p(\Omega). \tag{71}$$

By (66), (71) and Carathéodory continuity Theorem (see [19, Example 1.22])

$$\lim_{h \rightarrow \infty} \int_{\Omega} W(u_h) dx = \int_{\Omega} W(u) dx.$$

Eventually

$$\begin{aligned} \text{sc}^-(L^1(\Omega))Q_{\varepsilon}(u) &\leq \liminf_{h \rightarrow \infty} \left(\varepsilon \int_{\Omega} |Xu_h|^2 dx + \frac{1}{\varepsilon} \int_{\Omega} W(u_h) dx \right) \\ &\leq \varepsilon \int_{\Omega} |Xu|^2 dx + \frac{1}{\varepsilon} \int_{\Omega} W(u) dx = G_{\varepsilon}(u). \end{aligned}$$

This proves (70). As a consequence, (69) and (68) do hold. \square

4. Convergence of minima and minimizers

In this section we study existence and asymptotic behavior of minima and minimizers of the functionals G_{ε} and Q_{ε} defined in (41) and (64). To this purpose we recall the following fundamental variational property of Γ -convergence (see [19, Corollary 7.20]).

Theorem 4.1. *Let (M, ϱ) be a metric space and let $F, F_h : M \rightarrow [0, +\infty]$ be such that $F = \Gamma(M)\text{-}\lim_{h \rightarrow \infty} F_h$. Let $(\varepsilon_h)_{h \in \mathbb{N}}$ be a sequence of real numbers such that $\varepsilon_h \downarrow 0$, and let $(u_h)_{h \in \mathbb{N}} \subset M$ be a relatively compact sequence of ε_h -minimizers, i.e. $F_h(u_h) \leq \inf_M F_h + \varepsilon_h$ for all $h \in \mathbb{N}$. Then*

- (i) $\min_{u \in M} F(u) = \lim_{h \rightarrow \infty} \inf_{u \in M} F_h(u)$;
- (ii) every cluster point $u \in M$ of $(u_h)_{h \in \mathbb{N}}$ is a minimum of F , i.e. $F(u) = \min_{v \in M} F(v)$.

In order to apply Theorem 4.1 a fundamental tool will be the compact embedding of $H_X^{1,p}(\Omega)$ in $L^p(\Omega)$ which will be discussed more in detail in Section 5. An open set $\Omega \subset \mathbb{R}^n$ will be said to support the $H_X^{1,p}(\Omega)$ -compact embedding, $1 \leq p \leq +\infty$, if

(C)_p the embedding $H_X^{1,p}(\Omega) \hookrightarrow L^p(\Omega)$ is compact.

In the Euclidean case the compact embedding is known to imply a Poincaré inequality (see, for instance, [32]). Following the same proof an analogous result for vector fields can be obtained.

Proposition 4.2. *Let $X = (X_1, \dots, X_m)$ be a family of Lipschitz vector fields on \mathbb{R}^n satisfying (Xc). Let $\Omega \subset \mathbb{R}^n$ be a connected bounded open set. If (C)_p holds for $1 \leq p < +\infty$ then there exists $C > 0$ such that*

$$\int_{\Omega} |u - u_{\Omega}|^p dx \leq C \int_{\Omega} |Xu|^p dx \tag{72}$$

for all $u \in H_X^{1,p}(\Omega)$, where $u_{\Omega} := \int_{\Omega} u dx$.

Let G_{ε} be as in (41). The first result of this section is the existence of minima for the functionals G_{ε} and the compactness of the family of such minima.

Theorem 4.3. *Let $X = (X_1, \dots, X_m)$ be a family of Lipschitz vector fields on \mathbb{R}^n satisfying (Xc), let $\Omega \subset \mathbb{R}^n$ be a connected, bounded open set such that the compact embedding $(\mathcal{C})_2$ holds, and finally let $W : \mathbb{R} \rightarrow \mathbb{R}$ be a function satisfying (66) for some $p > 2$. Then for all $\varepsilon > 0$ there exists $u_\varepsilon \in \mathcal{A}_V$ such that*

$$G_\varepsilon(u_\varepsilon) = \min_{u \in L^1(\Omega)} G_\varepsilon(u). \quad (73)$$

If, in addition, Ω supports the compact embedding $(\mathcal{C})_1$, then the family $\{u_\varepsilon : \varepsilon > 0\}$ is relatively compact in $L^1(\Omega)$.

Let G be the functional defined in (41). Choosing $M = L^1(\Omega)$, $F_h = G_{\varepsilon_h}$ and $F = G$ in Theorem 4.1 and taking into account Theorem 3.1 and Theorem 4.3 we get the following Corollary.

Corollary 4.4. *Let X , Ω and W be as in Theorem 4.3. Moreover, assume that Ω is of class C^2 and W satisfies (39). Let $(\varepsilon_h)_{h \in \mathbb{N}}$ be a sequence of real numbers such that $\varepsilon_h \downarrow 0$. Then:*

- (i) *there exists $\min_{u \in L^1(\Omega)} G(u) = \lim_{h \rightarrow \infty} \min_{u \in L^1(\Omega)} G_{\varepsilon_h}(u)$;*
- (ii) *if $(u_h)_{h \in \mathbb{N}}$ is a sequence of minimizers of $(G_{\varepsilon_h})_{h \in \mathbb{N}}$ ($G_{\varepsilon_h}(u_h) = \min_{u \in L^1(\Omega)} G_{\varepsilon_h}(u)$) then there exist a subsequence $(u_{h_j})_{j \in \mathbb{N}}$ and a function $u_0 = \chi_E \in \text{BV}_X(\Omega)$ such that $u_{h_j} \rightarrow u_0$ in $L^1(\Omega)$ and $G(u_0) = \min_{u \in L^1(\Omega)} G(u)$.*

Proof of Theorem 4.1. The proof can be essentially carried out as in [41] and we shall only sketch the main steps.

The existence of $u_\varepsilon \in \mathcal{A}_V$ such that (73) holds can be proved by the direct method of Calculus of Variations. To this aim we have to check that $G_\varepsilon : L^1(\Omega) \rightarrow [0, +\infty]$ is lower semicontinuous and coercive (see, for instance, [19, Theorem 1.15]). The lower semicontinuity and the coerciveness follow as in the classic case by the compact embedding $(\mathcal{C})_2$, by the Poincaré inequality (72) and by Fatou Lemma.

Let us prove that the family of minima $\{u_\varepsilon : \varepsilon > 0\}$ is relatively compact in $L^1(\Omega)$. Define $\varphi \in C^1(\mathbb{R})$ by $\varphi(t) = \int_0^t \sqrt{W(s)} ds$, and let $v_\varepsilon(x) := \varphi(u_\varepsilon(x)) \in H_X^1(\Omega)$. By (66) and arguing as in [41, Proposition 3, proof] we get the existence of two positive constants c_3, c_4 such that

$$\int_\Omega v_\varepsilon dx \leq c_3|\Omega| + c_4 G_\varepsilon(u_\varepsilon) \quad \text{for all } \varepsilon \in (0, 1),$$

and moreover

$$\int_\Omega |Xv_\varepsilon| dx = \int_\Omega \varphi'(u_\varepsilon) |Xu_\varepsilon| dx \leq \frac{1}{2} \int_\Omega \left(\varepsilon |Xu_\varepsilon|^2 + \frac{1}{\varepsilon} W(u_\varepsilon) \right) dx = \frac{1}{2} G_\varepsilon(u_\varepsilon).$$

If we show that $G_\varepsilon(u_\varepsilon) \leq C < +\infty$ for all $\varepsilon > 0$ and for some $C > 0$, then the set $\{v_\varepsilon : \varepsilon > 0\}$ is bounded in $H_X^{1,1}(\Omega)$ and hence relatively compact in $L^1(\Omega)$ by the compact embedding $(\mathcal{C})_1$. The function

$$w_\varepsilon(x) = \begin{cases} 1 & \text{if } x_1 \leq \delta_\varepsilon - \varepsilon \\ \frac{1}{2} + \frac{1}{2\varepsilon}(x_1 - \delta_\varepsilon) & \text{if } \delta_\varepsilon - \varepsilon < x_1 < \delta_\varepsilon + \varepsilon \\ 0 & \text{if } x_1 \geq \delta_\varepsilon + \varepsilon \end{cases}$$

belongs to $H^1_X(\Omega)$ for all $\varepsilon > 0$ and for all $\delta_\varepsilon \in \mathbb{R}$. Since $0 < V < |\Omega|$, $\delta_\varepsilon \in \mathbb{R}$ can be chosen in such a way that $w_\varepsilon \in \mathcal{A}_V$. If $x \in (\delta_\varepsilon - \varepsilon, \delta_\varepsilon + \varepsilon) \times \mathbb{R}^{n-1} \cap \Omega$ then

$$|Xw_\varepsilon(x)|^2 = \sum_{j=1}^m (X_j w_\varepsilon(x))^2 = \frac{1}{4\varepsilon^2} \sum_{j=1}^m (c_{j1}(x))^2 \leq C/\varepsilon^2.$$

Moreover $W(w_\varepsilon) \leq \sup_{t \in [0,1]} W(t)$ and thus

$$\begin{aligned} G_\varepsilon(w_\varepsilon) &= \int_{\Omega \cap \{\delta_\varepsilon - \varepsilon < x_1 < \delta_\varepsilon + \varepsilon\}} \left(\varepsilon |Xw_\varepsilon|^2 + \frac{1}{\varepsilon} W(w_\varepsilon) \right) dx \\ &\leq \frac{C}{\varepsilon} |\Omega \cap \{\delta_\varepsilon - \varepsilon < x_1 < \delta_\varepsilon + \varepsilon\}| \leq C < +\infty. \end{aligned}$$

This proves that $G_\varepsilon(u_\varepsilon) \leq C < +\infty$ for all $\varepsilon > 0$.

Since the set $\{v_\varepsilon \in L^1(\Omega) : \varepsilon > 0\}$ is relatively compact there exist $v \in L^1(\Omega)$ and $\varepsilon_h \downarrow 0$ such that $v_{\varepsilon_h} \rightarrow v$ in $L^1(\Omega)$. The function φ is strictly increasing and thus there exists $\psi = \varphi^{-1} \in C^1(\mathbb{R})$. Define $u(x) := \psi(v(x))$ and notice that $u_{\varepsilon_h} = \psi(v_{\varepsilon_h})$. Arguing as in [41] we finally get $u_{\varepsilon_h} \rightarrow u$ in $L^1(\Omega)$. \square

Let V and \mathcal{A}_V be as in (40) and let Q_ε be the functionals defined in (64). The second result of this section deals with the compactness of Q_ε 's minimizers.

Theorem 4.5. *Let Ω be a connected, bounded open set, let $A(x)$ be a symmetric matrix of functions on \mathbb{R}^n and let $Y = (Y_1, \dots, Y_r)$ be a family of Lipschitz continuous vector fields on \mathbb{R}^n satisfying the connectivity hypothesis (Yc). Assume that:*

- (i) $A(x)$ has entries of class $C^2(\mathbb{R}^n)$ and satisfies (30);
- (ii) $\langle A(x)\xi, \xi \rangle \geq \sum_{j=1}^r \langle Y_j(x), \xi \rangle^2$ for all $x, \xi \in \mathbb{R}^n$;
- (iii) the compact embeddings $(C)_1$ and $(C)_2$ hold with $X \equiv Y$ relatively to Ω ;
- (iv) the function W in the functional Q_ε satisfies (39) and (66).

Let $(\varepsilon_h)_{h \in \mathbb{N}}$ be a sequence of real numbers such that $\varepsilon_h \downarrow 0$. Then every sequence $(u_h)_{h \in \mathbb{N}}$ of ε_h -minimizers of Q_ε (i.e. $Q_{\varepsilon_h}(u_h) \leq \inf_{u \in \mathcal{A}_V} Q_{\varepsilon_h}(u) + \varepsilon_h$) is relatively compact in $L^1(\Omega)$.

Remark 4.6. The requirement on A to be of class C^2 is necessary in order to assure the factorization $A = C^T C$ as in Lemma 2.2. Actually, assumption (i) in Theorem 4.5 can be weakened requiring only $A(x) = C(x)^T C(x)$ for all $x \in \Omega$ for some $m \times n$ matrix $C(x)$ with Lipschitz continuous entries (see also Remark 3.6).

Let Q be the functional defined in (65). Choosing $M = L^1(\Omega)$, $F_h = Q_{\varepsilon_h}$ and $F = Q$ from Theorem 4.1 and Theorem 4.5 we get the following Corollary.

Corollary 4.7. *Let Ω , A and Y be as in Theorem 4.5. Assume that Ω has C^2 boundary and that W satisfies (39) and (66). Let $(\varepsilon_h)_{h \in \mathbb{N}}$ be a sequence of real numbers such that $\varepsilon_h \downarrow 0$. Then:*

- (i) there exists $\min_{u \in L^1(\Omega)} Q(u) = \lim_{h \rightarrow \infty} \inf_{u \in L^1(\Omega)} Q_{\varepsilon_h}(u)$;
- (ii) if $(u_h)_{h \in \mathbb{N}}$ is a sequence of ε_h -minimizers of $(Q_{\varepsilon_h})_{h \in \mathbb{N}}$ then there exist a subsequence $(u_{h_j})_{j \in \mathbb{N}}$ and a function $u_0 = \chi_E \in \text{BV}_A(\Omega)$ such that $u_{h_j} \rightarrow u_0$ in $L^1(\Omega)$ and $Q(u_0) = \min_{u \in L^1(\Omega)} Q(u)$.

Proof of Theorem 4.5. By assumption (i) Lemma 2.2 can be applied and arguing as in the proof of Theorem 3.5 we conclude that

$$Q_\varepsilon(u) = \begin{cases} \int_\Omega \left(\varepsilon |Xu|^2 + \frac{1}{\varepsilon} W(u) \right) dx & \text{if } u \in C^1(\Omega) \cap \mathcal{A}_V \\ +\infty & \text{otherwise,} \end{cases}$$

for a suitable family $X = (X_1, \dots, X_n)$ of Lipschitz continuous vector fields. Moreover, for every $\varepsilon > 0$ and for all $u \in L^1(\Omega)$

$$\text{sc}^-(L^1(\Omega))Q_\varepsilon(u) = G_\varepsilon(u),$$

being $\text{sc}^-(L^1(\Omega))Q_\varepsilon$ the relaxed functional of Q_ε with respect to the $L^1(\Omega)$ topology and G_ε the functional defined in (41).

On the other hand, by assumptions (ii) X can be assumed to satisfy (Xc), and by (iii) $(\mathcal{C})_1$ and $(\mathcal{C})_2$ can be assumed to hold relatively to X and Ω . Theorem 4.3 can be applied. As pointed out in the first part of the proof of Theorem 4.3 G_ε is coercive with respect to the $L^1(\Omega)$ topology and from a well-known result of relaxation theory (see, for instance, [19, Theorem 3.8]) there exists

$$\min_{u \in L^1(\Omega)} G_{\varepsilon_h}(u) = \inf_{u \in L^1(\Omega)} Q_{\varepsilon_h}(u).$$

The thesis follows. □

5. Examples and applications

In this section we give some important examples of families of vector fields to which our results of Sections 3 and 4 apply. Moreover, we study in detail a couple of examples that often play a paradigmatic role in the theory of cc spaces.

Example 5.1 (Hörmander vector fields). Let $X = (X_1, \dots, X_m)$ with $X_j \in C^\infty(\mathbb{R}^n; \mathbb{R}^n)$ and denote by $\mathcal{L}(X_1, \dots, X_m)$ the Lie algebra generated by such vector fields by iterated commutators. If the Chow-Hörmander condition

$$\text{rank } \mathcal{L}(X_1, \dots, X_m)(x) = n \quad \text{for every } x \in \mathbb{R}^n, \tag{74}$$

holds, then X satisfies (Xc). Vector fields of this type were introduced in [36] and a deep study of the induced cc metric can be found in [46].

Example 5.2 (Grushin's type vector fields). Let $X = (X_1, \dots, X_n)$ and $X_j = \lambda_j(x) \partial_j$, $j = 1, \dots, n$ with $\lambda_j \in \text{Lip}_{\text{loc}}(\mathbb{R}^n)$, $\lambda_j \geq 0$. Assume that:

- (i) $\lambda_1 \equiv 1$ and $\lambda_j(x) = \lambda_j(x_1, \dots, x_{j-1})$, $j = 2, \dots, n$;
- (ii) $\lambda_j \in C^1(\mathbb{R}^n \setminus \Pi_j)$ where $\Pi_j = \{x \in \mathbb{R}^n : x_1 \cdots x_{j-1} = 0\}$;
- (iii) $\lambda_j(x) = \lambda_j(x_1, \dots, |x_k|, \dots, x_{j-1})$ for all $k = 1, \dots, j-1$ and $j = 2, \dots, n$;
- (iv) there exist positive constants α_{jk} such that $0 \leq x_k \partial_k \lambda_j(x) \leq \alpha_{jk} \lambda_j(x)$ for all $x \in \mathbb{R}^n \setminus \Pi_j$.

The vector fields X satisfy (Xc). Vector fields of this type were introduced in [25], [26], [23]. In the special case $\lambda_1 = \dots = \lambda_r = 1$, $\lambda_{r+1} = \dots = \lambda_n \equiv \lambda$ they were studied in [24] even under less restrictive assumptions on the regularity of λ . This class can be considered as a “weak-extension” to the non smooth case of Hörmander vector fields given in Example 5.1.

We introduce some basic notions on regular domains in metric spaces (see [18] for an account of recent results in this argument and see also [51]). The following definition gives a generalization of the well known “interior cone property” of domains in Euclidean spaces to domains in a general metric space (see, for instance, [37, §9] and [17]).

Definition 5.3. Let (M, d) be a metric space. A bounded open set $\Omega \subset M$ is a *John domain* if there exist $x_0 \in \Omega$ and $C > 0$ such that for every $x \in \Omega$ there exists a continuous rectifiable curve parameterized by arclength $\gamma : [0, T] \rightarrow \Omega$, $T \geq 0$, such that $\gamma(0) = x$, $\gamma(T) = x_0$ and $\text{dist}(\gamma(t), \partial\Omega) \geq Ct$.

If B is a ball in the metric space (M, d) and $\lambda \geq 0$ with λB we denote the ball with same center as B and radius λ -times that of B . The following definition extends the “Boman chain condition” to metric spaces (see [30, Definition 1.4] and see also [37, §9]).

Definition 5.4. Let (M, d) be a metric space and μ a positive Borel measure on M . A bounded open set $\Omega \subset M$ is a *Boman domain* if there exists a covering $\{B : B \in \mathcal{F}\}$ of Ω with balls, and there exist $N \geq 1$, $\lambda > 1$ and $\nu \geq 1$ such that:

- (i) $\lambda B \subset \Omega$ for all $B \in \mathcal{F}$;
- (ii) every point of Ω belongs to at most N balls λB with $B \in \mathcal{F}$;
- (iii) there exists a central ball $B_0 \in \mathcal{F}$ such that for any $B \in \mathcal{F}$ there exists a chain of balls B_1, \dots, B_k such that $B_k = B$, $B_i \cap B_{i+1} \neq \emptyset$, $\mu(B_i \cap B_{i+1}) \geq 1/N \max\{\mu(B_i), \mu(B_{i+1})\}$ and $B \subset \nu B_i$ for all $i = 0, 1, \dots, k$.

Definitions 5.3 and 5.4 turn out to identify the same class of domains in homogeneous metric spaces with geodesics (see [15], [30, Theorem 1.30] and [37, Proposition 9.6]).

Theorem 5.5. *Let (M, d) be a metric space endowed with a positive Borel measure μ . Assume that:*

- (i) *every couple of points can be connected by a geodesic;*
- (ii) *there exists a constant $\delta > 0$ such that $0 < \mu(B(x, 2r)) \leq \delta \mu(B(x, r)) < +\infty$ for all $x \in M$ and $r \geq 0$.*

Then, the class of John domains equals that of Boman domains.

In the examples we shall consider condition (i) is true, and condition (ii) is also true choosing μ to be the Lebesgue measure. Boman domains are of special interest because of the following Compactness Theorem which is proved in [30]. The metric space is a cc space (\mathbb{R}^n, d) endowed with Lebesgue measure.

Theorem 5.6. *Let (\mathbb{R}^n, d) be the cc space induced by a family $X = (X_1, \dots, X_m)$ of Hörmander or Grushin’s type vector fields (see Examples 5.1 and 5.2). If $\Omega \subset \mathbb{R}^n$ is a Boman domain then for all $1 \leq p < +\infty$ the embedding $H_X^{1,p}(\Omega) \hookrightarrow L^p(\Omega)$ is compact.*

Remark 5.7. Theorem 5.6 may fail if Ω is not a Boman domain in (\mathbb{R}^n, d) . Indeed, it is known that even for open sets $\Omega \subset \mathbb{R}^n$ with boundary of class C^∞ the Poincaré inequality (72) is no longer true (see, for instance, [35] and Remark 5.18).

From Theorems 5.6 and 4.5, Remark 4.6 and Corollary 4.7 we get the following result. Let Q_ε , Q be as in (64) and (65) and let W be a function which satisfies (39) and (66).

Theorem 5.8. *Let Ω be a connected, bounded open set of class C^2 , let $A(x)$ be a matrix of functions on \mathbb{R}^n and let $Y = (Y_1, \dots, Y_r)$ be a family of Hörmander or Grushin's type vector fields on \mathbb{R}^n . Assume that:*

- (i) $A(x) = C^T(x)C(x)$ for all $x \in \Omega$ where $C(x)$ is a $m \times n$ matrix with Lipschitz continuous entries on \mathbb{R}^n ;
- (ii) $\langle A(x)\xi, \xi \rangle \geq \sum_{j=1}^r \langle Y_j(x), \xi \rangle^2$ for all $x, \xi \in \mathbb{R}^n$;
- (iii) Ω is a Boman domain in (\mathbb{R}^n, d) , where d is the cc metric induced by the family of vector fields Y .

If $(u_h)_{h \in \mathbb{N}}$ is a sequence of ε_h -minimizers of Q_{ε_h} ($Q_{\varepsilon_h}(u_h) \leq \inf_{u \in \mathcal{A}_V} Q_{\varepsilon_h}(u) + \varepsilon_h$ with $\varepsilon_h \downarrow 0$) then there exists a subsequence $(u_{h_j})_{j \in \mathbb{N}}$ and a function $u_0 = \chi_E \in \text{BV}_A(\Omega)$ such that $u_{h_j} \rightarrow u_0$ in $L^1(\Omega)$ and $Q(u_0) = \min_{u \in L^1(\Omega)} Q(u)$.

We shall now study in detail two examples of vector fields which are respectively of Hörmander and Grushin's type. In particular, we shall see that in these cases a suitable Euclidean regularity of the domain Ω also provides its intrinsic regularity with respect to the induced cc metric (see Theorems 5.10 and 5.17).

The first example is the *Heisenberg group*, a Lie group whose origins can be found in quantum mechanics (see [50, §11]). The quadratic form associated with the Heisenberg vector fields is degenerate at every point of the manifold.

The second example is the so called *Grushin's space* where the degeneration of the quadratic form is concentrated on a small set but the coefficients of the vector fields may not be regular of class C^k for $k \geq 1$. Moreover, there is no Lie structure compatible with the cc metric of the Grushin space (see also Remark 5.19).

Example 5.9 (Heisenberg group). In \mathbb{R}^{2n+1} we shall write the coordinates $(x, y, t) \in \mathbb{R}^{2n+1}$ with $x, y \in \mathbb{R}^n$ and $t \in \mathbb{R}$. The Heisenberg vector fields are

$$X_j = \partial_{x_j} + 2y_j \partial_t, \quad Y_j = \partial_{y_j} - 2x_j \partial_t \quad j = 1, \dots, n, \tag{75}$$

which satisfy the commutation relations

$$\begin{aligned} [X_i, X_j] &= 0 \quad \text{and} \quad [Y_i, Y_j] = 0, & \text{for all } i, j = 1, \dots, n, \\ [X_i, Y_j] &= 0, & \text{for all } i, j = 1, \dots, n, \quad i \neq j \\ [X_i, Y_i] &= -4\partial_t & \text{for all } i = 1, \dots, n. \end{aligned} \tag{76}$$

The vector fields $X_1, \dots, X_n, Y_1, \dots, Y_n$ are a system of generators of the left invariant Lie algebra of \mathbb{R}^{2n+1} when endowed with the Lie group product

$$(x, y, t) \cdot (\xi, \eta, \tau) = (x + \xi, y + \eta, t + \tau + 2(\langle y, \xi \rangle - \langle x, \eta \rangle)). \tag{77}$$

The group $(\mathbb{R}^{2n+1}, \cdot)$ is usually called the Heisenberg group and denoted by \mathbb{H}^n . This group is homogeneous in the sense that it admits a one parameter family of automorphisms $\delta_\lambda : \mathbb{R}^{2n+1} \rightarrow \mathbb{R}^{2n+1}$, $\lambda > 0$, given by $\delta_\lambda(x, y, t) = (\lambda x, \lambda y, \lambda^2 t)$. Lebesgue measure is the Haar measure of the Heisenberg group. Moreover, for any measurable set $E \subset \mathbb{R}^{2n+1}$ and $\lambda > 0$ we have $|\delta_\lambda(E)| = \lambda^N |E|$ with $N := 2n+2$. Here $|\cdot|$ stands for the $2n+1$ -dimensional Lebesgue measure on \mathbb{R}^{2n+1} . The integer N is called the homogeneous dimension of \mathbb{H}^n . The vector fields (75) satisfy Hörmander condition (74) and therefore induce on \mathbb{R}^{2n+1} a

Carnot-Carathéodory metric d verifying (Xc). (\mathbb{R}^{2n+1}, d) is a metric space with Hausdorff dimension equal to N (see [40]).

The Heisenberg gradient is $\nabla_{\mathbb{H}} = (X_1, \dots, X_n, Y_1, \dots, Y_n)$, and if $f \in C^1(\mathbb{R}^{2n+1})$ we can write

$$|\nabla_{\mathbb{H}} f|^2 = \sum_{j=1}^n (X_j f)^2 + (Y_j f)^2 = \langle B \nabla f, \nabla f \rangle,$$

where B is the $(2n+1)$ -square matrix with variable entries

$$B(x, y, t) = \begin{pmatrix} I_n & 0 & 2y^T \\ 0 & I_n & -2x^T \\ 2y & -2x & 4(|x|^2 + |y|^2) \end{pmatrix}, \quad (78)$$

and I_n is the identity $(n \times n)$ -matrix. Notice that $\det(B(x, y, t)) = 0$ for all $(x, y, t) \in \mathbb{R}^{2n+1}$: the degeneration of the quadratic form B is distributed at every point of the space.

Let now $\Omega \subset \mathbb{R}^{2n+1}$ be an open set. According to definition (19) denote by $H_{\mathbb{H}}^1(\Omega) = H_X^1(\Omega)$ the Sobolev space associated with the family of vector fields $X = \nabla_{\mathbb{H}}$.

Examples of Boman domains in the Heisenberg group are provided in [30] and [17]. The following Theorem proved in [44] and Theorem 5.5 give a general sufficient condition for a domain to be Boman.

Theorem 5.10. *Let $\Omega \subset \mathbb{R}^{2n+1}$ be a bounded open set with boundary of class C^2 . Then Ω is a John domain.*

Remark 5.11. There are open sets which are John domains without being of class C^2 . Examples are Carnot-Carathéodory balls which are always John domains in general cc spaces (see [30]).

Let $E \subset \mathbb{H}^n$ be a measurable set. According to definition (23) denote by $|\partial E|_{\mathbb{H}}(\Omega) := |\partial E|_X(\Omega)$ and by $BV_{\mathbb{H}}(\Omega) = BV_X(\Omega)$ respectively the Heisenberg perimeter of E and the space of the functions with bounded variation associated with the family of vector fields $X = \nabla_{\mathbb{H}}$.

Remark 5.12. The space $BV(\Omega)$, i.e. the space of the functions with bounded variation in Ω , is strictly contained in $BV_{\mathbb{H}}(\Omega)$ (see [29, Example 1]).

The measure of a surface in the Heisenberg group can also be computed by means of suitable Hausdorff measures. Define the $(N-1)$ -dimensional spherical measure of a set $A \subset \mathbb{R}^{2n+1}$ as

$$\mathcal{S}_d^{N-1}(A) = \liminf_{\delta \downarrow 0} \left\{ \gamma(N-1) \sum_{i=1}^{+\infty} (\text{diam}(B_i))^{N-1} : A \subset \bigcup_{i=1}^{+\infty} B_i, \text{diam}(B_i) \leq \delta, B_i \subset \mathbb{R}^{2n+1} \right\},$$

where $\gamma(N-1)$ is a geometric constant, B_i are closed balls in (\mathbb{R}^{2n+1}, d) and $\text{diam}(B_i)$ is the diameter of B_i with respect to d . We already noticed that the metric space (\mathbb{R}^{2n+1}, d) has Hausdorff dimension $N = 2n+2$ and thus $N-1$ is the correct ‘‘surface dimension’’. The

link between perimeter and spherical Hausdorff measure is given in the following theorem first proved in [29] when the Heisenberg group is equipped with a metric equivalent to the cc metric d . Later, in [39] the same result was obtained for the cc metric d .

Theorem 5.13. *Let $E \subset \mathbb{R}^{2n+1}$ be a measurable set and let $\Omega \subset \mathbb{R}^{2n+1}$ be an open set. Assume that $|\partial E|_{\mathbb{H}}(\omega) < +\infty$ for every open set $\omega \Subset \Omega$. Then there exists a Borel set $\partial_{\mathbb{H}}^* E \subset \partial E$ (called the \mathbb{H} -reduced boundary of E in Ω) such that $|\partial E|_{\mathbb{H}}(A) = \mathcal{S}_d^{N-1}(\partial_{\mathbb{H}}^* E \cap A)$ for every Borel set $A \subset \Omega$. Moreover, if E is an open set with boundary of class C^1 then $|\partial E|_{\mathbb{H}}(\Omega) = \mathcal{S}_d^{N-1}(\partial E \cap \Omega)$.*

We finally come to the applications of the results obtained in Section 3 and Section 4. Let $W \in C^2(\mathbb{R})$ be a function which satisfies (39) and (66) and let V and A_V be as in (40). For $\varepsilon > 0$ consider the functionals $G_\varepsilon, Q_\varepsilon : L^1(\Omega) \rightarrow [0, +\infty]$

$$G_\varepsilon(u) = \begin{cases} \int_{\Omega} \left(\varepsilon |\nabla_{\mathbb{H}} u|^2 + \frac{1}{\varepsilon} W(u) \right) dx dy dt & \text{if } u \in H_{\mathbb{H}}^1(\Omega) \cap \mathcal{A}_V \\ +\infty & \text{otherwise,} \end{cases}$$

and

$$Q_\varepsilon(u) = \begin{cases} \int_{\Omega} \left(\varepsilon \langle A D u, D u \rangle + \frac{1}{\varepsilon} W(u) \right) dx dy dt & \text{if } u \in C^1(\Omega) \cap \mathcal{A}_V \\ +\infty & \text{otherwise,} \end{cases}$$

where $A = A(x, y, t)$ is a symmetric, non negative definite $(n \times n)$ -matrix of C^2 functions on \mathbb{R}^n verifying (30) and for some positive constant $C > 0$

$$\langle A(x, y, t)\xi, \xi \rangle \geq C \langle B(x, y, t)\xi, \xi \rangle \quad \text{for all } (x, y, t), \xi \in \mathbb{R}^{2n+1},$$

and B is the matrix (78).

Analogously, $G, Q : L^1(\Omega) \rightarrow [0, +\infty]$ are the functionals defined by

$$G(u) = \begin{cases} 2\alpha |\partial E|_{\mathbb{H}}(\Omega) & \text{if } u = \chi_E \in \text{BV}_{\mathbb{H}}(\Omega) \cap \mathcal{A}_V \\ +\infty & \text{otherwise,} \end{cases}$$

and

$$Q(u) = \begin{cases} 2\alpha |\partial E|_A(\Omega) & \text{if } u = \chi_E \in \text{BV}_A(\Omega) \cap \mathcal{A}_V \\ +\infty & \text{otherwise,} \end{cases}$$

where $|\partial E|_A$ is the perimeter measure defined in (11) and $\alpha = \int_0^1 \sqrt{W(s)} ds$.

Then from Theorems 3.1, 3.5, 4.3, 4.5, 5.6, 5.10 and from Corollaries 4.4 and 4.7 we get at once the following result.

Theorem 5.14. *Let $\Omega \subset \mathbb{R}^{2n+1}$ be a connected, bounded open set of class C^2 . Then:*

- (i) $\Gamma(L^1(\Omega))\text{-}\lim_{\varepsilon \downarrow 0} G_\varepsilon = G$;
- (ii) $\Gamma(L^1(\Omega))\text{-}\lim_{\varepsilon \downarrow 0} Q_\varepsilon = Q$;
- (iii) for all $\varepsilon > 0$ there exists $u_\varepsilon \in H_{\mathbb{H}}^1(\Omega) \cap \mathcal{A}_V$ such that $G_\varepsilon(u_\varepsilon) = \min_{u \in L^1(\Omega)} G_\varepsilon(u)$.

Moreover, let $(\varepsilon_h)_{h \in \mathbb{N}}$ be a sequence of real numbers such that $\varepsilon_h \downarrow 0$. Then:

- (iv) the sequence $(u_{\varepsilon_h})_{h \in \mathbb{N}}$ is relatively compact in $L^1(\Omega)$;

- (v) any sequence $(v_{\varepsilon_h})_{h \in \mathbb{N}}$ of ε_h -minimizers of $(Q_{\varepsilon_h})_{h \in \mathbb{N}}$ is relatively compact in $L^1(\Omega)$;
- (vi) there exist a subsequence $(u_{\varepsilon_{h_j}})_{j \in \mathbb{N}}$ of $(u_{\varepsilon_h})_{h \in \mathbb{N}}$ and a function $u_0 = \chi_E \in \text{BV}_{\mathbb{H}}(\Omega)$ such that $u_{\varepsilon_{h_j}} \rightarrow u_0$ in $L^1(\Omega)$ and $G(u_0) = \min_{u \in L^1(\Omega)} G(u)$;
- (vii) there exist a subsequence $(v_{\varepsilon_{h_j}})_{j \in \mathbb{N}}$ of $(v_{\varepsilon_h})_{h \in \mathbb{N}}$ and a function $v_0 = \chi_E \in \text{BV}_A(\Omega)$ such that $v_{\varepsilon_{h_j}} \rightarrow v_0$ in $L^1(\Omega)$ and $Q(v_0) = \min_{v \in L^1(\Omega)} Q(v)$.

Example 5.15 (Grushin vector fields). In this example we shall study a particular case of the vector fields introduced in Example 5.2.

In \mathbb{R}^n we shall write the coordinates (x, y) with $x \in \mathbb{R}^{n-1}$ and $y \in \mathbb{R}$. Consider the vector fields

$$X_1 = \partial_{x_1}, \dots, X_{n-1} = \partial_{x_{n-1}}, X_n = |x|^\beta \partial_y, \quad (79)$$

where $\beta \geq 1$ is a fixed real parameter. If β is not an even integer the Hörmander condition (74) can not be applied because the vector fields are not smooth. Nevertheless, according to Example 5.2 the vector fields induce on \mathbb{R}^n a well defined cc metric d that was first introduced in [25]. The estimates proved in this paper show that the distance d satisfies hypothesis (Xc).

The Grushin gradient is $X = (X_1, \dots, X_n)$. If $f \in C^1(\mathbb{R}^n)$ we can write

$$\begin{aligned} |Xf(x, y)|^2 &= \sum_{i=1}^n |X_i f(x, y)|^2 = |\nabla_x f(x, y)|^2 + |x|^{2\beta} |\partial_y f(x, y)|^2 \\ &= \langle B(x) \nabla f(x, y), \nabla f(x, y) \rangle, \end{aligned}$$

where B is the $(n \times n)$ -matrix

$$B(x) = \begin{pmatrix} I_{n-1} & 0 \\ 0 & |x|^{2\beta} \end{pmatrix}. \quad (80)$$

Clearly, $\det(B(x)) = |x|^{2\beta}$ is zero when $x = 0$.

If $\Omega \subset \mathbb{R}^n$ is an open set the Sobolev space $H_X^{1,p}(\Omega)$ is defined as in (19). Recall the Definition 5.4 of Boman domain and the Definition 5.3 of John domain. Here the metric space is (\mathbb{R}^n, d) where d is the cc metric induced by the vector fields (79), and we put on \mathbb{R}^n the Lebesgue measure. According to Theorem 5.5 and Theorem 5.6 if $\Omega \subset \mathbb{R}^n$ is a John domain then the embedding $H_X^{1,p}(\Omega) \hookrightarrow L^p(\Omega)$ is compact. An answer to the problem of finding Boman domains in (\mathbb{R}^n, d) is given in [45]. We introduce a definition.

Definition 5.16. Let $\Omega \subset \mathbb{R}^n$ be a connected open set with Lipschitz boundary such that $\partial\Omega$ is of class C^1 in a neighborhood of every point $(0, y) \in \partial\Omega$.

A point $(0, y) \in \partial\Omega$ will be said *flat* if there exist a neighborhood \mathcal{V} of $(0, y)$ and a neighborhood \mathcal{U} of the origin in \mathbb{R}^{n-1} such that $\partial\Omega \cap \mathcal{V} = \{(x, \varphi(x)) : x \in \mathcal{U}\}$ for some $\varphi \in C^1(\mathcal{U}; \mathbb{R})$ with $\nabla\varphi(0) = 0$. A flat point $(0, y) \in \partial\Omega$ will be said β -*admissible* if there exists a constant $C > 0$ such that

$$|\nabla\varphi(x)| \leq C|x|^\beta \quad \text{for all } x \in \mathcal{U}. \quad (81)$$

Finally, Ω will be said β -*admissible* if flat points in $\partial\Omega$ are β -admissible or if Ω has no flat points.

For example, the cube $I = \{(x, y) \in \mathbb{R}^n : |y|, |x_i| < 1, \text{ for } i = 1, \dots, n-1\}$ is β -admissible for all $\beta > 0$. Condition (81) states that in a neighborhood of the singular line $\{(x, y) \in \mathbb{R}^n : x = 0\}$ the boundary $\partial\Omega$ is suitably flat in connection with the power of degeneration of the quadratic form $B(x)$.

The following theorem, which is a special case of the results proved in [45], and Theorem 5.5 show that β -admissible domains support the compact embedding $H_X^{1,p}(\Omega) \hookrightarrow L^p(\Omega)$.

Theorem 5.17. *If $\Omega \subset \mathbb{R}^n$ is a β -admissible domain then it is a John domain.*

Remark 5.18. If Ω is a β -admissible domain then by Theorems 5.17, 5.5, 5.6 and by Proposition 4.2 it supports the Poincaré inequality (72) for all $1 \leq p < +\infty$. Fix $n = 2$, $\beta = 3$ and $\Omega = \{(x, y) \in \mathbb{R}^2 : x^2 < y < 1\}$. Then Ω is of class C^∞ in a neighborhood of the origin but it is not β -admissible. Taking $u(x, y) = y^{-3/4}$ it can be easily checked that

$$\int_{\Omega} |Xu|^2 dx dy = \int_{\Omega} |x|^{2\beta} |\partial_y u|^2 dx dy < +\infty \quad \text{but} \quad \int_{\Omega} |u|^2 dx dy = +\infty,$$

and the Poincaré inequality (72) with $p = 2$ does not hold (see [35]).

The space $BV_X(\Omega)$ of the function with bounded X -variation is defined as in (21) and (22). As usual, $|\partial E|_X(\Omega)$ denotes the X -perimeter of a measurable set E . If $E \subset \mathbb{R}^n$ has Lipschitz boundary then by (25)

$$|\partial E|_X(\Omega) = \int_{\partial E \cap \Omega} |Xn| d\mathcal{H}^{n-1},$$

where

$$|Xn(x, y)| := \left(|n_x(x, y)|^2 + |x|^{2\beta} |n_y(x, y)|^2 \right)^{1/2}$$

and $n = (n_x, n_y) \in \mathbb{R}^{n-1} \times \mathbb{R}$ is the unit normal to ∂E which is defined \mathcal{H}^{n-1} -almost everywhere on ∂E .

Remark 5.19. Differently from the Heisenberg group (see Theorem 5.13) the metric space (\mathbb{R}^n, d) has not a metric dimension constant at every point and a representation of the perimeter in terms of a unique intrinsic Hausdorff measures is not available. Indeed, let $n = 2$ and $X = (X_1, X_2)$ with $X_1 = \partial_x$ and $X_2 = x\partial_y$. Then it is easy to see that the Hausdorff dimension of (\mathbb{R}^2, d) is $N = 2$. The set $E = \{(x, y) \in \mathbb{R}^2 : 0 < x, y < 1\}$ has Lipschitz boundary and $|\partial E|_X(\mathbb{R}^2) < +\infty$. On the other hand, it is easy to see that the Hausdorff dimension of $\partial E \cap \{(x, y) \in \mathbb{R}^n : x = 0\}$ is 2 whereas the one of $\partial E \cap \{(x, y) \in \mathbb{R}^n : x > 0\}$ is 1 (see also [43]).

We finally come to the applications of the main results of Section 3 and Section 4 to this example. Let W, V, \mathcal{A}_V and α be as in Example 5.9. The functionals $G, G_\varepsilon : L^1(\Omega) \rightarrow [0, +\infty]$ are as in (41) but considering the Grushin vector fields $X = (\partial_{x_1}, \dots, \partial_{x_{n-1}}, |x|^\beta \partial_y)$. Let $A(x, y)$ be a matrix as in Example 5.9 such that

$$\langle A(x, y)\xi, \xi \rangle \geq C \langle B(x)\xi, \xi \rangle \quad \text{for some } C > 0 \text{ and for all } (x, y), \xi \in \mathbb{R}^n,$$

where $B(x)$ is the matrix (80). Let $Q, Q_\varepsilon : L^1(\Omega) \rightarrow [0, +\infty]$ be defined as above, as well.

Theorem 5.20. *Let $\Omega \subset \mathbb{R}^n$ be a connected bounded open set of class C^2 and assume that it is β -admissible. Then all statements (i) – (vii) of Theorem 5.14 hold replacing $H_{\mathbb{H}}^1(\Omega)$ with $H_X^1(\Omega)$ and $BV_{\mathbb{H}}(\Omega)$ with $BV_X(\Omega)$.*

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